

# ELLSWORTH CORRECTIONAL FACILITY

	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Operating Expenditures:							
State General Fund	\$ 14,267,551	\$ 14,500,119	\$ 14,375,936	\$ 14,892,911	\$ 14,255,900	\$ 15,440,371	\$ 14,643,207
Other Funds	62,878	62,977	62,516	66,037	65,273	68,944	68,165
TOTAL	\$ 14,330,429	\$ 14,563,096	\$ 14,438,452	\$ 14,958,948	\$ 14,321,173	\$ 15,509,315	\$ 14,711,372
Capital Improvements:							
State General Fund	\$ 103,015	\$ 94,291	\$ 94,291	\$ 0	\$ 0	\$ 0	\$ 0
Other Funds	187,289	76,195	76,195	0	0	0	0
TOTAL	\$ 290,304	\$ 170,486	\$ 170,486	\$ 0	\$ 0	\$ 0	\$ 0
<b>GRAND TOTAL</b>	<b>\$ 14,620,733</b>	<b>\$ 14,733,582</b>	<b>\$ 14,608,938</b>	<b>\$ 14,958,948</b>	<b>\$ 14,321,173</b>	<b>\$ 15,509,315</b>	<b>\$ 14,711,372</b>

## Percentage Change:

### Operating Expenditures

State General Fund	0.4 %	1.6 %	0.8 %	2.7 %	(0.8) %	3.7 %	2.7 %
All Funds	0.4	1.6	0.8	2.7	(0.8)	3.7	2.7

FTE Positions	232.0	235.0	232.0	235.0	232.0	235.0	232.0
Non-FTE							
Perm.Uncl.Pos.	3.0	0.0	3.0	0.0	3.0	0.0	3.0
TOTAL	235.0	235.0	235.0	235.0	235.0	235.0	235.0

## AGENCY OVERVIEW

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Planning for Ellsworth Correctional Facility (ECF) began in 1986 in response to the need for additional bed space due to a rapidly increasing inmate population. The first inmate was received in August 1988. Expansion projects were completed in the 632-bed medium and minimum-custody facility for male offenders in 1995 at a total construction cost of \$19.7 million.

Between February 1994 and January 1996, ECF held only parole condition violators, conditional release violators and post-release supervision violators whom the Kansas Department of Corrections (KDOC) wanted to house separately from the general prison population. In January 1996, the agency returned to its original role of housing multi-custody level male inmates.

The 2000 Legislature approved expenditures of \$6.1 million for the construction of a 100-cell housing unit at the facility. Due

to the need for medium custody beds, the KDOC has opted to house 200 medium-custody inmates in the unit that was completed in May 2002. Also constructed during this time, using Violent Offender Incarceration/Truth in Sentencing funds and ten percent state matching funds, was the expansion (completed in March 2002) of the existing warehouse, a Staff Development building completed in September 2002, and an Industrial Building completed in November 2002.

In June 2002, the InnerChange Freedom Initiative (IFI) was transferred from the Winfield Correctional Facility to ECF. Working with the State of Kansas and Prison Fellowship, IFI advances the KDOC objectives of rehabilitation and recidivism reduction by exposing offenders to work, education, support groups, and victim-offender reconciliation.

### MAJOR ISSUES FROM PRIOR YEARS

The **2009 Legislature** approved a 1.25 percent across-the-board State General Fund reduction in FY 2009 and FY 2010 and a 2.75 percent across-the-board State General Fund reduction in FY 2010. The reduction to the Ellsworth Correctional Facility budget totaled \$150,563, all from the State General Fund, in FY 2009 and \$496,762, all from the State General Fund, in FY 2010. Other changes include the following items.

- A decrease of \$103,540, all from the State General Fund, to remove funding for longevity bonuses, which the agencies were instructed to fund from within existing resources; and

- An increase of \$93,107, including \$93,035 from the State General Fund, for undermarket pay adjustments as recommended in the Hay Group study that was completed in FY 2008.

The **2010 Legislature** deleted funding in FY 2010 for a 5.0 percent pay suspension for state officers, implemented a moratorium on death and disability contributions in FY 2010 and FY 2011, and deleted longevity payments in FY 2011. Those reductions totaled \$20,196, all from the State General Fund, in FY 2010 and \$100,497, all from the State General Fund, in FY 2011. Ellsworth Correctional Facility (ECF) did receive enhancement funding totaling \$214,114 from the State General Fund in FY 2011 to reduce the salaries and wages shrinkage

rate. In addition, the Legislature approved an undermarket pay increase in FY 2011. ECF received \$160,885, including \$160,215 from the State General Fund, for its portion of the undermarket pay increases.

The **2011 Legislature** deleted \$282,740 in FY 2012, including \$282,467 from the State General Fund. The reductions were all part of statewide adjustments and included the following items.

- A decrease of \$104,451, all from the State General Fund, for longevity bonus payments to employees.
- A decrease of \$156,140, including \$155,949 from the State General Fund, as part of a statewide operating reduction.

The **2012 Legislature** deleted \$99,829 for FY 2013, including \$99,685 from the State General Fund, all in the form of statewide reductions. The adjustments include the following items.

- \$16,330, including \$16,261 from the State General Fund, to reduce longevity bonus

payments to the statutory level of \$40 per year of service for eligible employees.

- \$65,043, all from the State General Fund, to self-fund employee longevity bonus payments in FY 2013.
- \$18,456, including \$18,381 from the State General Fund, for a moratorium on employer contributions to the Kansas Public Employees Retirement System Group Insurance Fund, or Death and Disability Fund, for a three-month period.

The **2012 Legislature** also approved House Sub. for SB 434 to allow the Department of Corrections to buy the Saint Francis Boys' Home in Ellsworth for the purpose of operating it as a minimum-security unit known as the ECF East Unit. The Legislature appropriated 15.0 FTE positions to staff the East Unit and simultaneously deleted 14.0 non-FTE permanent unclassified positions. The unit began housing inmates in September 2012.

During the **2013 Legislative Session**, the Governor vetoed the agency's entire FY 2015 operating budget.

## BUDGET SUMMARY AND KEY POINTS

### FY 2015 Agency Estimate

The **agency** estimates FY 2015 total expenditures of \$14.7 million, including \$14.6 million from the State General Fund. The estimate is an increase of \$200,557, or 1.4 percent, including a State General Fund increase of \$124,183, or 0.9 percent, above the FY 2015 approved amount. The increase is due to

increased capital improvement expenditures for rehabilitation and repair and the Governor's December 2014 Allotment that reduced approved State General Fund expenditures. The estimate includes 235.0 FTE positions, which is 3.0 FTE positions above the FY 2015 approved amount.

## FY 2015 Governor Recommendation

The **Governor** recommends FY 2015 total expenditures of \$14.6 million, including \$14.5 million from the State General Fund. The recommendation is a decrease of \$124,644, or 0.8 percent, including a State General Fund decrease of \$124,183, or 0.9 percent, below the agency's estimate. The decrease is

attributable to inclusion of the Governor's December 2014 Allotment. The recommendation includes 232.0 FTE positions, which is 3.0 less FTE positions than the agency's estimate. The decrease is a technical adjustment that changed 3.0 FTE positions to non-FTE unclassified positions.

## FY 2016 Agency Request

The **agency** requests FY 2016 operating expenditures of \$15.0 million, including \$14.9 million from the State General Fund. The request is an increase of \$395,852, or 2.7 percent, including a State General Fund increase of \$392,792, or 2.7 percent. The request includes enhancements totaling \$462,647, all from the State General Fund, for fringe benefit shortfalls, longevity bonuses, security vests, vehicle replacements, new security equipment, and IT equipment replacement. The request includes 235.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$14.5 million, including \$14.4 million from the State General

Fund. The request is a decrease of \$66,795, or 0.5 percent, including a State General Fund decrease of \$69,855, or 0.5 percent, below the FY 2015 estimate. The decrease is due to one-time bonuses paid in FY 2015 that are not repeated for FY 2016 and a higher salaries and wages shrinkage rate, partially offset by higher cost indices for utilities and clothing.

The agency does not request any capital improvement expenditures. Capital improvement expenditures for FY 2016 are made at the discretion of the Department of Corrections' Central Office.

## FY 2016 Governor Recommendation

The **Governor** recommends FY 2017 operating expenditures of \$14.3 million, including \$14.3 million from the State General Fund. The recommendation is a decrease of \$637,775, or 4.3 percent, including a State General Fund decrease of \$637,011, or 4.3 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending any of the agency's enhancement requests and recommending a reduction of \$175,128, including \$174,364 from the State General Fund, to reduce employer contributions

for state employee health insurance. The recommendation includes 232.0 FTE positions, which is 3.0 less FTE positions than the agency's request. The decrease is a technical adjustment that changed 3.0 FTE positions to non-FTE unclassified positions.

The Governor concurs with the agency's capital improvements request.

## FY 2017 Agency Request

The **agency** requests FY 2017 operating expenditures of \$15.5 million, including \$15.4 million from the State General Fund. The request is an increase of \$550,367, or 3.7 percent, including a State General Fund increase of \$547,460, or 3.7 percent, above the FY 2016 request. The request includes enhancements totaling \$619,308, all from the State General Fund, for fringe benefit shortfalls, longevity bonuses, security vests, vehicle replacements, new security equipment, and IT equipment replacement. The request includes 235.0 FTE positions, which is the same as the FY 2016 request. **Absent the enhancements**, the agency requests \$14.9 million,

including \$14.8 million from the State General Fund. The request is an increase of \$393,706 or 2.7 percent, including a State General Fund increase of \$390,799, or 2.7 percent, above the FY 2016 request less enhancements. The increase is mainly attributable to a 27<sup>th</sup> payroll period in that fiscal year and higher cost indices for utilities.

The **agency** does not request any capital improvement expenditures. Capital improvement expenditures for FY 2017 are made at the discretion of the Department of Corrections' Central Office.

## FY 2017 Governor Recommendation

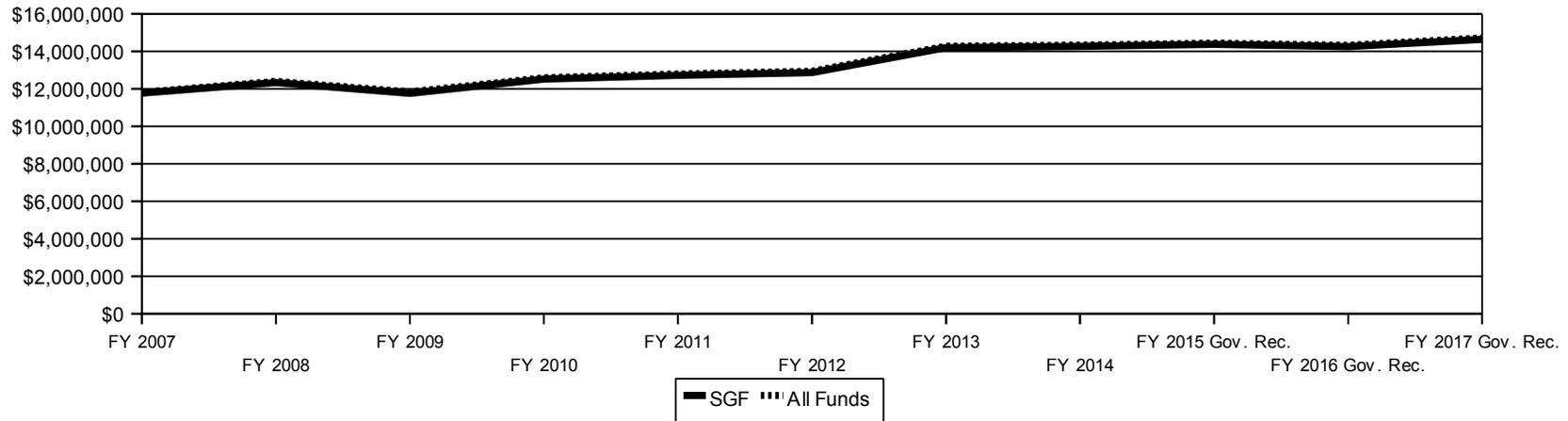
The **Governor** recommends FY 2017 operating expenditures of \$14.7 million, including \$14.6 million from the State General Fund. The recommendation is a decrease of \$797,943, or 5.1 percent, including a State General Fund decrease of \$797,164, or 5.2 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and recommending a reduction of \$178,635, including \$177,856 from the State General Fund, to reduce employer contributions

for state employee health insurance. The recommendation includes 232.0 FTE positions, which is 3.0 less FTE positions than the agency's request. The decrease is a technical adjustment that changed 3.0 FTE positions to non-FTE unclassified positions.

The **Governor** concurs with the agency's capital improvements request.

# BUDGET TRENDS

## OPERATING EXPENDITURES FY 2007 – FY 2017



Fiscal Year	SGF	% Change	All Funds	% Change	FTE
2007	\$ 11,768,799	2.4 %	\$ 11,810,780	2.3 %	221.0
2008	12,333,527	4.8	12,395,000	4.9	220.0
2009	11,755,533	(4.7)	11,833,358	(4.5)	219.0
2010	12,523,389	6.5	12,588,780	6.4	219.0
2011	12,725,606	1.6	12,793,345	1.6	220.0
2012	12,872,704	1.2	12,936,691	1.1	219.0
2013	14,206,365	10.4	14,274,089	10.3	232.0
2014	14,267,551	0.4	14,330,429	0.4	232.0
2015 Gov. Rec.	14,375,936	0.8	14,438,452	0.8	232.0
2016 Gov. Rec.	14,255,900	(0.8)	14,321,173	(0.8)	232.0
2017 Gov. Rec.	14,643,207	2.7	14,711,372	2.7	232.0
Eleven-Year Change	\$ 2,874,408	24.4 %	\$ 2,900,592	24.6 %	11.0

**Summary of Operating Budget FY 2014 - FY 2016**

	Actual 2014	Agency Estimate				Governor's Recommendation			
		Estimate FY 2015	Request FY 2016	Dollar Change from FY 15	Percent Change from FY 15	Rec. FY 2015	Rec. FY 2016	Dollar Change from FY 15	Percent Change from FY 15
<b>By Program:</b>									
Administration	\$ 1,464,648	\$ 1,266,946	\$ 1,482,333	\$ 215,387	17.0 %	\$ 1,255,651	\$ 1,296,428	\$ 40,777	3.2 %
Security	7,668,088	8,369,846	8,206,276	(163,570)	(2.0)	8,290,296	8,076,176	(214,120)	(2.6)
Classification and Programs	2,121,589	2,072,597	2,007,118	(65,479)	(3.2)	2,054,507	1,982,221	(72,286)	(3.5)
Support Services	3,070,317	2,851,587	3,263,221	411,634	14.4	2,835,878	2,966,348	130,470	4.6
Debt Service	5,787	2,120	0	(2,120)	(100.0)	2,120	0	(2,120)	(100.0)
<b>TOTAL</b>	<b>\$ 14,330,429</b>	<b>\$ 14,563,096</b>	<b>\$ 14,958,948</b>	<b>\$ 395,852</b>	<b>2.7 %</b>	<b>\$ 14,438,452</b>	<b>\$ 14,321,173</b>	<b>\$ (117,279)</b>	<b>(0.8)%</b>
<b>By Major Object of Expenditure:</b>									
Salaries and Wages	\$ 11,629,431	\$ 12,232,760	\$ 12,231,262	\$ (1,498)	(0.0) %	\$ 12,114,680	\$ 11,884,852	\$ (229,828)	(1.9)%
Contractual Services	1,361,138	1,380,572	1,446,891	66,319	4.8	1,380,572	1,446,891	66,319	4.8
Commodities	939,530	928,272	1,097,759	169,487	18.3	921,708	979,206	57,498	6.2
Capital Outlay	363,868	0	172,812	172,812	--	0	0	0	--
Debt Service	5,387	1,720	0	(1,720)	(100.0)	1,720	0	(1,720)	(100.0)
Subtotal - Operations	\$ 14,299,354	\$ 14,543,324	\$ 14,948,724	\$ 405,400	2.8 %	\$ 14,418,680	\$ 14,310,949	\$ (107,731)	(0.7)%
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	31,075	19,772	10,224	(9,548)	(48.3)	19,772	10,224	(9,548)	(48.3)
<b>TOTAL</b>	<b>\$ 14,330,429</b>	<b>\$ 14,563,096</b>	<b>\$ 14,958,948</b>	<b>\$ 395,852</b>	<b>2.7 %</b>	<b>\$ 14,438,452</b>	<b>\$ 14,321,173</b>	<b>\$ (117,279)</b>	<b>(0.8)%</b>
<b>Financing:</b>									
State General Fund	\$ 14,267,551	\$ 14,500,119	\$ 14,892,911	\$ 392,792	2.7 %	\$ 14,375,936	\$ 14,255,900	\$ (120,036)	(0.8)%
General Fees Fund	62,878	62,977	66,037	3,060	4.9	62,516	65,273	2,757	4.4
<b>TOTAL</b>	<b>\$ 14,330,429</b>	<b>\$ 14,563,096</b>	<b>\$ 14,958,948</b>	<b>\$ 395,852</b>	<b>2.7 %</b>	<b>\$ 14,438,452</b>	<b>\$ 14,321,173</b>	<b>\$ (117,279)</b>	<b>(0.8)%</b>

**Summary of Operating Budget FY 2016 - FY 2017**

	Agency Estimate				Governor's Recommendation			
	Request FY 2016	Request FY 2017	Dollar Change from FY 16	Percent Change from FY 16	Rec. FY 2016	Rec. FY 2017	Dollar Change from FY 16	Percent Change from FY 16
<b>By Program:</b>								
Administration	\$ 1,482,333	\$ 1,705,677	\$ 223,344	15.1 %	\$ 1,296,428	\$ 1,334,829	\$ 38,401	3.0 %
Security	8,206,276	8,442,267	235,991	2.9	8,076,176	8,309,699	233,523	2.9
Classification and Programs	2,007,118	2,056,395	49,277	2.5	1,982,221	2,031,000	48,779	2.5
Support Services	3,263,221	3,304,976	41,755	1.3	2,966,348	3,035,844	69,496	2.3
Debt Service	0	0	0	--	0	0	0	--
<b>TOTAL</b>	<b>\$ 14,958,948</b>	<b>\$ 15,509,315</b>	<b>\$ 550,367</b>	<b>3.7 %</b>	<b>\$ 14,321,173</b>	<b>\$ 14,711,372</b>	<b>\$ 390,199</b>	<b>2.7 %</b>
<b>By Major Object of Expenditure:</b>								
Salaries and Wages	\$ 12,231,262	\$ 12,776,600	\$ 545,338	4.5 %	\$ 11,884,852	\$ 12,242,033	\$ 357,181	3.0 %
Contractual Services	1,446,891	1,482,827	35,936	2.5	1,446,891	1,482,827	35,936	2.5
Commodities	1,097,759	1,064,189	(33,570)	(3.1)	979,206	983,956	4,750	0.5
Capital Outlay	172,812	183,143	10,331	6.0	0	0	0	--
Debt Service	0	0	0	--	0	0	0	--
Subtotal - Operations	\$ 14,948,724	\$ 15,506,759	\$ 558,035	3.7 %	\$ 14,310,949	\$ 14,708,816	\$ 397,867	2.8 %
Aid to Local Units	0	0	0	--	0	0	0	--
Other Assistance	10,224	2,556	(7,668)	(75.0)	10,224	2,556	(7,668)	(75.0)
<b>TOTAL</b>	<b>\$ 14,958,948</b>	<b>\$ 15,509,315</b>	<b>\$ 550,367</b>	<b>3.7 %</b>	<b>\$ 14,321,173</b>	<b>\$ 14,711,372</b>	<b>\$ 390,199</b>	<b>2.7 %</b>
<b>Financing:</b>								
State General Fund	\$ 14,892,911	\$ 15,440,371	\$ 547,460	3.7 %	\$ 14,255,900	\$ 14,643,207	\$ 387,307	2.7 %
General Fees Fund	66,037	68,944	2,907	4.4	65,273	68,165	2,892	4.4
<b>TOTAL</b>	<b>\$ 14,958,948</b>	<b>\$ 15,509,315</b>	<b>\$ 550,367</b>	<b>3.7 %</b>	<b>\$ 14,321,173</b>	<b>\$ 14,711,372</b>	<b>\$ 390,199</b>	<b>2.7 %</b>

# BUDGET OVERVIEW

## A. FY 2015 – Current Year

### Adjustments to Approved State General Fund Budget

The Legislature approved a State General Fund budget of \$14,587,846 for the Ellsworth Correctional Facility in FY 2015. Two adjustments have subsequently been made to that amount. These adjustments change the current year approved amount without any legislative action required. For this agency, the following adjustments have been made.

- An increase of \$6,564, based on the reappropriation of FY 2014 funding which was not spent in FY 2014 and has shifted to FY 2015.

- A reduction of \$124,183, as the result of the Governor’s December 9<sup>th</sup> State General Fund allotment.

These adjustments change the FY 2015 approved State General Fund to \$14,470,227. That amount is reflected in the table below as the currently approved FY 2015 State General Fund amount. The agency’s budget was submitted prior to the December allotment, so the agency’s revised budget estimates do not incorporate the allotment.

	CHANGE FROM APPROVED BUDGET				
	Legislative Approved FY 2015	Agency Estimate FY 2015	Agency Change from Approved	Governor Rec. FY 2015	Governor Change from Approved
State General Fund	\$ 14,470,227	\$ 14,594,410	\$ 124,183	\$ 14,470,227	\$ 0
All Other Funds	62,798	139,172	76,374	138,711	75,913
TOTAL	<u>\$ 14,533,025</u>	<u>\$ 14,733,582</u>	<u>\$ 200,557</u>	<u>\$ 14,608,938</u>	<u>\$ 75,913</u>
FTE Positions	232.0	235.0	3.0	232.0	0.0

The **agency** estimates FY 2015 total expenditures of \$14.7 million, including \$14.6 million from the State General Fund. The estimate is an increase of \$200,557, or 1.4 percent, including a

State General Fund increase of \$124,183, or 0.9 percent, above the FY 2015 approved amount. The increase is due to capital improvement expenditures for rehabilitation and repair and the

Governor's December 2014 Allotment that reduced approved State General Fund expenditures. The estimate includes 235.0 FTE positions, which is 3.0 FTE positions above the FY 2015 approved amount.

The **Governor** recommends FY 2015 total expenditures of \$14.6 million, including \$14.5 million from the State General

Fund. The recommendation is a decrease of \$124,644, or 0.8 percent, including a State General Fund decrease of \$124,183, or 0.9 percent, below the agency's estimate. The decrease is attributable to inclusion of the Governor's December 2014 Allotment. The recommendation includes 232.0 FTE positions, which is 3.0 less FTE positions than the agency's estimate. The decrease is a technical adjustment that changed 3.0 FTE positions to non-FTE unclassified positions.

### Governor's Allotments

The consensus revenue estimating process was completed on November 10, 2014 subsequent to agencies submitting budgets with revised expenditures for the current fiscal year. The results of the new consensus revenue estimates identified a \$278.7 million State General Fund shortfall for FY 2015. This prompted the Governor to address the shortfall with an allotment plan totaling \$280.0 million which reduced

expenditures by \$60.1 million. The allotment plan also included recommendations to transfer funds and adjust Non-State General Fund expenditures an additional \$219.9 million. The adjustments included in the \$219.9 million will require legislative approval to implement. The allotments shown in the table below reflect only those allotments which have already taken place.

GOVERNOR'S ALLOTMENTS			
Allotment	SGF	All Funds	FTE
December Allotment			
Reduce KPERS Employer Contribution Rate	\$ 117,619	\$ 117,619	0.0
Lapse Reappropriations	6,564	6,564	0.0
TOTAL	<u>\$ 124,183</u>	<u>\$ 124,183</u>	<u>0.0</u>

### Kansas Public Employees Retirement System (KPERS) Reduction:

A reduction of \$117,619, as a result of the Governor's State General Fund allotment reducing the Kansas Public Employer Regular and School Member employer contribution rate

(excluding KPERS Death and Disability) from 11.27 percent to 8.65 percent in FY 2015. This allotment will have no impact on the agency operations but is reflective of a reduction of expenditures. An additional \$461 from special revenue funds is reduced in the Governor's budget recommendation resulting in a total reduction of \$118,080.

**Reappropriations Lapse:**

the total FY 2015 State General Fund reappropriation of \$6,564 for the agency.

A reduction of \$6,545, as a result of the Governor’s State General Fund reappropriation allotment. This is a reduction to

**B. FY 2016 – Budget Year**

<b>FY 2016 OPERATING BUDGET SUMMARY</b>			
	Agency Request	Governor's Recommendation	Difference
<b>Total Request/Recommendation</b>	\$ 14,958,948	\$ 14,321,173	\$ (637,775)
<b>FTE Positions</b>	235.0	232.0	(3.0)
<b><i>Change from FY 2015:</i></b>			
<i>Dollar Change:</i>			
State General Fund	\$ 392,792	\$ (120,036)	
All Other Funds	3,060	2,757	
TOTAL	<u>\$ 395,852</u>	<u>\$ (117,279)</u>	
<i>Percent Change:</i>			
State General Fund	2.7 %	(0.8) %	
All Other Funds	4.9	4.4	
TOTAL	<u>2.7 %</u>	<u>(0.8) %</u>	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2016 operating expenditures of \$15.0 million, including \$14.9 million from the State General Fund. The request is an increase of \$395,852, or 2.7 percent,

including a State General Fund increase of \$392,792, or 2.7 percent. The request includes enhancements totaling \$462,647, all from the State General Fund, for fringe benefit shortfalls,

longevity bonuses, security vests, vehicle replacements, new security equipment, and IT equipment replacement. The request includes 235.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$14.5 million, including \$14.4 million from the State General Fund. The request is a decrease of \$66,795, or 0.5 percent, including a State General Fund decrease of \$69,855, or 0.5 percent, below the FY 2015 estimate. The major changes for FY 2016 include the following items.

- **Salaries and Wages.** The agency requests \$12.2 million for salaries and wages. The request is a decrease of \$1,498, or less than 0.1 percent, below the FY 2015 estimate. The request includes enhancements totaling \$171,282, all from the State General Fund, for fringe benefit shortfalls and longevity bonuses. **Absent the enhancements**, the agency requests \$12.1 million for salaries and wages. The overall decrease is due to one-time bonuses paid in FY 2015 that are not repeated for FY 2016 and a higher shrinkage rate.
- **Contractual Services.** The agency requests \$1.4 million for contractual services. The request is an increase of \$66,319, or 4.8 percent, above the FY 2015 estimate. The increase is attributable to higher cost indices for utilities.

- **Commodities.** The agency requests \$1.1 million for commodities. The request is an increase of \$169,487, or 18.3 percent, above the FY 2015 estimate. The increase is due to higher cost indices for clothing and an enhancement totaling \$118,553, all from the State General Fund, for new security surveillance equipment and security vests. **Absent the enhancement**, the agency requests \$979,206 for commodities.
- **Capital Outlay.** The agency requests \$172,812 for capital outlay. The request is an increase of \$172,812 above the FY 2015 estimate. The increase is attributable to an enhancement of \$172,812 to replace one vehicle and 33.0 percent of the facility's IT equipment.

The **Governor** recommends FY 2016 operating expenditures of \$14.3 million, including \$14.3 million from the State General Fund. The recommendation is a decrease of \$637,775, or 4.3 percent, including a State General Fund decrease of \$637,011, or 4.3 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending any of the agency's enhancement requests and recommending a reduction of \$175,128, including \$174,364 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 232.0 FTE positions, which is 3.0 less FTE positions than the agency's request. The decrease is a technical adjustment that changed 3.0 FTE positions to non-FTE unclassified positions.

## Enhancements Detail

Enhancements	FY 2016 ENHANCEMENTS					
	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Fringe Benefit Shortfalls	\$ 112,722	\$ 112,722	0.0	\$ 0	\$ 0	0.0
Longevity Bonuses	58,560	58,560	0.0	0	0	0.0
Vehicle Replacement	21,500	21,500	0.0	0	0	0.0
IT Equipment Replacement	151,312	151,312	0.0	0	0	0.0
Security Equipment	111,683	111,683	0.0	0	0	0.0
Security Vests	6,870	6,870	0.0	0	0	0.0
<b>TOTAL</b>	<b>\$ 462,647</b>	<b>\$ 462,647</b>	<b>0.0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>0.0</b>

The **agency** requests enhancements totaling \$462,647, all from the State General Fund. The enhancement request includes the following items.

- **Fringe Benefit Shortfalls.** The agency requests \$112,722 for fringe benefit shortfalls. The agency states it cannot fund the Kansas Public Employees Retirement System (KPERs) rate increase of 1.0 percent.
- **Longevity Bonuses.** The agency requests \$58,560 for longevity bonuses. The agency states it cannot fund its longevity bonuses and will have to hold positions open to do so.
- **Vehicle Replacement.** The agency requests \$21,500 to replace one inmate transportation vehicle.
- **IT Equipment Replacement.** The agency requests \$151,312 for IT equipment replacement. The agency states this would allow it to replace 33.0 percent of the agency's out-of-date technology.
- **Security Equipment.** The agency requests \$111,683 for security equipment. The agency states this would allow it to replace surveillance equipment throughout the facility to provide greater security of the facility.
- **Security Vests.** The agency requests \$6,870 for to replace 12 out dated security vests for correctional officers.

The **Governor** does not recommend any of the agency's enhancement requests.

**C. FY 2017 – Budget Year**

<b>FY 2017 OPERATING BUDGET SUMMARY</b>			
	Agency Request	Governor's Recommendation	Difference
<b>Total Request/Recommendation</b>	\$ 15,509,315	\$ 14,711,372	\$ (797,943)
<b>FTE Positions</b>	235.0	232.0	(3.0)
<b>Change from FY 2016:</b>			
<i>Dollar Change:</i>			
State General Fund	\$ 547,460	\$ 387,307	
All Other Funds	2,907	0	
TOTAL	<u>\$ 550,367</u>	<u>\$ 387,307</u>	
<i>Percent Change:</i>			
State General Fund	3.7 %	2.7 %	
All Other Funds	4.4	4.4	
TOTAL	<u>3.7 %</u>	<u>2.7 %</u>	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2017 operating expenditures of \$15.5 million, including \$15.4 million from the State General Fund. The request is an increase of \$550,367, or 3.7 percent, including a State General Fund increase of \$547,460, or 3.7 percent, above the FY 2016 request. The request includes enhancements totaling \$619,308, all from the State General Fund, for fringe benefit shortfalls, longevity bonuses, security vests, vehicle replacements, new security equipment, and IT

equipment replacement. The request includes 235.0 FTE positions, which is the same as the FY 2016 request. **Absent the enhancements**, the agency requests \$14.9 million, including \$14.8 million from the State General Fund. The request is an increase of \$393,706 or 2.7 percent, including a State General Fund increase of \$390,799, or 2.7 percent, above the FY 2016 request less enhancements. The major changes for FY 2017 include the following items.

- **Salaries and Wages.** The agency requests \$12.8 million for salaries and wages. The request is an increase of \$545,338, or 4.5 percent, above the FY 2016 request. The increase is attributable to a 27<sup>th</sup> payroll period and enhancements totaling \$355,932, all from the State General Fund, for fringe benefit shortfalls and longevity bonuses. **Absent the enhancements,** the agency requests \$12.4 million for salaries and wages.
- **Contractual Services.** The agency requests \$1.5 million for contractual services. The request is an increase of \$35,936, or 2.5 percent, above the FY 2016 request. The increase is due to higher cost indices for utilities.
- **Commodities.** The agency requests \$1.1 million for commodities. The request is a decrease of \$33,570, or 3.1 percent, below the FY 2016 request. The decrease is predominantly attributable to reduced enhancements totaling \$80,233 for new surveillance equipment and

security vests. **Absent the enhancement,** the agency requests \$983,956 for commodities.

- **Capital Outlay.** The agency requests \$183,143 for capital outlay. The request is an increase of \$10,331, or 6.0 percent, above the FY 2016 request. The increase is due to a higher enhancement to replace two vehicles and 33.0 percent of the agency's IT equipment.

The **Governor** recommends FY 2017 operating expenditures of \$14.7 million, including \$14.6 million from the State General Fund. The recommendation is a decrease of \$797,943, or 5.1 percent, including a State General Fund decrease of \$797,164, or 5.2 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and recommending a reduction of \$178,635, including \$177,856 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 232.0 FTE positions, which is 3.0 less FTE positions than the agency's request. The decrease is a technical adjustment that changed 3.0 FTE positions to non-FTE unclassified positions.

## Enhancements Detail

Enhancements	FY 2017 ENHANCEMENTS					
	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Fringe Benefit Shortfalls	\$ 292,732	\$ 292,732	0.0	\$ 0	\$ 0	0.0
Longevity Bonuses	63,200	63,200	0.0	0	0	0.0
Vehicle Replacement	37,600	37,600	0.0	0	0	0.0
IT Equipment Replacement	145,543	145,543	0.0	0	0	0.0
Security Equipment	73,363	73,363	0.0	0	0	0.0
Security Vests	6,870	6,870	0.0	0	0	0.0
<b>TOTAL</b>	<b>\$ 619,308</b>	<b>\$ 619,308</b>	<b>0.0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>0.0</b>

The **agency** requests enhancements totaling \$619,308, all from the State General Fund. The enhancement request includes the following items.

- Fringe Benefit Shortfalls.** The agency requests \$292,732 for fringe benefit shortfalls. The agency states it cannot fund the KPERS rate and health insurance rate increases.
- Longevity Bonuses.** The agency requests \$63,200 for longevity bonuses. The agency states it cannot fund its longevity bonuses and will have to hold positions open to do so.
- Vehicle Replacement.** The agency requests \$37,600 to replace two security vehicles.
- IT Equipment Replacement.** The agency requests \$145,543 for IT equipment replacement. The agency states this would allow it to replace 33.0 percent of the agency's out-of-date technology.
- Security Equipment.** The agency requests \$73,363 for security equipment. The agency states this would allow it to replace surveillance equipment throughout the facility to provide greater security of the facility.
- Security Vests.** The agency requests \$6,870 for to replace 12 outdated security vests for correctional officers.

The **Governor** does not recommend any of the agency's enhancement requests.

## Governor's Recommended Salary and Wage Adjustments

**State Employee Pay Increases.** The 2014 Legislature approved funding of \$11.3 million, including \$4.5 million from the State General Fund, in FY 2015 for a \$250 bonus for all full-time employees except elected officials who were employed on December 6, 2013, and which was paid December 6, 2014. **For this agency, the FY 2015 bonus totals \$57,932, including \$57,713 from the State General Fund, and affects 186 employees.**

**Longevity Bonus Payments.** For FY 2016 and FY 2017, the Governor recommends funding longevity bonus payments for eligible state employees at the statutory rate of \$40 per year of service, with a 10-year minimum (\$400), and a 25-year maximum (\$1,000). Classified employees hired after June 15, 2008 are not eligible for longevity bonus payments. The estimated cost for the recommended FY 2016 payments is \$7.2 million, including \$2.8 million from the State General Fund. For FY 2017, the estimated cost for the payments is \$7.7 million, including \$3.0 million from the State General Fund. **For this agency, FY 2016 longevity payments total \$56,320, including \$56,067 from the State General Fund, and FY 2017 longevity payments total \$62,920, including \$62,631 from the State General Fund.**

**Group Health Insurance.** The Governor recommends Group Health and Hospitalization employer contributions of \$282.8 million, including \$108.2 million from the State General Fund, for FY 2016 and \$289.2 million, including \$110.7 million from the State General Fund, for FY 2017. For FY 2016 and FY 2017, the Governor recommends reducing the employer contributions for group health insurance by 8.5 percent. **For this agency this is a reduction of \$175,128, including \$174,364 from the State General Fund for FY 2016. This is a reduction of \$178,635, including \$177,856 from the State General Fund, for FY 2017.**

**Kansas Public Employees Retirement System (KPERS) Rate Adjustments.** The FY 2015 employer retirement contribution for KPERS regular and school members was scheduled to be 11.27 percent, an increase of 1.0 percent from 10.27 in FY 2014. The Governor reduced the employer contribution rate from 11.27 percent to 8.65 percent for the second half of FY 2015, for an effective contribution rate of 9.96 percent as part of the Governor's allotment plan. For FY 2016, the Governor recommends the retirement rate increase by 2.41 percent from 9.96 percent to 12.37 percent. For FY 2017, the Governor recommends the retirement rate increase by 1.2 percent from 12.37 percent to 13.57 percent. This increase is attributable to the annual statutory increase for financing the unfunded liability of the KPERS fund.

In addition, the employer contribution for the KPERS death and disability insurance rate will be increased to 1.0 percent, from 0.85 percent, for FY 2016 and for FY 2017.

The Governor further proposes offering \$1.5 billion in Pension Obligation Bonds, backed by the State General Fund, to be paid back over 30 years and extending the amortization on the current bonds by ten years to 2043. The proceeds of the bonds would be used to help reduce the future employer contribution rates of the State/School Group. The Kansas Public Employee Retirement System estimates this plan could reduce employer contributions by \$68.43 million in FY 2016 and \$132.85 in FY 2017. The Kansas Development Finance Authority estimates that the bond service would be \$90.3 million in FY 2016 through FY 2017, assuming the Kansas credit ratings are not downgraded.

## Funding Sources

Funding Source	Agency Req. Percent of Total FY 2016	Gov. Rec. Percent of Total FY 2016	Agency Req. Percent of Total FY 2017	Gov. Rec. Percent of Total FY 2017
State General Fund	99.6 %	99.5 %	99.6 %	99.5 %
General Fees Fund	0.4	0.5	0.4	0.5
TOTAL	<u>100.0 %</u>	<u>100.0 %</u>	<u>100.0 %</u>	<u>100.0 %</u>

**Note:** Percentages may not add due to rounding.

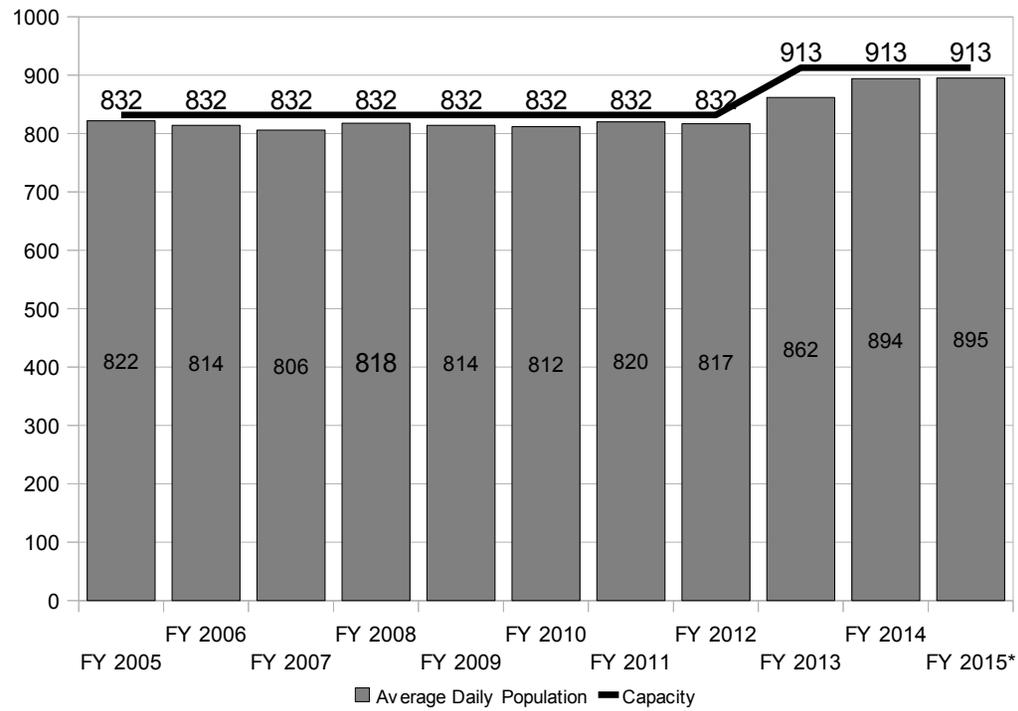
## General Fees Fund

Ellsworth Correctional Facility (ECF) supervises an inmate work detail assigned to the Kansas Department of Transportation (KDOT) for clean-up and maintenance on state

highways. The payments received from KDOT make up the primary income for the ECF General Fees Fund. Other revenue includes the sale of surplus equipment and materials.

<u>Resource Estimate</u>	<u>Actual FY 2014</u>	<u>Agency Estimate FY 2015</u>	<u>Governor Rec. FY 2015</u>	<u>Agency Request FY 2016</u>	<u>Gov. Rec. FY 2016</u>	<u>Agency Request FY 2017</u>	<u>Gov. Rec. FY 2017</u>
Beginning Balance	\$ 23,971	\$ 29,383	\$ 29,383	\$ 29,204	\$ 29,665	\$ 29,204	\$ 30,429
Revenue	68,290	62,798	62,798	66,037	66,037	68,944	68,944
Transfers in	0	0	0	0	0	0	0
Total Funds Available	\$ 92,261	\$ 92,181	\$ 92,181	\$ 95,241	\$ 95,702	\$ 98,148	\$ 99,373
Less: Expenditures	62,878	62,977	62,516	66,037	65,273	68,944	68,165
Transfers Out	0	0	0	0	0	0	0
Off Budget Expenditures	0	0	0	0	0	0	0
Ending Balance	<u>\$ 29,383</u>	<u>\$ 29,204</u>	<u>\$ 29,665</u>	<u>\$ 29,204</u>	<u>\$ 30,429</u>	<u>\$ 29,204</u>	<u>\$ 31,208</u>
Ending Balance as Percent of Expenditures	46.7%	46.4%	47.5%	44.2%	46.6%	42.4%	45.8%
Month Highest Ending Balance	June \$ 29,384	June \$ 36,141	June \$ 36,141	June \$ 35,921	June \$ 36,488	June \$ 35,921	June \$ 37,428
Month Lowest Ending Balance	September \$ 9,275	September \$ 10,872	September \$ 10,872	September \$ 10,805	September \$ 10,978	September \$ 10,805	September \$ 11,259

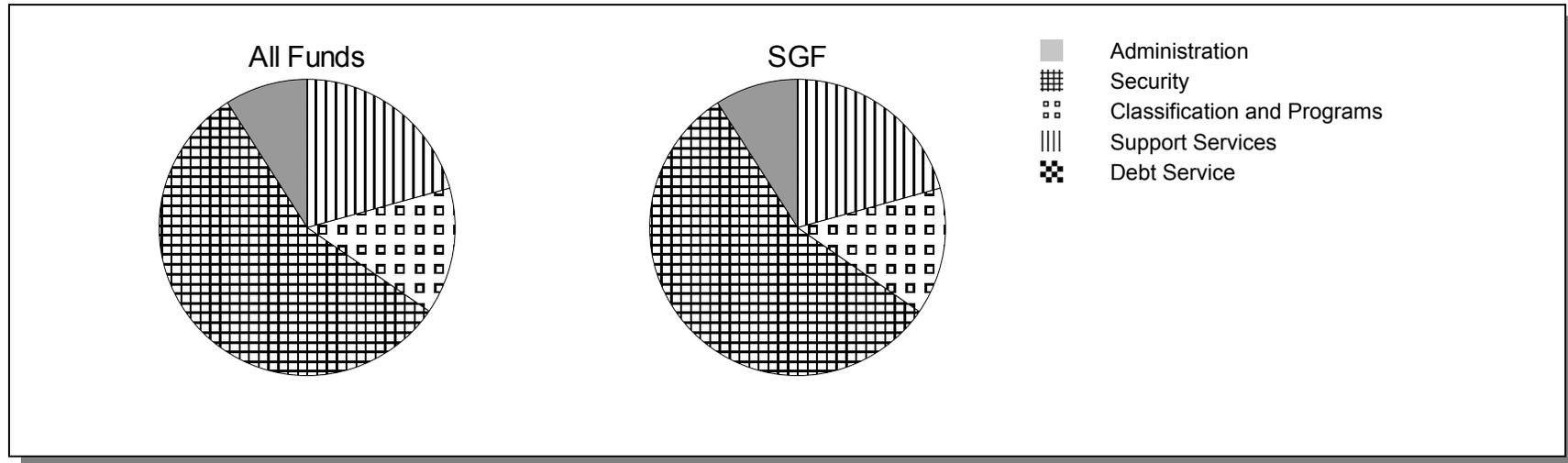
### Capacity and Average Daily Population



\*FY 2015 average daily population current as of January 14, 2015

# PROGRAM DETAIL

## EXPENDITURES BY PROGRAM-- GOVERNOR'S FY 2016 RECOMMENDATION



Program	Gov. Rec. All Funds FY 2016	Percent of Total	Gov. Rec. SGF FY 2016	Percent of Total
Administration	\$ 1,296,428	9.1 %	\$ 1,296,428	9.1 %
Security	8,076,176	56.4	8,022,743	56.3
Classification and Programs	1,982,221	13.8	1,982,221	13.9
Support Services	2,966,348	20.7	2,954,508	20.7
Debt Service	0	0.0	0	0.0
<b>TOTAL</b>	<b>\$ 14,321,173</b>	<b>100.0 %</b>	<b>\$ 14,255,900</b>	<b>100.0 %</b>

**FTE POSITIONS BY PROGRAM FY 2014 – FY 2017**

<u>Program</u>	<u>Actual FY 2014</u>	<u>Agency Est. FY 2015</u>	<u>Gov. Rec. FY 2015</u>	<u>Agency Req. FY 2016</u>	<u>Gov. Rec. FY 2016</u>	<u>Agency Req. FY 2017</u>	<u>Gov. Rec. FY 2017</u>
Administration	18.0	20.0	18.0	20.0	18.0	20.0	18.0
Security	163.0	164.0	163.0	164.0	163.0	164.0	163.0
Classification and Programs	33.0	33.0	33.0	33.0	33.0	33.0	33.0
Support Services	18.0	18.0	18.0	18.0	18.0	18.0	18.0
Debt Service	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>TOTAL</b>	<u>232.0</u>	<u>235.0</u>	<u>232.0</u>	<u>235.0</u>	<u>232.0</u>	<u>235.0</u>	<u>232.0</u>

**A. Administration**

The Administration program provides management direction and technical assistance including fiscal, personnel, and training

to the other programs within the agency. The program is administered by the Warden.

**ADMINISTRATION**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 1,193,355	\$ 1,133,249	\$ 1,121,954	\$ 1,356,963	\$ 1,171,058	\$ 1,579,952	\$ 1,209,104
Contractual Services	139,793	131,063	131,063	123,145	123,145	123,500	123,500
Commodities	5,020	2,222	2,222	2,225	2,225	2,225	2,225
Capital Outlay	125,302	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 1,463,470	\$ 1,266,534	\$ 1,255,239	\$ 1,482,333	\$ 1,296,428	\$ 1,705,677	\$ 1,334,829
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	1,178	412	412	0	0	0	0
<b>TOTAL</b>	<b>\$ 1,464,648</b>	<b>\$ 1,266,946</b>	<b>\$ 1,255,651</b>	<b>\$ 1,482,333</b>	<b>\$ 1,296,428</b>	<b>\$ 1,705,677</b>	<b>\$ 1,334,829</b>
<b>Financing:</b>							
State General Fund	\$ 1,464,648	\$ 1,266,946	\$ 1,255,651	\$ 1,482,333	\$ 1,296,428	\$ 1,705,677	\$ 1,334,829
All Other Funds	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>\$ 1,464,648</b>	<b>\$ 1,266,946</b>	<b>\$ 1,255,651</b>	<b>\$ 1,482,333</b>	<b>\$ 1,296,428</b>	<b>\$ 1,705,677</b>	<b>\$ 1,334,829</b>
FTE Positions	18.0	20.0	18.0	20.0	18.0	20.0	18.0
Non-FTE Uncl. Perm. Pos.	2.0	0.0	2.0	0.0	2.0	0.0	2.0
<b>TOTAL</b>	<b>20.0</b>	<b>20.0</b>	<b>20.0</b>	<b>20.0</b>	<b>20.0</b>	<b>20.0</b>	<b>20.0</b>

The **agency** requests FY 2016 operating expenditures of \$1.5 million, all from the State General Fund. The request is an increase of \$215,387, or 17.0 percent, above the FY 2015 estimate. The request contains enhancements totaling \$171,282, all from the State General Fund, for fringe benefit shortfalls and longevity bonuses. The request includes 20.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$1.3 million, all from the State General Fund. The request is an increase of \$44,105,

or 3.5 percent, above the FY 2015 estimate. The increase is mainly due to higher health insurance rates.

The **Governor** recommends FY 2016 operating expenditures of \$1.3 million, all from the State General Fund. The recommendation is a decrease of \$185,905, or 12.5 percent, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and recommending a reduction of

\$14,623 to reduce employer contributions for state employee health insurance. The Governor recommends 18.0 FTE positions, which is 2.0 less FTE positions than the agency's request. The decrease is due to a technical adjustment.

The **agency** requests FY 2017 operating expenditures of \$1.7 million, all from the State General Fund. The request is an increase of \$223,344, or 15.1 percent, above the FY 2016 request. The request contains enhancements totaling \$355,932, all from the State General Fund, for fringe benefit shortfalls and longevity bonuses. The request includes 20.0 FTE positions, the same as the FY 2016 request. **Absent the enhancements**, the agency requests \$1.3 million, all from the State General Fund. The request is an increase of \$38,694, or 3.0 percent, above the

## ***B. Security***

The Security program provides supervision, surveillance, transportation and control of the inmate population at the agency. The program contains the agency's largest number of full-time equivalent positions to ensure protection to the public and staff and to keep the physical plant free from vandalism and contraband that outside sources may attempt to introduce into

FY 2016 request less enhancements. The increase is primarily attributable to a 27<sup>th</sup> payroll period in that fiscal year.

The **Governor** recommends FY 2017 operating expenditures of \$1.3 million, all from the State General Fund. The recommendation is a decrease of \$370,848, or 21.7 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement requests and recommending a reduction of \$14,916 to reduce employer contributions for state employee health insurance. The Governor recommends 18.0 FTE positions, which is 2.0 less FTE positions than the agency's request. The decrease is attributable to a technical adjustment.

the facility. In the event of an escape or disturbance, Security has the primary responsibility to apprehend, investigate and recommend those responsible for prosecution. All duties are carried out in accordance with prescribed rules and regulations with the security standards that are a part of the training program operated at the agency.

**SECURITY**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 7,531,143	\$ 8,264,163	\$ 8,184,613	\$ 8,088,996	\$ 7,965,766	\$ 8,324,937	\$ 8,199,239
Contractual Services	3,428	7,964	7,964	8,010	8,010	8,010	8,010
Commodities	85,839	97,719	97,719	109,270	102,400	109,320	102,450
Capital Outlay	47,678	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 7,668,088	\$ 8,369,846	\$ 8,290,296	\$ 8,206,276	\$ 8,076,176	\$ 8,442,267	\$ 8,309,699
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>\$ 7,668,088</b>	<b>\$ 8,369,846</b>	<b>\$ 8,290,296</b>	<b>\$ 8,206,276</b>	<b>\$ 8,076,176</b>	<b>\$ 8,442,267</b>	<b>\$ 8,309,699</b>
<b>Financing:</b>							
State General Fund	\$ 7,618,213	\$ 8,318,669	\$ 8,239,580	\$ 8,152,079	\$ 8,022,743	\$ 8,385,163	\$ 8,253,374
All Other Funds	49,875	51,177	50,716	54,197	53,433	57,104	56,325
<b>TOTAL</b>	<b>\$ 7,668,088</b>	<b>\$ 8,369,846</b>	<b>\$ 8,290,296</b>	<b>\$ 8,206,276</b>	<b>\$ 8,076,176</b>	<b>\$ 8,442,267</b>	<b>\$ 8,309,699</b>
FTE Positions	163.0	164.0	163.0	164.0	163.0	164.0	163.0
Non-FTE Uncl. Perm. Pos.	1.0	0.0	1.0	0.0	1.0	0.0	1.0
<b>TOTAL</b>	<b>164.0</b>	<b>164.0</b>	<b>164.0</b>	<b>164.0</b>	<b>164.0</b>	<b>164.0</b>	<b>164.0</b>

The **agency** requests FY 2016 operating expenditures of \$8.2 million, including \$8.2 million from the State General Fund. The request is a decrease of \$163,570, or 2.0 percent, including a State General Fund decrease of \$166,590, or 2.0 percent, below the FY 2015 estimate. The decrease is mainly attributable to one-time bonuses made in FY 2015 that are not made for FY 2016, partially offset by an increase in commodities for clothing costs. The request includes an enhancement of \$6,870, all from the State General Fund, for new security vests. The request

includes 164.0 FTE positions, which is the same as the FY 2015 estimate.

The **Governor** recommends FY 2016 operating expenditures of \$8.1 million, including \$8.0 million from the State General Fund. The recommendation is a decrease of \$130,100, or 1.6 percent, including a State General Fund decrease of \$129,336, or 1.6 percent, below the agency's FY 2016 request. The decrease is due to the Governor not

recommending the agency's enhancement request and recommending a reduction of \$123,230, including \$122,466 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 163.0 FTE positions, which is 1.0 less FTE position than the agency's request. The decrease is attributable to a technical adjustment.

The **agency** requests FY 2017 operating expenditures of \$8.4 million, including \$8.4 million from the State General Fund. The request is an increase of \$235,991, or 2.9 percent, including a State General Fund increase of \$233,084, or 2.9 percent, above the FY 2016 request. The increase is primarily due to a 27<sup>th</sup> payroll period, KPERS contribution rate increases, and health insurance rate increases. The request includes 164.0 FTE positions, which is the same as the FY 2016 request.

### ***C. Classification and Programs***

Classification and Programs is composed of the following two subprograms: Classification and Records; and Religion, Recreation, and Library Services. Classification and Records reports and records all pertinent information regarding the

The **Governor** recommends FY 2017 operating expenditures of \$8.3 million, including \$8.3 million from the State General Fund. The recommendation is a decrease of \$132,568, or 1.6 percent, including a State General Fund decrease of \$131,789, or 1.6 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement request and recommending a reduction of \$125,698, including \$124,919 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 163.0 FTE positions, which is 1.0 less FTE position than the agency's request. The decrease is due to a technical adjustment.

movement and progress of agency inmates. Religion, Recreation, and Library Services provides recreational opportunities for inmates such as sports, social, cultural, and special events, as well as religious counseling and services.

**CLASSIFICATION AND PROGRAMS**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 1,894,317	\$ 1,852,283	\$ 1,834,193	\$ 1,795,369	\$ 1,770,472	\$ 1,852,314	\$ 1,826,919
Contractual Services	191,579	198,560	198,560	199,125	199,125	199,125	199,125
Commodities	5,796	2,394	2,394	2,400	2,400	2,400	2,400
Capital Outlay	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 2,091,692	\$ 2,053,237	\$ 2,035,147	\$ 1,996,894	\$ 1,971,997	\$ 2,053,839	\$ 2,028,444
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	29,897	19,360	19,360	10,224	10,224	2,556	2,556
TOTAL	<u>\$ 2,121,589</u>	<u>\$ 2,072,597</u>	<u>\$ 2,054,507</u>	<u>\$ 2,007,118</u>	<u>\$ 1,982,221</u>	<u>\$ 2,056,395</u>	<u>\$ 2,031,000</u>
<b>Financing:</b>							
State General Fund	\$ 2,121,589	\$ 2,072,597	\$ 2,054,507	\$ 2,007,118	\$ 1,982,221	\$ 2,056,395	\$ 2,031,000
All Other Funds	0	0	0	0	0	0	0
TOTAL	<u>\$ 2,121,589</u>	<u>\$ 2,072,597</u>	<u>\$ 2,054,507</u>	<u>\$ 2,007,118</u>	<u>\$ 1,982,221</u>	<u>\$ 2,056,395</u>	<u>\$ 2,031,000</u>
FTE Positions	33.0	33.0	33.0	33.0	33.0	33.0	33.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>

The **agency** requests FY 2016 operating expenditures of \$2.0 million, all from the State General Fund. The request is a decrease of \$65,479, or 3.2 percent, below the FY 2015 estimate. The decrease is predominantly attributable to a higher salaries and wages shrinkage rate. The request includes 33.0 FTE positions, which is the same as the FY 2015 estimate.

The recommendation is a decrease of \$24,897, or 1.2 percent, below the agency's FY 2016 request. The decrease is due to the Governor recommending a reduction of \$24,897 to reduce employer contributions for state employee health insurance. The recommendation includes 33.0 FTE positions, the same as the FY 2016 request.

The **Governor** recommends FY 2016 operating expenditures of \$2.0 million, all from the State General Fund.

The **agency** requests FY 2017 operating expenditures of \$2.1 million, all from the State General Fund. The request is an

increase of \$49,277, or 2.5 percent, above the FY 2016 request. The increase is mainly due to a 27<sup>th</sup> payroll period. The request includes 33.0 FTE positions, which is the same as the FY 2016 request.

The **Governor** recommends FY 2017 operating expenditures of \$2.0 million, all from the State General Fund.

#### ***D. Support Services***

The Support Services program consists of the following operations: Mechanical Services, Laundry and Supply, and the Food Service Department. Mechanical Services provides maintenance and repairs for all equipment, building, and grounds, while Laundry and Supply provides all supplies and

The recommendation is a decrease of \$25,395, or 1.2 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor recommending a reduction of \$25,395 to reduce employer contributions for state employee health insurance. The recommendation includes 33.0 FTE positions, the same as the FY 2017 request.

cleaning services for the facility. The Food Service Department is presently under contract with ARAMARK Correctional Service, Inc., which provides food and meal preparation services and is accounted for in the Department of Corrections budget.

**SUPPORT SERVICES**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 1,010,616	\$ 983,065	\$ 973,920	\$ 989,934	\$ 977,556	\$ 1,019,397	\$ 1,006,771
Contractual Services	1,025,938	1,042,585	1,042,585	1,116,611	1,116,611	1,152,192	1,152,192
Commodities	842,875	825,937	819,373	983,864	872,181	950,244	876,881
Capital Outlay	190,888	0	0	172,812	0	183,143	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 3,070,317	\$ 2,851,587	\$ 2,835,878	\$ 3,263,221	\$ 2,966,348	\$ 3,304,976	\$ 3,035,844
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 3,070,317</u>	<u>\$ 2,851,587</u>	<u>\$ 2,835,878</u>	<u>\$ 3,263,221</u>	<u>\$ 2,966,348</u>	<u>\$ 3,304,976</u>	<u>\$ 3,035,844</u>
<b>Financing:</b>							
State General Fund	\$ 3,057,314	\$ 2,839,787	\$ 2,824,078	\$ 3,251,381	\$ 2,954,508	\$ 3,293,136	\$ 3,024,004
All Other Funds	13,003	11,800	11,800	11,840	11,840	11,840	11,840
TOTAL	<u>\$ 3,070,317</u>	<u>\$ 2,851,587</u>	<u>\$ 2,835,878</u>	<u>\$ 3,263,221</u>	<u>\$ 2,966,348</u>	<u>\$ 3,304,976</u>	<u>\$ 3,035,844</u>
FTE Positions	18.0	18.0	18.0	18.0	18.0	18.0	18.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>

The **agency** requests FY 2016 operating expenditures of \$3.3 million, including \$3.3 million from the State General Fund. The request is an increase of \$411,634, or 14.4 percent, including a State General Fund increase of \$411,594, or 14.5 percent, above the FY 2015 estimate. The request includes enhancements totaling \$284,495, all from the State General Fund, for vehicle, IT equipment, and security surveillance replacements. The request includes 18.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the**

**enhancements**, the agency requests \$3.0 million, including \$3.0 million from the State General Fund. The request is an increase of \$127,139, or 4.5 percent, including a State General Fund increase of \$127,099, or 4.5 percent, above the FY 2015 estimate. The major changes for FY 2016 include the following items.

- **Contractual Services.** The agency requests \$1.1 million for contractual services. The request is an increase of \$74,026, or 7.1 percent, above the FY 2015 estimate. The increase is due to higher cost indices for utilities.
- **Commodities.** The agency requests \$983,864 for commodities. The request is an increase of \$157,927, or 19.1 percent, above the FY 2015 estimate. The increase is primarily attributable to an enhancement of \$111,683, for new security surveillance equipment. **Absent the enhancement**, the agency requests \$872,181 for commodities.
- **Capital Outlay.** The agency requests \$172,812 for capital outlay. The request is an increase of \$172,812 above the FY 2015 estimate. The increase is due to an enhancement of \$172,812 to replace one vehicle and 33.0 percent of the agency's IT equipment.

The **Governor** recommends FY 2016 operating expenditures of \$3.0 million, including \$3.0 million from the State General Fund. The recommendation is a decrease of \$296,873, or 9.1 percent, all from the State General Fund, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and recommending a reduction of \$12,378, all from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 18.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$3.3 million, including \$3.3 million from the State General Fund.

The request is an increase of \$41,755, or 1.3 percent, all from the State General Fund, above the FY 2016 request. The request includes enhancements totaling \$256,506, all from the State General Fund, for vehicle, IT equipment, and security surveillance replacements. The request includes 18.0 FTE positions, which is the same as the FY 2016 request. **Absent the enhancement**, the agency requests \$3.0 million, including \$3.0 million from the State General Fund. The request is a decrease of \$69,744, or 2.3 percent, all from the State General Fund, above the FY 2016 request. The major changes for FY 2017 include the following items.

- **Salaries and Wages.** The agency requests \$1.0 million for salaries and wages. The request is an increase of \$29,463, or 3.0 percent, above the FY 2016 request. The increase is attributable to a 27<sup>th</sup> payroll period.
- **Contractual Services.** The agency requests \$1.2 million for contractual services. The request is an increase of \$35,581, or 3.2 percent, above the FY 2016 request. The increase is due to higher cost indices for utilities.
- **Commodities.** The agency requests \$950,244 for commodities. The request is a decrease of \$33,620, or 3.4 percent, below the FY 2016 request. The decrease is attributable to a smaller enhancement for new security surveillance equipment. **Absent the enhancement**, the agency requests \$876,881 for commodities.
- **Capital Outlay.** The agency requests \$183,143 for capital outlay. The request is an increase of \$10,331, or 6.0 percent, above the FY 2016

request. The increase is due to a larger enhancement to replace two vehicles.

The **Governor** recommends FY 2017 operating expenditures of \$3.0 million, including \$3.0 million from the State General Fund. The recommendation is a decrease of

\$269,132, or 8.1 percent, all from the State General Fund, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement requests and recommending a reduction of \$12,626, all from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 18.0 FTE positions, the same as the agency's request.

### ***E. Debt Service***

Ellsworth Correctional Facility participates in the Facility Conservation Improvement Program (FCIP) administered by the Energy program of the Kansas Corporation Commission (KCC). The FCIP exists to help public entities conserve natural resources and reduce state expenditures. Through an Energy Service Company (ESCO) contracted by KCC, an investment grade energy audit was conducted, developing an implementation strategy including design, construction follow-up services such as staff training as well as measurement and verification of savings. The ESCO agreement is a long-term contract and becomes a partnership between the agency and the ESCO. As part of the Energy Service Contract, the savings

are guaranteed and if the savings are not achieved, the ESCO makes up the difference. The funding for ESCO services and improvements is made through a Request for Proposals (RFP) that is arranged by the state of Kansas through the Department of Administration. The financing is customized to meet the cash flows associated with the project. The facility makes payments for the duration of the lease. Any savings that exceed the debt service payments are retained by the facility. The energy projects at Ellsworth Correctional Facility have a fifteen-year lease term, which began in FY 2004.

**DEBT SERVICE**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Contractual Services	400	400	400	0	0	0	0
Commodities	0	0	0	0	0	0	0
Capital Outlay	0	0	0	0	0	0	0
Debt Service	5,387	1,720	1,720	0	0	0	0
Subtotal - Operations	\$ 5,787	\$ 2,120	\$ 2,120	\$ 0	\$ 0	\$ 0	\$ 0
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 5,787</u>	<u>\$ 2,120</u>	<u>\$ 2,120</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
<b>Financing:</b>							
State General Fund	\$ 5,787	\$ 2,120	\$ 2,120	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	0	0	0	0	0	0	0
TOTAL	<u>\$ 5,787</u>	<u>\$ 2,120</u>	<u>\$ 2,120</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
FTE Positions	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>

The **agency** does not request any FY 2016 operating expenditures for debt service. The agency paid off its debt service in FY 2015.

The **agency** requests does not request any FY 2017 operating expenditures for debt service. The agency paid off its debt service in FY 2015.

The **Governor** concurs with the agency's request.

The **Governor** concurs with the agency's request.

# CAPITAL IMPROVEMENTS

CAPITAL IMPROVEMENTS						
	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Debt Service - Principal	\$ 94,291	\$ 94,291	\$ 0	\$ 0	\$ 0	\$ 0
Rehabilitation and Repair	76,195	76,195	0	0	0	0
TOTAL	<u>\$ 170,486</u>	<u>\$ 170,486</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
<b>Financing:</b>						
State General Fund	\$ 94,291	\$ 94,291	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	76,195	76,195	0	0	0	0
TOTAL	<u>\$ 170,486</u>	<u>\$ 170,486</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

## Current Year Agency Estimate

**FY 2015 – Current Year.** The agency estimates FY 2015 capital improvement expenditures of \$170,486, including \$94,291 from the State General Fund. The estimate is an increase of \$76,195, or 80.0 percent, above the FY 2015 approved amount. The major changes for FY 2015 include the following items.

- **Debt Service Principal.** The agency requests \$94,291 for debt service principal payments. This is the same as the FY 2015 amount.
- **Rehabilitation and Repair.** The agency requests \$76,195 for rehabilitation and repair. Projects include replacing the upgrading the fire alarms in the East Unit and renovating the clinic.

## Current Year Governor Recommendation

The **Governor** concurs with the agency's FY 2015 capital improvements revised estimate.

**Budget Year Agency Request**

**FY 2016 – Budget Year.** The **agency** does not request any capital improvement expenditures. Capital improvement

expenditures for FY 2016 are made at the discretion of the Department of Corrections’ Central Office.

**Budget Year Governor Recommendation**

The **Governor** concurs with the agency’s capital improvements request.

**Budget Year Agency Request**

**FY 2017 – Budget Year.** The **agency** does not request any capital improvement expenditures. Capital improvement

expenditures for FY 2017 are made at the discretion of the Department of Corrections’ Central Office.

**Budget Year Governor Recommendation**

The **Governor** concurs with the agency’s capital improvements request.

PERFORMANCE MEASURES					
Measure	Gov. Rec. for FY 2014	Actual FY 2014	Gov. Rec. FY 2015	Gov. Rec. FY 2016	Gov. Rec. FY 2017
Number of escapes	0	0	0	0	0
Number of inmate assaults on staff	7	9	9	9	9