

NORTON CORRECTIONAL FACILITY

	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Operating Expenditures:							
State General Fund	\$ 15,452,739	\$ 15,386,327	\$ 15,509,621	\$ 16,217,592	\$ 15,378,849	\$ 17,136,317	\$ 15,941,923
Other Funds	117,251	167,935	166,389	169,792	167,676	177,418	175,262
TOTAL	\$ 15,569,990	\$ 15,554,262	\$ 15,676,010	\$ 16,387,384	\$ 15,546,525	\$ 17,313,735	\$ 16,117,185
Capital Improvements:							
State General Fund	\$ 214,333	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Funds	640,525	196,754	196,754	0	0	0	0
TOTAL	\$ 854,858	\$ 196,754	\$ 196,754	\$ 0	\$ 0	\$ 0	\$ 0
GRAND TOTAL	\$ 16,424,848	\$ 15,751,016	\$ 15,872,764	\$ 16,387,384	\$ 15,546,525	\$ 17,313,735	\$ 16,117,185

Percentage Change:

Operating Expenditures

State General Fund	(0.5) %	(0.4) %	0.4 %	5.4 %	(0.8) %	5.7 %	3.7 %
All Funds	(1.2)	(0.1)	0.7	5.4	(0.8)	5.7	3.7

FTE Positions	260.0	261.0	262.0	261.0	262.0	261.0	262.0
Non-FTE							
Perm.Uncl.Pos.	4.0	4.0	2.0	4.0	2.0	4.0	2.0
TOTAL	264.0	265.0	264.0	265.0	264.0	265.0	264.0

AGENCY OVERVIEW

Norton Correctional Facility (NCF) opened in 1987. NCF includes the 707 bed facility that houses male offenders and occupies the buildings of the former Norton State Hospital and the 112 bed Stockton facility that was converted from a farm implement dealership building. In FY 2004, Stockton added 16 beds raising its capacity to 128. NCF currently has 537 medium and 296 minimum-security beds for a total capacity of 835. Kansas Correctional Industries maintains its microfilming operation at NCF and employs inmate labor.

Academic education programs were available to inmates for basic education through high school and secondary education before they were eliminated for budgetary reasons. These

services were provided by contract through the Southeast Kansas Education Service Center (Greenbush). Vocational education programs and courses including building maintenance and construction and horticulture are still offered through Greenbush. Colby Community College offers academic improvement classes to inmates that include reading, writing and math classes.

NCF offers inmate programs which include physical and mental health services, inmate self-help programs such as Alcoholics and Narcotics Anonymous, and a sex offender treatment program that began in FY 2000, but ceased operation in FY 2009.

MAJOR ISSUES FROM PRIOR YEARS

In **FY 2009**, the Department of Corrections suspended operations at the Stockton Correctional Facility in order to achieve budget savings. The suspension of operations resulted in a reduction of 128 minimum-security beds and 31.0 FTE positions at Norton Correctional Facility, which is responsible for operations at Stockton.

The **2009 Legislature** approved a 1.25 percent across-the-board State General Fund reduction in FY 2009 and FY 2010 and a 2.75 percent across-the-board State General Fund reduction in FY 2010. The reduction to the Norton Correctional Facility budget totaled \$173,959, all from the State General Fund, in FY 2009 and \$254,184, all from the State General Fund in FY 2010. Other changes include the following items.

- A decrease of \$127,866, all from the State General Fund, to remove funding for longevity bonuses, which the agencies were instructed to fund from within existing resources.
- An increase of \$81,643, including \$81,569 from the State General Fund, for undermarket pay adjustments as recommended in the Hay Group study that was completed in FY 2008.

In **FY 2010** the Department of Corrections received \$40.5 million of federal American Recovery and Reinvestment Act (ARRA) funds in FY 2010 and FY 2011, which was distributed, and also reduced by the same amount of State General Fund, among three correctional facilities in the following manner.

- \$20.5 million in FY 2010 and FY 2011 for Hutchinson Correctional Facility.
- \$10.0 million in FY 2010 and FY 2011 for Norton Correctional Facility.
- \$10.0 million in FY 2010 and FY 2011 for Winfield Correctional Facility.

The **2010 Legislature** approved FY 2010 reductions totaling \$20,883, including \$2,506 from the State General Fund, for a moratorium on contributions to the KPERS Death and Disability Fund. In FY 2011 the Legislature approved the following adjustments.

- Approved \$702,536 from the State General Fund in enhancement funding to reduce the salaries and wages shrinkage rate from 10.7 percent to 5.0 percent.
- Deleted \$24,310, including \$4,695 from the State General Fund, for a three-month moratorium on contributions to the KPERS Death and Disability Fund.
- Deleted \$23,160, all from the State General Fund, and instructed the agency to fund longevity bonus payments from within existing resources.
- Added \$1.7 million from the State General Fund to reopen the Stockton Correctional Facility for 11 months of FY 2011.

- Approved an undermarket pay adjustment, of which Norton Correctional Facility received \$162,829, including \$122,591 from the State General Fund.

In **FY 2011**, the Department of Corrections received \$41.3 million in federal American Recovery and Reinvestment Act (ARRA) funds in FY 2010 and FY 2011, which was distributed, and also reduced by the same amount of State General Fund, among three correctional facilities in the following manner.

- \$21.3 million in FY 2010 and FY 2011 for Hutchinson Correctional Facility.
- \$10.0 million in FY 2010 and FY 2011 for Norton Correctional Facility.
- \$10.0 million in FY 2010 and FY 2011 for Winfield Correctional Facility.

The **2011 Legislature** approved FY 2012 reductions totaling \$351,372, including \$349,777 from the State General Fund. The adjustments included the following items.

- Deleted \$142,334, all from the State General Fund, for employee longevity bonuses that are to be self-funded in the current year.
- Deleted \$183,427, including \$182,352 from the State General Fund, as part of an across-the-board reduction.
- Deleted \$21,200, including \$20,718 from the State General Fund, for a three-month

moratorium on contributions to the KPERs Death and Disability Fund.

The agency did not receive any federal American Recovery and Reinvestment Act funds beyond FY 2011.

The **2012 Legislature** approved FY 2013 reductions totaling \$141,602, including \$140,727 from the State General Fund. The adjustments included the following items.

- A reduction of \$24,100, including \$23,636 from the State General Fund, to reduce longevity bonus payments for eligible employees to the statutory amount.

- A reduction of \$95,544, all from the State General Fund, to require the agency to self fund longevity bonus payments to eligible employees.
- A reduction of \$22,958, including \$22,547 from the State General Fund, as part of a three-month moratorium on employer contributions to the Kansas Public Employees Retirement System Group Insurance Fund.

During the **2013 Legislative Session**, the Governor vetoed the agency's entire FY 2015 operating budget.

BUDGET SUMMARY AND KEY POINTS

FY 2015 Agency Estimate

The **agency** estimates FY 2015 total expenditures of \$15.8 million, including \$15.4 million from the State General Fund. The estimate is an increase of \$73,460, or 0.5 percent, above the FY 2015 approved amount, partially offset by a State General Fund decrease of \$123,294, or 0.8 percent. The overall increase is attributable to capital improvement expenditures for

rehabilitation and repair. The agency's estimate does not include the Governor's December 2014 Allotment that reduced approved State General Fund expenditures and net transfers between the agency and the Department of Corrections system. The estimate includes 261.0 FTE positions, which is 1.0 more FTE position than the FY 2015 approved amount.

FY 2015 Governor Recommendation

The **Governor** recommends FY 2015 total expenditures of \$15.9 million, including \$15.5 million from the State General Fund. The recommendation is an increase of \$121,748, or 0.8 percent, including a State General Fund increase of \$123,294, or 0.8 percent, above the agency's FY 2015 estimate. The increase in the Governor's recommendation is attributable to the inclusion of the December 2014 Allotment and net transfers

between the agency and the Department of Corrections system. The Governor further recommends a decrease of \$1,546 from special revenue funds for a reduction to KPERS employer contributions. The recommendation includes 262.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

FY 2016 Agency Request

The **agency** requests FY 2016 operating expenditures of \$16.4 million, including \$16.2 million from the State General Fund. The request is an increase of \$833,122, or 5.4 percent, including a State General Fund increase of \$831,265, or 5.4 percent, above the FY 2015 estimate. The request contains enhancements totaling \$640,748, all from the State General Fund, for fringe benefit shortfalls, correctional officer promotions, security vests, vehicle replacements, and IT equipment replacement. The request includes 261.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$15.7 million, including \$15.6 million from the State General Fund. The

request is an increase of \$192,374, or 1.2 percent, including a State General Fund increase of \$190,517, or 1.2 percent, above the FY 2015 estimate. The increase is predominantly due to higher salaries and wages for the Security program for reallocated positions, higher inmate incentive pay based on prison population projections, and higher travel expenses, partially offset by lowered cost indices for commodities.

The agency does not request any capital improvement expenditures. Capital improvement expenditures for FY 2016 are made at the discretion of the Department of Corrections' Central Office.

FY 2016 Governor Recommendation

The **Governor** recommends FY 2016 operating expenditures of \$15.5 million, including \$15.4 million from the State General Fund. The recommendation is a decrease of \$840,859, or 5.1 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending any of the agency's enhancements and a reduction of \$200,111, including \$197,995 from the State General Fund, to reduce

employer contributions for state employee health insurance. The recommendation includes 262.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

The Governor concurs with the agency's capital improvements request.

FY 2017 Agency Request

The **agency** requests FY 2017 operating expenditures of \$17.3 million, including \$17.1 million from the State General Fund. The request is an increase of \$926,351, or 5.7 percent, including a State General Fund increase of \$918,725, or 5.7 percent, above the FY 2016 request. The request contains enhancements totaling \$992,947, all from the State General Fund, for fringe benefit shortfalls, correctional officer promotions, vehicle replacements, and IT equipment replacement. **Absent the enhancements**, the agency requests \$16.3 million, including \$16.1 million from the State General Fund. The request is an increase of \$574,152, or 3.6 percent,

including a State General Fund increase of \$566,526, or 3.6 percent, above the FY 2016 request less enhancements. The increase is due primarily to a 27th payroll period in that fiscal year and higher workers compensation rates, partially offset by lower contractual services for inmate incentive pay and cost indices for commodities.

The agency does not request any capital improvement expenditures. Capital improvement expenditures for FY 2017 are made at the discretion of the Department of Corrections' Central Office.

FY 2017 Governor Recommendation

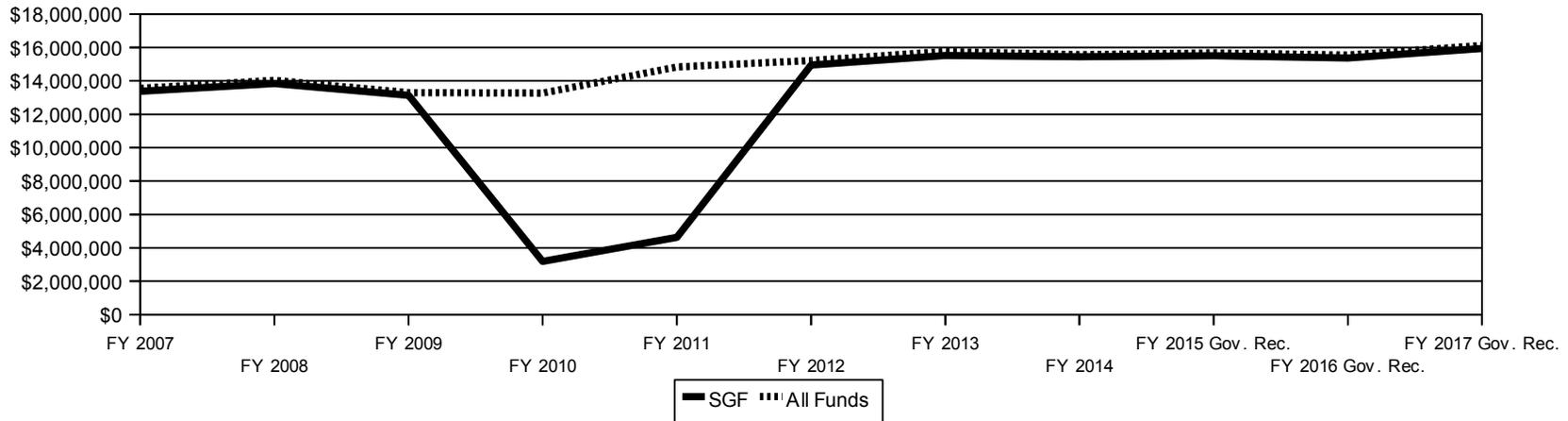
The **Governor** recommends FY 2017 operating expenditures of \$16.1 million, including \$15.9 million from the State General Fund. The recommendation is a decrease of \$1.2 million, or 6.9 percent, including a State General Fund decrease of \$1.2 million, or 7.0 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and a reduction of \$203,603, including \$201,447 from the State

General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 262.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

The Governor concurs with the agency's capital improvements request.

BUDGET TRENDS

OPERATING EXPENDITURES FY 2007 – FY 2017



Fiscal Year	SGF	% Change	All Funds	% Change	FTE
2007	\$ 13,369,651	2.5 %	\$ 13,553,549	2.5 %	265.0
2008	13,847,845	3.6	14,034,977	3.6	265.0
2009	13,136,170	(5.1)	13,298,621	(5.2)	263.0
2010	3,185,913	(75.7)	13,269,133	(0.2)	232.0
2011	4,638,507	45.6	14,835,996	11.8	264.0
2012	14,956,954	222.5	15,223,184	2.6	261.0
2013	15,522,744	3.8	15,759,833	3.5	260.0
2014	15,452,739	(0.5)	15,569,990	(1.2)	260.0
2015 Gov. Rec.	15,509,621	0.4	15,676,010	0.7	262.0
2016 Gov. Rec.	15,378,849	(0.8)	15,546,525	(0.8)	262.0
2017 Gov. Rec.	15,941,923	3.7	16,117,185	3.7	262.0
Eleven-Year Change	\$ 2,572,272	19.2 %	\$ 2,563,636	18.9 %	(3.0)

Summary of Operating Budget FY 2014 - FY 2016

	Actual 2014	Agency Estimate				Governor's Recommendation			
		Estimate FY 2015	Request FY 2016	Dollar Change from FY 15	Percent Change from FY 15	Rec. FY 2015	Rec. FY 2016	Dollar Change from FY 15	Percent Change from FY 15
By Program:									
Administration	\$ 1,008,731	\$ 1,099,711	\$ 1,145,113	\$ 45,402	4.1 %	\$ 1,089,522	\$ 1,070,186	\$ (19,336)	(1.8)%
Security	8,813,280	8,545,065	9,298,295	753,230	8.8	8,736,673	8,898,769	162,096	1.9
Classification and Programs	1,687,206	1,701,996	1,692,241	(9,755)	(0.6)	1,686,571	1,657,039	(29,532)	(1.8)
Stockton Correctional Facility	1,923,375	1,939,622	1,894,822	(44,800)	(2.3)	1,922,823	1,854,713	(68,110)	(3.5)
Support Services	2,137,398	2,267,868	2,356,913	89,045	3.9	2,240,421	2,065,818	(174,603)	(7.8)
TOTAL	\$ 15,569,990	\$ 15,554,262	\$ 16,387,384	\$ 833,122	5.4 %	\$ 15,676,010	\$ 15,546,525	\$ (129,485)	(0.8)%
By Major Object of Expenditure:									
Salaries and Wages	\$ 13,652,587	\$ 13,813,285	\$ 14,323,734	\$ 510,449	3.7 %	\$ 13,952,511	\$ 13,821,079	\$ (131,432)	(0.9)%
Contractual Services	1,155,497	1,140,984	1,149,000	8,016	0.7	1,140,984	1,149,000	8,016	0.7
Commodities	660,839	599,993	650,629	50,636	8.4	582,515	576,446	(6,069)	(1.0)
Capital Outlay	93,536	0	264,021	264,021	--	0	0	0	--
Debt Service	0	0	0	0	--	0	0	0	--
Subtotal - Operations	\$ 15,562,459	\$ 15,554,262	\$ 16,387,384	\$ 833,122	5.4 %	\$ 15,676,010	\$ 15,546,525	\$ (129,485)	(0.8)%
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	7,531	0	0	0	--	0	0	0	--
TOTAL	\$ 15,569,990	\$ 15,554,262	\$ 16,387,384	\$ 833,122	5.4 %	\$ 15,676,010	\$ 15,546,525	\$ (129,485)	(0.8)%
Financing:									
State General Fund	\$ 15,452,739	\$ 15,386,327	\$ 16,217,592	\$ 831,265	5.4 %	\$ 15,509,621	\$ 15,378,849	\$ (130,772)	(0.8)%
General Fees Fund	117,251	167,935	169,792	1,857	1.1	166,389	167,676	1,287	0.8
TOTAL	\$ 15,569,990	\$ 15,554,262	\$ 16,387,384	\$ 833,122	5.4 %	\$ 15,676,010	\$ 15,546,525	\$ (129,485)	(0.8)%

Summary of Operating Budget FY 2016 - FY 2017

	Agency Estimate				Governor's Recommendation			
	Request FY 2016	Request FY 2017	Dollar Change from FY 16	Percent Change from FY 16	Rec. FY 2016	Rec. FY 2017	Dollar Change from FY 16	Percent Change from FY 16
By Program:								
Administration	\$ 1,145,113	\$ 1,186,963	\$ 41,850	3.7 %	\$ 1,070,186	\$ 1,099,492	\$ 29,306	2.7 %
Security	9,298,295	10,029,438	731,143	7.9	8,898,769	9,338,768	439,999	4.9
Classification and Programs	1,692,241	1,743,682	51,441	3.0	1,657,039	1,690,241	33,202	2.0
Stockton Correctional Facility	1,894,822	1,958,322	63,500	3.4	1,854,713	1,897,263	42,550	2.3
Support Services	2,356,913	2,395,330	38,417	1.6	2,065,818	2,091,421	25,603	1.2
TOTAL	\$ 16,387,384	\$ 17,313,735	\$ 926,351	5.7 %	\$ 15,546,525	\$ 16,117,185	\$ 570,660	3.7 %
By Major Object of Expenditure:								
Salaries and Wages	\$ 14,323,734	\$ 15,264,817	\$ 941,083	6.6 %	\$ 13,821,079	\$ 14,384,456	\$ 563,377	4.1 %
Contractual Services	1,149,000	1,147,180	(1,820)	(0.2)	1,149,000	1,147,180	(1,820)	(0.2)
Commodities	650,629	637,717	(12,912)	(2.0)	576,446	585,549	9,103	1.6
Capital Outlay	264,021	264,021	0	0.0	0	0	0	--
Debt Service	0	0	0	--	0	0	0	--
Subtotal - Operations	\$ 16,387,384	\$ 17,313,735	\$ 926,351	5.7 %	\$ 15,546,525	\$ 16,117,185	\$ 570,660	3.7 %
Aid to Local Units	0	0	0	--	0	0	0	--
Other Assistance	0	0	0	--	0	0	0	--
TOTAL	\$ 16,387,384	\$ 17,313,735	\$ 926,351	5.7 %	\$ 15,546,525	\$ 16,117,185	\$ 570,660	3.7 %
Financing:								
State General Fund	\$ 16,217,592	\$ 17,136,317	\$ 918,725	5.7 %	\$ 15,378,849	\$ 15,941,923	\$ 563,074	3.7 %
General Fees Fund	169,792	177,418	7,626	4.5	167,676	175,262	7,586	4.5
TOTAL	\$ 16,387,384	\$ 17,313,735	\$ 926,351	5.7 %	\$ 15,546,525	\$ 16,117,185	\$ 570,660	3.7 %

BUDGET OVERVIEW

A. FY 2015 – Current Year

Adjustments to Approved State General Fund Budget

The Legislature approved a State General Fund budget of \$15,368,849 for the Norton Correctional Facility in FY 2015. Several adjustments have subsequently been made to that amount. These adjustments change the current year approved amount without any legislative action required. For this agency, the following adjustments have been made.

- An increase of \$17,478, based on the reappropriation of FY 2014 funding which was not spent in FY 2014 and has shifted to FY 2015.
- An increase of \$278,845, based on the result of net transfers within the Department of Corrections system.

- A reduction of \$155,551, as the result of the Governor’s December 9th State General Fund allotment.

These adjustments change the FY 2015 approved State General Fund to \$15,509,621. That amount is reflected in the table below as the currently approved FY 2015 State General Fund amount. The agency’s budget was submitted prior to the December allotment, so the agency’s revised budget estimates do not incorporate the allotment.

CHANGE FROM APPROVED BUDGET					
	Legislative Approved FY 2015	Agency Estimate FY 2015	Agency Change from Approved	Governor Rec. FY 2015	Governor Change from Approved
State General Fund	\$ 15,509,621	\$ 15,386,327	\$ (123,294)	\$ 15,509,621	\$ 0
All Other Funds	167,935	364,689	196,754	363,143	195,208
TOTAL	<u>\$ 15,677,556</u>	<u>\$ 15,751,016</u>	<u>\$ 73,460</u>	<u>\$ 15,872,764</u>	<u>\$ 195,208</u>
FTE Positions	260.0	261.0	1.0	262.0	2.0

The **agency** estimates FY 2015 total expenditures of \$15.8 million, including \$15.4 million from the State General Fund. The estimate is an increase of \$73,460, or 0.5 percent, above the FY 2015 approved amount, partially offset by a State General Fund decrease of \$123,294, or 0.8 percent. The overall increase is attributable to capital improvement expenditures for rehabilitation and repair. The agency's estimate does not include the Governor's December 2014 Allotment that reduced approved State General Fund expenditures and net transfers between the agency and the Department of Corrections system. The estimate includes 261.0 FTE positions, which is 1.0 more FTE position than the FY 2015 approved amount.

The **Governor** recommends FY 2015 total expenditures of \$15.9 million, including \$15.5 million from the State General Fund. The recommendation is an increase of \$121,748, or 0.8 percent, including a State General Fund increase of \$123,294, or 0.8 percent, above the agency's FY 2015 estimate. The increase in the Governor's recommendation is attributable to the inclusion of the December 2014 Allotment and net transfers between the agency and the Department of Corrections system. The Governor further recommends a decrease of \$1,546 from special revenue funds for a reduction to KPERS employer contributions. The recommendation includes 262.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

Governor's Allotments

The consensus revenue estimating process was completed on November 10, 2014 subsequent to agencies submitting budgets with revised expenditures for the current fiscal year. The results of the new consensus revenue estimates identified a \$278.7 million State General Fund shortfall for FY 2015. This prompted the Governor to address the shortfall with an allotment plan totaling \$280.0 million which reduced

expenditures by \$60.1 million. The allotment plan also included recommendations to transfer funds and adjust Non-State General Fund expenditures an additional \$219.9 million. The adjustments included in the \$219.9 million will require legislative approval to implement. The allotments shown in the table below reflect only those allotments which have already taken place.

GOVERNOR'S ALLOTMENTS			
Allotment	SGF	All Funds	FTE
December Allotment			
Reduce KPERS Employer Contribution Rate	\$ (138,073)	\$ (138,073)	0.0
Lapse Reappropriations	(17,478)	(17,478)	0.0
TOTAL	<u>\$ (155,551)</u>	<u>\$ (155,551)</u>	<u>0.0</u>

**Kansas Public Employees Retirement System (KPERs)
Reduction:**

A reduction of \$138,073, as a result of the Governor's State General Fund allotment reducing the Kansas Public Employer Regular and School Member employer contribution rate (excluding KPERs Death and Disability) from 11.27 percent to 8.65 percent in FY 2015. This allotment will have no impact on the agency operations but is reflective of a reduction of expenditures. An additional \$ 1,546 from special revenue funds

is reduced in the Governor's budget recommendation resulting in a total reduction of \$139,619.

Reappropriations Lapse:

A reduction of \$17,478, as a result of the Governor's State General Fund reappropriation allotment. This is a reduction to the total FY 2015 State General Fund reappropriation of \$17,478 for the agency. The reduction will require the agency to hold additional positions vacant.

B. FY 2016 – Budget Year

FY 2016 OPERATING BUDGET SUMMARY			
	Agency Request	Governor's Recommendation	Difference
Total Request/Recommendation	\$ 16,387,384	\$ 15,546,525	\$ (840,859)
FTE Positions	261.0	262.0	1.0
Change from FY 2015:			
<i>Dollar Change:</i>			
State General Fund	\$ 831,265	\$ (130,772)	
All Other Funds	1,857	1,287	
TOTAL	<u>\$ 833,122</u>	<u>\$ (129,485)</u>	
<i>Percent Change:</i>			
State General Fund	5.4 %	(0.8) %	
All Other Funds	1.1	0.8	
TOTAL	<u>5.4 %</u>	<u>(0.8) %</u>	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2016 operating expenditures of \$16.4 million, including \$16.2 million from the State General Fund. The request is an increase of \$833,122, or 5.4 percent, including a State General Fund increase of \$831,265, or 5.4 percent, above the FY 2015 estimate. The request contains enhancements totaling \$640,748, all from the State General Fund, for fringe benefit shortfalls, correctional officer promotions, security vests, vehicle replacements, and IT

equipment replacement. The request includes 261.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$15.7 million, including \$15.6 million from the State General Fund. The request is an increase of \$192,374, or 1.2 percent, including a State General Fund increase of \$190,517, or 1.2 percent, above the FY 2015 estimate. The major changes for FY 2016 include the following items.

- **Salaries and Wages.** The agency requests \$14.3 million for salaries and wages. The request is an increase of \$510,449, or 3.7 percent, above the FY 2015 estimate. The request contains an enhancement of \$302,544, all from the State General Fund, for fringe benefit shortfalls and correctional officer promotions. **Absent the enhancement**, the agency requests \$14.0 million for salaries and wages. The request is an increase of \$302,544, or 2.2 percent, above the FY 2015 estimate. The increase is mainly due to higher salaries and wages for the Security program for reallocated positions.
- **Contractual Services.** The agency requests \$1.1 million for contractual services. The request is an increase of \$8,016, or 0.7 percent, above the FY 2015 estimate. The increase is attributable to higher inmate incentive pay based on prison population projections and travel expenses.
- **Commodities.** The agency requests \$650,629 for commodities. The request is an increase of \$50,636, or 8.4 percent, above the FY 2015 estimate. The request contains an enhancement

of \$74,183, all from the State General Fund, for new security vests and IT equipment replacement. **Absent the enhancement**, the agency requests \$576,446 for commodities. The request is a decrease of \$23,547, or 3.9 percent, below the FY 2015 estimate. The decrease is due to lowered cost estimates for commodities.

- **Capital Outlay.** The agency requests an enhancement \$264,021, all from the State General Fund, for twelve vehicle replacements. **Absent the enhancement**, the agency does not request any capital outlay expenditures.

The **Governor** recommends FY 2016 operating expenditures of \$15.5 million, including \$15.4 million from the State General Fund. The recommendation is a decrease of \$840,859, or 5.1 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending any of the agency's enhancements and a reduction of \$200,111, including \$197,995 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 262.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

Enhancements Detail

FY 2016 ENHANCEMENTS						
Enhancements	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Fringe Benefit Shortfalls	\$ 129,398	\$ 129,398	0.0	\$ 0	\$ 0	0.0
Correctional Officers Promotions	173,146	173,146	0.0	0	0	0.0
Security Vests	22,015	22,015	0.0	0	0	0.0
Vehicle Replacements	264,021	264,021	0.0	0	0	0.0
IT Equipment Replacement	52,168	52,168	0.0	0	0	0.0
TOTAL	\$ 640,748	\$ 640,748	0.0	\$ 0	\$ 0	0.0

The **agency** requests enhancements totaling \$640,748, all from the State General Fund. The enhancement request includes the following items.

- **Fringe Benefit Shortfalls.** The agency requests \$129,398 for fringe benefit shortfalls. The agency states it cannot fund the Kansas Public Employees Retirement System (KPERs) contribution rate increase without holding 2.5 additional officer positions open.
- **Correctional Officer Promotions.** The agency requests \$173,146 for correctional officer promotions. After three years, officers are eligible for a promotion and salary increase. The agency states it has numerous officers qualified or soon qualifying for this promotion and it cannot fund

them without holding 3.3 additional positions open.

- **Security Vests.** The agency requests \$22,015 for security vests. The agency states this would allow them to replace 54 security vests.
- **Vehicle Replacements.** The agency requests \$264,021 for vehicle replacements. This would allow the agency to replace 12 vehicles.
- **IT Equipment Replacement.** The agency requests \$52,168 for IT equipment replacement. The agency states this would allow it to replace 25.0 percent of the agency's oldest IT equipment.

The **Governor** does not recommend any of the agency's enhancement requests.

C. FY 2017 – Budget Year

FY 2017 OPERATING BUDGET SUMMARY			
	Agency Request	Governor's Recommendation	Difference
Total Request/Recommendation	\$ 17,313,735	\$ 16,117,185	\$ (1,196,550)
FTE Positions	261.0	262.0	1.0
Change from FY 2016:			
<i>Dollar Change:</i>			
State General Fund	\$ 918,725	\$ 563,074	
All Other Funds	7,626	0	
TOTAL	<u>\$ 926,351</u>	<u>\$ 563,074</u>	
<i>Percent Change:</i>			
State General Fund	5.7 %	3.7 %	
All Other Funds	4.5	4.5	
TOTAL	<u>5.7 %</u>	<u>3.7 %</u>	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2017 operating expenditures of \$17.3 million, including \$17.1 million from the State General Fund. The request is an increase of \$926,351, or 5.7 percent, including a State General Fund increase of \$918,725, or 5.7 percent, above the FY 2016 request. The request contains enhancements totaling \$992,947, all from the State General Fund, for fringe benefit shortfalls, correctional officer promotions, vehicle replacements, and IT equipment

replacement. **Absent the enhancements**, the agency requests \$16.3 million, including \$16.1 million from the State General Fund. The request is an increase of \$574,152, or 3.6 percent, including a State General Fund increase of \$566,526, or 3.6 percent, above the FY 2016 request less enhancements. The major changes for FY 2017 include the following items.

- **Salaries and Wages.** The agency requests \$15.3 million for salaries and wages. The request is an increase of \$941,083, or 6.6 percent, above the agency's FY 2016 request. The increase is due to enhancements totaling \$643,758 for fringe benefit shortfalls and correctional officer promotions, a 27th payroll period, and higher workers compensation rates. **Absent the enhancements**, the agency requests \$14.6 million for salaries and wages.
- **Contractual Services.** The agency requests \$1.1 million for contractual services. The request is a decrease of \$1,820, or 0.2 percent, below the agency's FY 2016 request. The decrease is attributable to reduced expenditures for inmate incentive pay.

- **Commodities.** The agency requests \$637,717 for commodities. The recommendation is a decrease of \$12,912, or 2.0 percent, below the agency's FY 2016 request. The decrease is due to higher cost estimates for commodities.

The **Governor** recommends FY 2017 operating expenditures of \$16.1 million, including \$15.9 million from the State General Fund. The recommendation is a decrease of \$1.2 million, or 6.9 percent, including a State General Fund decrease of \$1.2 million, or 7.0 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and a reduction of \$203,603, including \$201,447 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 262.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

Enhancements Detail

Enhancements	FY 2017 ENHANCEMENTS					
	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Fringe Benefit Shortfalls	\$ 299,204	\$ 299,204	0.0	\$ 0	\$ 0	0.0
Correctional Officer Promotions	377,554	377,554	0.0	0	0	0.0
Vehicle Replacements	264,021	264,021	0.0	0	0	0.0
IT Equipment Replacement	52,168	52,168	0.0	0	0	0.0
TOTAL	\$ 992,947	\$ 992,947	0.0	\$ 0	\$ 0	0.0

The **agency** requests enhancements totaling \$992,947, all from the State General Fund. The enhancement request includes the following.

- **Fringe Benefit Shortfalls.** The agency requests \$299,204 for fringe benefit shortfalls. The agency states it cannot fund the KPERs contribution rate and health insurance rate increases without holding open 3.5 additional officer positions open.
- **Correctional Officer Promotions.** The agency requests \$377,554 for correctional officer promotions. After three years, officers are eligible for a promotion and salary increase. The agency states it has numerous officers qualified or soon

qualifying for this promotion and it cannot fund them without holding 6.9 additional positions open.

- **Vehicle Replacements.** The agency requests \$264,021 for vehicle replacements. This would allow the agency to replace 12 vehicles.
- **IT Equipment Replacement.** The agency requests \$52,168 for IT equipment replacement. The agency states this would allow it to replace 25.0 percent of the agency's oldest IT equipment.

The **Governor** does not recommend any of the agency's enhancement requests.

Governor's Recommended Salary and Wage Adjustments

State Employee Pay Increases. The 2014 Legislature approved funding of \$11.3 million, including \$4.5 million from the State General Fund, in FY 2015 for a \$250 bonus for all full-time employees except elected officials who were employed on December 6, 2013, and which was paid December 6, 2014. **For this agency, the FY 2015 bonus totals \$70,850 all from the State General Fund, and affects 228 employees.**

Longevity Bonus Payments. For FY 2016 and FY 2017, the Governor recommends funding longevity bonus payments for eligible state employees at the statutory rate of \$40 per year of service, with a 10-year minimum (\$400), and a 25-year maximum (\$1,000). Classified employees hired after June 15, 2008 are not eligible for longevity bonus payments. The estimated cost for the recommended FY 2016 payments is \$7.2 million, including \$2.8 million from the State General Fund. For FY 2017, the estimated cost for the payments is \$7.7 million, including \$3.0 million from the State General Fund. **For this agency, FY 2016 longevity payments total \$95,920, including \$94,756 from the State General Fund, and FY 2017 longevity payments total \$102,840, including \$101,587 from the State General Fund.**

Group Health Insurance. The Governor recommends Group Health and Hospitalization employer contributions of \$282.8 million, including \$108.2 million from the State General Fund, for FY 2016 and \$289.2 million, including \$110.7 million from the State General Fund, for FY 2017. For FY 2016 and FY 2017, the Governor recommends reducing the employer contributions for group health insurance by 8.5 percent. **For this agency this is a reduction of \$200,111, including \$197,995 from the State General Fund for FY 2016. This is a reduction of \$203,603, including \$201,447 from the State General Fund, for FY 2017.**

Kansas Public Employees Retirement System (KPERs) Rate Adjustments. The FY 2015 employer retirement contribution for KPERs regular and school members was scheduled to be 11.27 percent, an increase of 1.0 percent from 10.27 in FY 2014. The Governor reduced the employer contribution rate from 11.27 percent to 8.65 percent for the second half of FY 2015, for an effective contribution rate of 9.96 percent as part of the Governor's allotment plan. For FY 2016, the Governor recommends the retirement rate increase by 2.41 percent from 9.96 percent to 12.37 percent. For FY 2017, the Governor recommends the retirement rate increase by 1.2 percent from 12.37 percent to 13.57 percent. This increase is attributable to the annual statutory increase for financing the unfunded liability of the KPERs fund.

In addition, the employer contribution for the KPERs death and disability insurance rate will be increased to 1.0 percent, from 0.85 percent, for FY 2016 and for FY 2017.

The Governor further proposes offering \$1.5 billion in Pension Obligation Bonds, backed by the State General Fund, to be paid back over 30 years and extending the amortization on the current bonds by ten years to 2043. The proceeds of the bonds would be used to help reduce the future employer contribution rates of the State/School Group. The Kansas Public Employee Retirement System estimates this plan could reduce employer contributions by \$68.43 million in FY 2016 and \$132.85 in FY 2017. The Kansas Development Finance Authority estimates that the bond service would be \$90.3 million in FY 2016 through FY 2017, assuming the Kansas credit ratings are not downgraded.

Funding Sources

Funding Source	Agency Req. Percent of Total FY 2016	Gov. Rec. Percent of Total FY 2016	Agency Req. Percent of Total FY 2017	Gov. Rec. Percent of Total FY 2017
State General Fund	99.0 %	98.9 %	99.0 %	98.9 %
General Fees Fund	1.0	1.1	1.0	1.1
TOTAL	<u>100.0 %</u>	<u>100.0 %</u>	<u>100.0 %</u>	<u>100.0 %</u>

Note: Percentages may not add due to rounding.

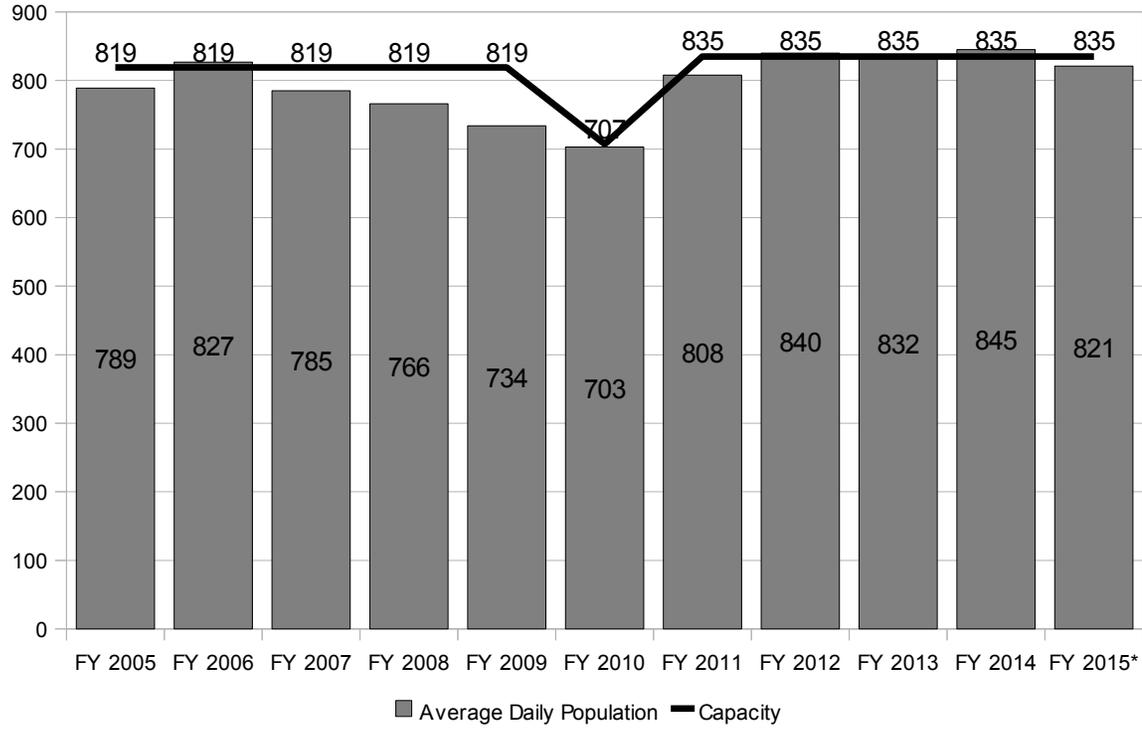
General Fees Fund

The Norton Correctional Facility General Fees Fund receives reimbursements for the salary of inmate work crew supervisors. This includes 3.0 FTE positions that are funded by

the Kansas Department of Transportation for road maintenance crews.

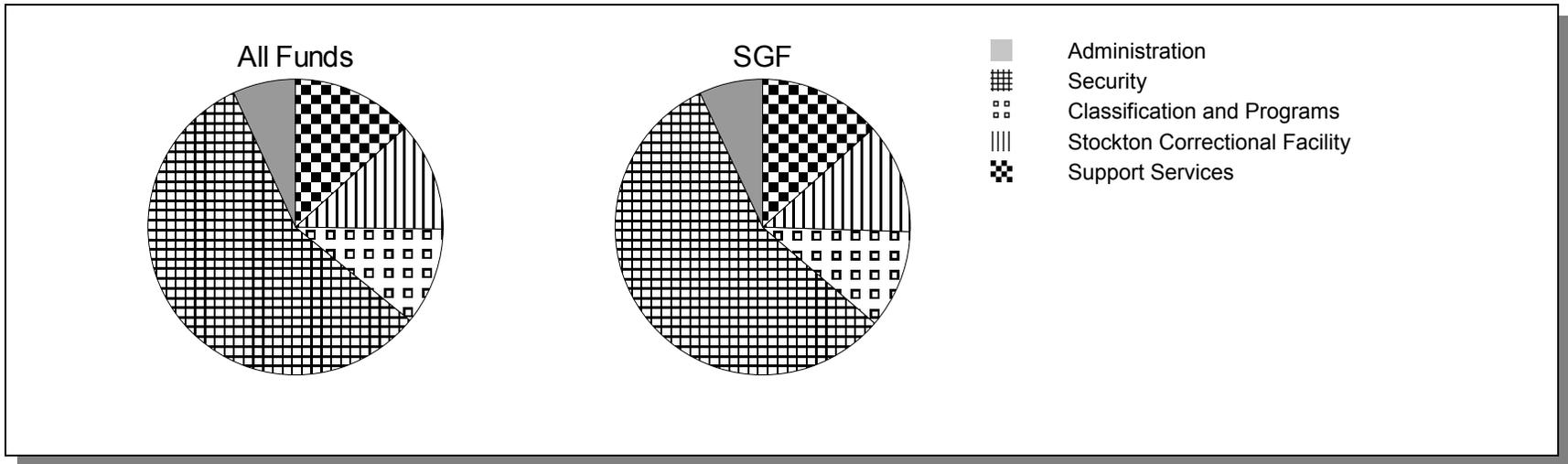
<u>Resource Estimate</u>	<u>Actual FY 2014</u>	<u>Agency Estimate FY 2015</u>	<u>Governor Rec. FY 2015</u>	<u>Agency Request FY 2016</u>	<u>Gov. Rec. FY 2016</u>	<u>Agency Request FY 2017</u>	<u>Gov. Rec. FY 2017</u>
Beginning Balance	\$ 20,149	\$ 15,446	\$ 15,446	\$ 15,446	\$ 16,992	\$ 15,446	\$ 19,108
Revenue	112,548	167,935	167,935	169,792	169,792	177,418	177,418
Transfers in	0	0	0	0	0	0	0
Total Funds Available	\$ 132,697	\$ 183,381	\$ 183,381	\$ 185,238	\$ 186,784	\$ 192,864	\$ 196,526
Less: Expenditures	117,251	167,935	166,389	169,792	167,676	177,418	175,262
Transfers Out	0	0	0	0	0	0	0
Off Budget Expenditures	0	0	0	0	0	0	0
Ending Balance	<u>\$ 15,446</u>	<u>\$ 15,446</u>	<u>\$ 16,992</u>	<u>\$ 15,446</u>	<u>\$ 19,108</u>	<u>\$ 15,446</u>	<u>\$ 21,264</u>
Ending Balance as Percent of Expenditures	13.2%	9.2%	10.2%	9.1%	11.4%	8.7%	12.1%
Month Highest Ending Balance	March \$ 11,928	March \$ 9,113	March \$ 9,113	March \$ 9,113	March \$ 10,025	March \$ 9,113	March \$ 11,274
Month Lowest Ending Balance	April \$ 9,758	April \$ (4,640)	April \$ (4,640)	April \$ (4,640)	April \$ (5,098)	April \$ (4,640)	April \$ (4,832)

Capacity and Average Daily Population
***FY 2015 Average Daily Population as of January 14, 2015**



PROGRAM DETAIL

EXPENDITURES BY PROGRAM—GOVERNOR’S FY 2016 RECOMMENDATION



Program	Gov. Rec. All Funds FY 2016	Percent of Total	Gov. Rec. SGF FY 2016	Percent of Total
Administration	\$ 1,070,186	6.9 %	\$ 1,070,186	7.0 %
Security	8,898,769	57.2	8,731,093	56.8
Classification and Programs	1,657,039	10.7	1,657,039	10.8
Stockton Correctional Facility	1,854,713	11.9	1,854,713	12.1
Support Services	2,065,818	13.3	2,065,818	13.4
TOTAL	\$ 15,546,525	100.0 %	\$ 15,378,849	100.0 %

FTE POSITIONS BY PROGRAM FY 2014 – FY 2017

<u>Program</u>	<u>Actual FY 2014</u>	<u>Agency Est. FY 2015</u>	<u>Gov. Rec. FY 2015</u>	<u>Agency Req. FY 2016</u>	<u>Gov. Rec. FY 2016</u>	<u>Agency Req. FY 2017</u>	<u>Gov. Rec. FY 2017</u>
Administration	15.0	16.0	17.0	16.0	17.0	16.0	17.0
Security	166.0	168.0	168.0	168.0	168.0	168.0	168.0
Classification and Programs	27.0	27.0	27.0	27.0	27.0	27.0	27.0
Stockton Correctional Facility	32.0	32.0	32.0	32.0	32.0	32.0	32.0
Support Services	20.0	18.0	18.0	18.0	18.0	18.0	18.0
TOTAL	260.0	261.0	262.0	261.0	262.0	261.0	262.0

A. Administration

The Administration program provides management direction and technical assistance including fiscal, personnel, and training assistance to other programs within the facility. The program

acts as a liaison with other state agencies, federal and local units of government, and the general public.

ADMINISTRATION
SUMMARY OF EXPENDITURES FY 2014 – 2017

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Expenditures:							
Salaries and Wages	\$ 899,364	\$ 1,039,185	\$ 1,028,996	\$ 1,034,085	\$ 1,011,326	\$ 1,073,290	\$ 1,037,987
Contractual Services	55,790	60,176	60,176	52,180	52,180	54,325	54,325
Commodities	9,332	350	350	58,848	6,680	59,348	7,180
Capital Outlay	36,714	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 1,001,200	\$ 1,099,711	\$ 1,089,522	\$ 1,145,113	\$ 1,070,186	\$ 1,186,963	\$ 1,099,492
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	7,531	0	0	0	0	0	0
TOTAL	\$ 1,008,731	\$ 1,099,711	\$ 1,089,522	\$ 1,145,113	\$ 1,070,186	\$ 1,186,963	\$ 1,099,492
Financing:							
State General Fund	\$ 1,008,731	\$ 1,099,711	\$ 1,089,522	\$ 1,145,113	\$ 1,070,186	\$ 1,186,963	\$ 1,099,492
All Other Funds	0	0	0	0	0	0	0
TOTAL	\$ 1,008,731	\$ 1,099,711	\$ 1,089,522	\$ 1,145,113	\$ 1,070,186	\$ 1,186,963	\$ 1,099,492
FTE Positions	15.0	16.0	17.0	16.0	17.0	16.0	17.0
Non-FTE Uncl. Perm. Pos.	2.0	2.0	0.0	2.0	0.0	2.0	0.0
TOTAL	17.0	18.0	17.0	18.0	17.0	18.0	17.0

The **agency** requests FY 2016 operating expenditures of \$1.1 million, all from the State General Fund. The request is an increase of \$45,402, or 4.1 percent, above the FY 2015 estimate. The request contains enhancements totaling \$61,739, all from the State General Fund, for fringe benefit shortfalls and IT equipment replacement. The request includes 16.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$1.1 million. The request is a decrease of \$16,337, or 1.5 percent, below the FY

2015 estimate. The decrease is primarily due to a higher shrinkage rate for salaries and wages and fees for Legislative Post Audit services.

The **Governor** recommends FY 2016 operating expenditures of \$1.1 million, all from the State General Fund. The recommendation is a decrease of \$74,927, or 6.5 percent, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's

enhancement requests and a reduction of \$13,188 to reduce employer contributions for state employee health insurance. The recommendation includes 17.0 FTE positions, which is 1.0 additional FTE position above the agency's request. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

The **agency** requests FY 2017 operating expenditures of \$1.2 million, all from the State General Fund. The request is an increase of \$41,850, or 3.7 percent, above the FY 2016 request. The request includes enhancements totaling \$74,084, all from the State General Fund, for fringe benefit shortfalls and IT equipment replacement. The request includes 16.0 FTE positions, which is the same as the FY 2016 request. **Absent the enhancements**, the agency requests \$1.1 million. The request is an increase of \$29,505, or 2.7 percent, above the FY

B. Security

The Security program provides supervision, surveillance, and control of the inmate population. This includes routine facility searches for contraband, random drug and alcohol tests, monitoring of inmate activities and behavior, and maintaining a

2016 request less enhancements. The increase is mainly attributable to a 27th payroll period and higher workers compensation rates.

The **Governor** recommends FY 2017 operating expenditures of \$1.1 million, all from the State General Fund. The recommendation is a decrease of \$87,471, or 7.4 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement requests and a reduction of \$13,387 to reduce employer contributions for state employee health insurance. The recommendation includes 17.0 FTE positions, which is 1.0 additional FTE position above the agency's request. The increase in FTE positions is a technical adjustment to accurately reflect the agency's FTE position total.

Special Operations Response Team. The program contains the facility's largest number of FTE positions. Security staff for the Stockton Correctional Facility are maintained separately.

SECURITY
SUMMARY OF EXPENDITURES FY 2014 – 2017

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Expenditures:							
Salaries and Wages	\$ 8,692,103	\$ 8,500,937	\$ 8,692,545	\$ 9,204,754	\$ 8,827,243	\$ 9,955,839	\$ 9,265,169
Contractual Services	22,077	25,628	25,628	21,630	21,630	21,600	21,600
Commodities	60,542	18,500	18,500	71,911	49,896	51,999	51,999
Capital Outlay	38,558	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 8,813,280	\$ 8,545,065	\$ 8,736,673	\$ 9,298,295	\$ 8,898,769	\$ 10,029,438	\$ 9,338,768
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	\$ 8,813,280	\$ 8,545,065	\$ 8,736,673	\$ 9,298,295	\$ 8,898,769	\$ 10,029,438	\$ 9,338,768
Financing:							
State General Fund	\$ 8,696,029	\$ 8,427,034	\$ 8,619,733	\$ 9,128,503	\$ 8,731,093	\$ 9,852,020	\$ 9,163,506
All Other Funds	117,251	118,031	116,940	169,792	167,676	177,418	175,262
TOTAL	\$ 8,813,280	\$ 8,545,065	\$ 8,736,673	\$ 9,298,295	\$ 8,898,769	\$ 10,029,438	\$ 9,338,768
FTE Positions	166.0	168.0	168.0	168.0	168.0	168.0	168.0
Non-FTE Uncl. Perm. Pos.	1.0	2.0	2.0	2.0	2.0	2.0	2.0
TOTAL	167.0	170.0	170.0	170.0	170.0	170.0	170.0

The **agency** requests FY 2016 operating expenditures of \$9.3 million, including \$9.1 million from the State General Fund. The request is an increase of \$753,230, or 8.8 percent, including a State General Fund increase of \$701,469, or 8.3 percent, above the FY 2015 estimate. The request contains enhancements totaling \$275,767, all from the State General Fund, for fringe benefit shortfalls, correctional officer promotions, and new security vests. The request includes 168.0 FTE positions, which is the same as the FY 2015 estimate.

Absent the enhancements, the agency requests \$9.0 million, including \$8.9 million from the State General Fund. The request is an increase of \$477,463, or 5.6 percent, including a State General Fund increase of \$425,702, or 5.1 percent, above the FY 2015 estimate. The increase is primarily due to higher salaries and wages for reallocated positions, health insurance rates, holiday pay, and cost indices for commodities.

The **Governor** recommends FY 2016 operating expenditures of \$8.9 million, including \$8.7 million from the State General Fund. The recommendation is a decrease of \$399,526, or 4.3 percent, including a State General Fund decrease of \$397,410, or 4.4 percent, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and a reduction of \$123,759, including \$121,643 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 168.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$10.0 million, including \$9.9 million from the State General Fund. The request is an increase of \$731,143, or 7.9 percent, including a State General Fund increase of \$723,517, or 7.9 percent, above the FY 2016 request. The request contains enhancements totaling \$564,561, all from the State General Fund, for fringe benefit shortfalls and correctional officer promotions. The request includes 168.0 FTE positions which is

C. Classification and Programs

Classification and Programs is composed of the following three sub-programs: Classification and Records, Activities, and Chaplaincy Services. Classification and Records reports and records all pertinent information regarding the movement and progress of NCF inmates. Activities Services provides

the same as the FY 2016 request. **Absent the enhancements**, the agency requests \$9.5 million, including \$9.3 million from the State General Fund. The request is an increase of \$442,349, or 4.9 percent, including a State General Fund increase of \$434,723, or 4.9 percent, above the FY 2016 request less enhancements. The increase is mainly attributable to a 27th payroll period and higher workers compensation rates.

The **Governor** recommends FY 2017 operating expenditures of \$9.3 million, including \$9.2 million from the State General Fund. The recommendation is a decrease of \$690,670, or 6.9 percent, including a State General Fund decrease of \$688,514, or 7.0 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement requests and a reduction of \$126,109, including \$123,953 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 168.0 FTE positions, the same as the agency's request.

recreational opportunities for inmates such as sports, social, cultural, and special events. Chaplaincy Services provide religious counseling and other religious services to NCF inmates.

CLASSIFICATION AND PROGRAMS
SUMMARY OF EXPENDITURES FY 2014 – 2017

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Expenditures:							
Salaries and Wages	\$ 1,497,895	\$ 1,523,496	\$ 1,508,071	\$ 1,504,536	\$ 1,469,334	\$ 1,561,202	\$ 1,507,761
Contractual Services	187,631	178,500	178,500	186,705	186,705	181,980	181,980
Commodities	1,680	0	0	1,000	1,000	500	500
Capital Outlay	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 1,687,206	\$ 1,701,996	\$ 1,686,571	\$ 1,692,241	\$ 1,657,039	\$ 1,743,682	\$ 1,690,241
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	\$ 1,687,206	\$ 1,701,996	\$ 1,686,571	\$ 1,692,241	\$ 1,657,039	\$ 1,743,682	\$ 1,690,241
Financing:							
State General Fund	\$ 1,687,206	\$ 1,701,996	\$ 1,686,571	\$ 1,692,241	\$ 1,657,039	\$ 1,743,682	\$ 1,690,241
All Other Funds	0	0	0	0	0	0	0
TOTAL	\$ 1,687,206	\$ 1,701,996	\$ 1,686,571	\$ 1,692,241	\$ 1,657,039	\$ 1,743,682	\$ 1,690,241
FTE Positions	27.0	27.0	27.0	27.0	27.0	27.0	27.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	27.0	27.0	27.0	27.0	27.0	27.0	27.0

The **agency** requests FY 2016 operating expenditures of \$1.7 million, all from the State General Fund. The request is a decrease of \$9,755, or 0.6 percent, below the FY 2015 estimate. The decrease is primarily attributable to a higher shrinkage rate for salaries and wages, reduced salaries and wages for retiring employees, partially offset by an increase in contractual services for travel expenses and an enhancement of \$14,298, all from the State General Fund, for fringe benefit shortfalls. The request includes 27.0 FTE positions, which is the

same as the FY 2015 estimate. **Absent the enhancement**, the agency requests \$1.7 million.

The **Governor** recommends FY 2016 operating expenditures of \$1.7 million, all from the State General Fund. The recommendation is a decrease of \$35,202, or 2.1 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending the agency's enhancement request and a reduction of \$20,904 to reduce employer

contributions for state employee health insurance. The recommendation includes 27.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$1.7 million, all from the State General Fund. The request is an increase of \$51,441, or 3.0 percent, above the FY 2016 request. The increase is predominantly due to a 27th payroll period and an enhancement of \$32,215, all from the State General Fund, for fringe benefit shortfalls, partially offset by a reduction in inmate incentive pay based on prison population projections. The request includes 27.0 FTE positions, which is the same as

D. Stockton Correctional Facility

The Stockton Correctional Facility maintains 128 minimum-security beds and provides work crews for surrounding state parks, counties, and communities. The facility was closed in FY 2009 to achieve budget savings. The 2010 Legislature added

the FY 2016 request. **Absent the enhancement**, the agency requests \$1.7 million.

The **Governor** recommends FY 2017 operating expenditures of \$1.7 million, all from the State General Fund. The recommendation is a decrease of \$53,441, or 3.1 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement request and a reduction of \$21,226 to reduce employer contributions for state employee health insurance. The recommendation includes 27.0 FTE positions, the same as the agency's request.

\$1.7 million from the State General Fund and 31.0 FTE positions to the Norton Correctional Facility budget to reopen Stockton in FY 2011.

**STOCKTON CORRECTIONAL FACILITY
SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Expenditures:							
Salaries and Wages	\$ 1,657,072	\$ 1,710,434	\$ 1,693,635	\$ 1,644,332	\$ 1,604,223	\$ 1,704,797	\$ 1,643,738
Contractual Services	183,816	167,480	167,480	181,840	181,840	184,225	184,225
Commodities	80,803	61,708	61,708	68,650	68,650	69,300	69,300
Capital Outlay	1,684	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 1,923,375	\$ 1,939,622	\$ 1,922,823	\$ 1,894,822	\$ 1,854,713	\$ 1,958,322	\$ 1,897,263
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 1,923,375</u>	<u>\$ 1,939,622</u>	<u>\$ 1,922,823</u>	<u>\$ 1,894,822</u>	<u>\$ 1,854,713</u>	<u>\$ 1,958,322</u>	<u>\$ 1,897,263</u>
Financing:							
State General Fund	\$ 1,923,375	\$ 1,889,718	\$ 1,873,374	\$ 1,894,822	\$ 1,854,713	\$ 1,958,322	\$ 1,897,263
All Other Funds	0	49,904	49,449	0	0	0	0
TOTAL	<u>\$ 1,923,375</u>	<u>\$ 1,939,622</u>	<u>\$ 1,922,823</u>	<u>\$ 1,894,822</u>	<u>\$ 1,854,713</u>	<u>\$ 1,958,322</u>	<u>\$ 1,897,263</u>
FTE Positions	32.0	32.0	32.0	32.0	32.0	32.0	32.0
Non-FTE Uncl. Perm. Pos.	1.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>33.0</u>	<u>32.0</u>	<u>32.0</u>	<u>32.0</u>	<u>32.0</u>	<u>32.0</u>	<u>32.0</u>

The **agency** requests FY 2016 operating expenditures of \$1.9 million, all from the State General Fund. The request is a decrease of \$44,800, or 2.3 percent, below the FY 2015 estimate, partially offset by a State General Fund increase of \$5,104, or 0.3 percent. The request contains an enhancement of \$15,526, all from the State General Fund, for fringe benefit shortfalls. The overall decrease is primarily due to lowered salaries and wages for reallocated positions and a higher shrinkage rate, partially offset by higher inmate incentive pay

based on prison population projections and higher cost indices for commodities. The request includes 32.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancement**, the agency requests \$1.9 million.

The **Governor** recommends FY 2016 operating expenditures of \$1.9 million, all from the State General Fund. The recommendation is a decrease of \$40,109, or 2.1 percent, below the agency's FY 2016 request. The decrease is

attributable to the Governor not recommending the agency's enhancement request and a reduction of \$24,583 to reduce employer contributions for state employee health insurance. The recommendation includes 32.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$2.0 million, all from the State General Fund. The request is an increase of \$63,500, or 3.4 percent, above the FY 2016 request. The request contains an enhancement of \$36,080 for fringe benefit shortfalls. The request includes 32.0 FTE positions, which is the same as the FY 2016 request. **Absent the enhancement**, the agency requests \$1.9 million. The request is

E. Support Services

The Support Services program consists of maintenance and repairs for all equipment, buildings, and grounds and the provision of all supplies and cleaning services for the facility.

an increase of \$42,946, or 2.3 percent, above the FY 2016 request less enhancements. The increase is mainly attributable to a 27th payroll period and higher cost indices for utilities.

The **Governor** recommends FY 2017 operating expenditures of \$1.9 million, all from the State General Fund. The recommendation is a decrease of \$61,059, or 3.1 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement request and a reduction of \$24,979 to reduce employer contributions for state employee health insurance. The recommendation includes 32.0 FTE positions, the same as the agency's request.

SUPPORT SERVICES
SUMMARY OF EXPENDITURES FY 2014 – 2017

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Expenditures:							
Salaries and Wages	\$ 906,153	\$ 1,039,233	\$ 1,029,264	\$ 936,027	\$ 908,953	\$ 969,689	\$ 929,801
Contractual Services	706,183	709,200	709,200	706,645	706,645	705,050	705,050
Commodities	508,482	519,435	501,957	450,220	450,220	456,570	456,570
Capital Outlay	16,580	0	0	264,021	0	264,021	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 2,137,398	\$ 2,267,868	\$ 2,240,421	\$ 2,356,913	\$ 2,065,818	\$ 2,395,330	\$ 2,091,421
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 2,137,398</u>	<u>\$ 2,267,868</u>	<u>\$ 2,240,421</u>	<u>\$ 2,356,913</u>	<u>\$ 2,065,818</u>	<u>\$ 2,395,330</u>	<u>\$ 2,091,421</u>
Financing:							
State General Fund	\$ 2,137,398	\$ 2,267,868	\$ 2,240,421	\$ 2,356,913	\$ 2,065,818	\$ 2,395,330	\$ 2,091,421
All Other Funds	0	0	0	0	0	0	0
TOTAL	<u>\$ 2,137,398</u>	<u>\$ 2,267,868</u>	<u>\$ 2,240,421</u>	<u>\$ 2,356,913</u>	<u>\$ 2,065,818</u>	<u>\$ 2,395,330</u>	<u>\$ 2,091,421</u>
FTE Positions	20.0	18.0	18.0	18.0	18.0	18.0	18.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>20.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>

The **agency** requests FY 2016 operating expenditures of \$2.4 million, all from the State General Fund. The request is an increase of \$89,045, or 3.9 percent, above the FY 2015 estimate. The request contains enhancements totaling \$273,418, all from the State General Fund, for fringe benefit shortfalls and vehicle replacements. The request includes 18.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$2.1 million. The request is a decrease of \$184,373, or 8.1 percent, below

the FY 2015 estimate. The major changes for FY 2016 include the following items.

- **Salaries and Wages.** The agency requests \$936,027 for salaries and wages. The request is a decrease of \$103,206, or 9.9 percent, below the FY 2015 estimate. The request contains an enhancement of \$9,397, all from the State

General Fund, for fringe benefit shortfalls. The overall decrease is due to a reduced salaries and wages for reallocated positions and a higher shrinkage rate. **Absent the enhancement**, the agency requests \$929,630 for salaries and wages.

- **Commodities.** The agency requests \$450,220 for commodities. The request is a decrease of \$69,215, or 13.3 percent, below the FY 2015 estimate. The decrease is attributable to lowered cost indices for commodities.
- **Capital Outlay.** The agency requests an enhancement \$264,021, all from the State General Fund, for twelve vehicle replacements. **Absent the enhancement**, the agency does not request any capital outlay expenditures.

The **Governor** recommends FY 2016 operating expenditures of \$2.1 million, all from the State General Fund. The recommendation is a decrease of \$291,095, or 12.4 percent, below the agency's FY 2016 request. The decrease is

due to the Governor not recommending the agency's enhancement requests and a reduction of \$17,677 to reduce employer contributions for state employee health insurance. The recommendation includes 18.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$2.4 million, all from the State General Fund. The request is an increase of \$38,417, or 1.6 percent, all from the State General Fund, above the FY 2016 request. The increase is due to a 27th payroll period and larger enhancements totaling \$286,007, all from the State General Fund, for fringe benefit shortfalls and vehicle replacements. The request includes 18.0 FTE positions. **Absent the enhancements**, the agency requests \$2.1 million.

The **Governor** recommends FY 2017 operating expenditures of \$2.1 million, all from the State General Fund. The recommendation is a decrease of \$303,909, or 12.7 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and a reduction of \$17,902 to reduce employer contributions for state employee health insurance. The recommendation includes 18.0 FTE positions, the same as the agency's request.

CAPITAL IMPROVEMENTS

CAPITAL IMPROVEMENTS						
	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Rehabilitation and Repair	\$ 196,754	\$ 196,754	\$ 0	\$ 0	\$ 0	\$ 0
Financing:						
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	196,754	196,754	0	0	0	0
TOTAL	<u>\$ 196,754</u>	<u>\$ 196,754</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

Current Year Agency Estimate

FY 2015 – Current Year. The agency estimates FY 2015 capital improvement expenditures of \$196,754, all from special revenue funds. The estimate is for rehabilitation and repair

expenditures including replacing food service equipment and a package scanner.

Current Year Governor Recommendation

The **Governor** concurs with the agency's capital improvements request.

Budget Year Agency Request

FY 2016 – Budget Year. The agency does not request any capital improvement expenditures. Capital improvement

expenditures for FY 2016 are made at the discretion of the Department of Corrections' Central Office.

Budget Year Governor Recommendation

The **Governor** concurs with the agency’s capital improvements request.

Budget Year Agency Request

FY 2017 – Budget Year. The agency does not request any capital improvement expenditures. Capital improvement expenditures for FY 2017 are made at the discretion of the Department of Corrections’ Central Office.

Budget Year Governor Recommendation

The **Governor** concurs with the agency’s capital improvements request.

PERFORMANCE MEASURES					
Measure	Gov. Rec. for FY 2014	Actual FY 2014	Gov. Rec. FY 2015	Gov. Rec. FY 2016	Gov. Rec. FY 2017
Number of escapes	0	0	0	0	0
Number of inmate assaults on staff	0	5	4	4	4