

# TOPEKA CORRECTIONAL FACILITY

	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Operating Expenditures:							
State General Fund	\$ 13,983,149	\$ 14,987,339	\$ 14,864,704	\$ 16,637,194	\$ 14,739,475	\$ 17,139,249	\$ 15,203,411
Other Funds	480,590	411,363	408,647	308,197	303,681	560,910	556,304
TOTAL	\$ 14,463,739	\$ 15,398,702	\$ 15,273,351	\$ 16,945,391	\$ 15,043,156	\$ 17,700,159	\$ 15,759,715
Capital Improvements:							
State General Fund	\$ 72,154	\$ 78,016	\$ 78,016	\$ 0	\$ 0	\$ 0	\$ 0
Other Funds	213,051	12,391	12,391	0	0	0	0
TOTAL	\$ 285,205	\$ 90,407	\$ 90,407	\$ 0	\$ 0	\$ 0	\$ 0
<b>GRAND TOTAL</b>	<b>\$ 14,748,944</b>	<b>\$ 15,489,109</b>	<b>\$ 15,363,758</b>	<b>\$ 16,945,391</b>	<b>\$ 15,043,156</b>	<b>\$ 17,700,159</b>	<b>\$ 15,759,715</b>
Percentage Change:							
Operating Expenditures							
State General Fund	(1.8) %	7.2 %	6.3 %	11.0 %	(0.8) %	3.0 %	3.1 %
All Funds	(1.1)	6.5	5.6	10.0	(1.5)	4.5	4.8
FTE Positions	241.0	254.0	255.0	254.0	255.0	254.0	255.0
Non-FTE							
Perm.Uncl.Pos.	7.0	8.0	8.0	8.0	8.0	8.0	8.0
TOTAL	248.0	262.0	263.0	262.0	263.0	262.0	263.0

## AGENCY OVERVIEW

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Topeka Correctional Facility (TCF) dates back to 1961 when legislative action provided the Director of Penal Institutions the authority to convert facilities of the Topeka Technical College into a State Reception and Diagnostic Center (SRDC) with the primary function to perform evaluations of convicted offenders sentenced to the Kansas State Penitentiary and the Kansas State Industrial Reformatory. Inmate work crews from the Penitentiary performed the renovation and the first inmates were received in early 1962.

Legislation in 1971 authorized the establishment of the Kansas Correctional-Vocational Training Center (KCVTC) with a targeted population of non-violent, youthful, first commitment male offenders. Construction began in mid-1972 with the first inmates received in January 1975. The facility became co-correctional in 1979 to relieve overcrowding at the Kansas Correctional Institution for Women at Lansing and to provide additional opportunities for female offenders.

The Topeka Pre-Release Center was established in 1984 on the grounds of the Topeka State Hospital with a program designed to provide inmates with a smoother transition from prison into the community. From the late 1970s to the mid 1990s, a work release program operated at various sites in Topeka including a location at the Forbes Industrial Area where it operated as the Forbes Correctional Facility.

Consolidation of the four separate Kansas Department of Corrections (KDOC) operations in Topeka began in 1990 with the passage of SB 748. With the completion of I-Cell house in April 1995, the maximum custody females were moved from the Lansing Correctional Facility East Unit. Therefore, TCF became the only facility for adult female offenders operated by the KDOC.

TCF is currently composed of two units, which together house all of the state's incarcerated female offenders. The A-E Compound, formerly the KCVTC, consists of six minimum/medium security dormitories and currently houses up to 474 female inmates. The I-J Compound, a medium/maximum security compound located on the grounds of what was previously the SRDC, currently has a capacity of 314. The Facility's total capacity is 788.

Until March 2001, the Reception and Diagnostic Unit (RDU), also located on the grounds adjacent to the Central Unit, served as the state's central intake point for all male offenders committed to the custody of the Secretary of Corrections. The 1999 Legislature appropriated funds and bonding authority of \$16.0 million for the construction of two housing units and the transfer of the functions of the RDU to the El Dorado Correctional Facility. Construction was completed in Spring 2001 and the functions were transferred shortly thereafter. Female inmates continue to be evaluated at the TCF.

## MAJOR ISSUES FROM PRIOR YEARS

The **2009 Legislature** approved a 1.25 percent across-the-board State General Fund reduction in FY 2009 and FY 2010 and a 2.75 percent across-the-board State General Fund reduction in FY 2010. The reduction to the Topeka Correctional Facility budget totaled \$152,856, all from the State General Fund, in FY 2009 and \$499,062, all from the State General Fund in FY 2010. Other changes include the following items.

- A decrease of \$127,940, all from the State General Fund, to remove funding for longevity bonuses, which the agencies were instructed to fund from within existing resources.
- An increase of \$79,134, including \$79,037 from the State General Fund, for undermarket pay adjustments as recommended in the Hay Group study that was completed in FY 2008.

The **2010 Legislature** approved a decrease of \$22,505, including \$21,467 from the State General Fund in FY 2010 for a 5.0 percent state officers salary reduction (\$1,315 from the State General Fund), which applied to the warden at Topeka Correctional Facility and a moratorium on contributions to the Kansas Public Employees Retirement System (KPERs) Death and Disability Fund (\$21,190, \$20,152 State General Fund). For FY 2011 the Legislature approved the following changes.

- Approved \$464,770 from the State General Fund in enhancement funding to reduce the salaries and wages shrinkage rate.
- Deleted \$21,258, including \$20,216 from the State General Fund, for a moratorium on

contributions to the KPERs Death and Disability Fund.

- Deleted \$106,465, all from the State General Fund, and instructed the agency to self-fund longevity bonus payments from within existing resources.
- Approved an undermarket pay adjustment, of which \$169,475, including \$164,613 from the State General Fund, went to Topeka Correctional Facility.

The **2011 Legislature** approved a decrease totaling \$309,858, including \$306,342 from the State General Fund. The adjustments included the following items.

- Deleted \$127,995, all from the State General Fund, for employee longevity bonuses that are to be self-funded in the current year.
- Deleted \$160,356, including \$157,746 from the State General Fund, as part of an across-the-board reduction.
- Deleted \$17,965, including \$17,132 from the State General Fund, for a three-month moratorium on contributions to the KPERs Death and Disability Fund.

The **2012 Legislature** approved reductions totaling \$111,129, including \$109,652 from the State General Fund. The adjustments included the following items.

- Deleted \$18,840, including \$18,092 from the State General Fund, to reduce longevity bonus payments to the statutory amount.
- Deleted \$72,368, all from the State General Fund, to require the agency to self fund statutory longevity bonuses to eligible employees.
- Deleted \$19,192, including \$19,921 from the State General Fund, to suspend employer contributions to the Kansas Public Employee

Retirement System Group Insurance Fund for a three-month period.

The **2012 Legislature** also added \$440,000, all from the State General Fund, to hire additional security staff to fill 10.0 existing but unfunded FTE positions.

During the **2013 Legislative Session**, the Governor vetoed the agency's entire FY 2015 operating budget.

The **2014 Legislature** added \$641,186, all from the State General Fund, to hire 14.0 FTE positions for FY 2015.

## BUDGET SUMMARY AND KEY POINTS

### FY 2015 Agency Estimate

The **agency** estimates FY 2015 total expenditures of \$15.5 million, including \$15.1 million from the State General Fund. The estimate is an increase of \$134,338, or 0.9 percent, including a State General Fund increase of \$122,635, or 0.8 percent, above the FY 2015 approved amount. The increase is due to the

Governor's December 2014 Allotment that reduced approved State General Fund expenditures and capital improvement expenditures for rehabilitation and repair. The estimate includes 254.0 FTE positions, which is 1.0 less position than the FY 2015 approved amount.

### FY 2015 Governor Recommendation

The **Governor** recommends FY 2015 total expenditures of \$15.4 million, including \$14.9 million from the State General Fund. The recommendation is a decrease of \$125,351, or 0.8 percent, including a State General Fund decrease of \$122,635, or 0.8 percent, below the agency's FY 2015 estimate. The decrease is attributable to the inclusion of the Governor's

December 2014 Allotment and an additional special revenue funds reduction for reduced KPERS employer contributions. The Governor's recommendation includes 255.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The FTE increase is a technical adjustment for a reallocated position.

## FY 2016 Agency Request

The **agency** requests FY 2016 operating expenditures of \$16.9 million, including \$16.6 million from the State General Fund. The request is an increase of \$1.5 million, or 10.0 percent, including a State General Fund increase of \$1.6 million, or 11.0 percent, above the FY 2015 estimate. The request contains enhancements totaling \$1.7 million, all from the State General Fund, for eliminated federal funding, mandated staffing needs, fringe benefit shortfalls, vehicle replacements, a new package scanner, and IT equipment replacement. The request includes 254.0 FTE positions, the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$15.2 million, including \$14.9 million from the State General Fund. The request is a decrease of \$175,486, or 1.1 percent,

including a State General Fund decrease of \$72,320, or 0.5 percent, below the FY 2015 estimate. The overall decrease is primarily attributable to one-time bonuses paid in FY 2015 that are not made for FY 2016, lowered fringe benefit employer contributions because of staff turnover, and reduced projected expenditures for commodities, partially offset by higher contractual service expenditures for travel, inmate services, and utilities.

The agency does not request any FY 2016 capital improvement expenditures. Capital improvement expenditures for FY 2016 are done so at the discretion of the Department of Corrections' Central Office.

## FY 2016 Governor Recommendation

The **Governor** recommends FY 2016 operating expenditures of \$15.0 million, including \$14.7 million from the State General Fund. The recommendation is a decrease of \$1.9 million, or 11.2 percent, including a State General Fund decrease of \$1.9 million, or 11.4 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending any of the agency's enhancement requests and a reduction of \$180,060, including \$175,544 from the State

General Fund, to reduce employer contributions for state employee health insurance. The Governor's recommendation includes 255.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The FTE increase is a technical adjustment for a reallocated position.

The Governor concurs with the agency's capital improvements request.

## FY 2017 Agency Request

The **agency** requests FY 2017 operating expenditures of \$17.7 million, including \$17.1 million from the State General Fund. The request is an increase of \$754,768, or 4.5 percent, including a State General Fund increase of \$502,055, or 3.0 percent, above the FY 2016 request. The request includes enhancements totaling \$1.8 million, all from the State General

Fund, for lost federal funding, mandated staffing needs, fringe benefit shortfalls, and IT equipment replacement. **Absent the enhancements**, the agency requests \$15.9 million, including \$15.4 million from the State General Fund. The request is an increase of \$720,164, or 4.7 percent, including a State General Fund increase of \$467,451, or 3.1, above the FY 2016 request

less enhancements. The increase is predominantly due to expenditures associated with a 27<sup>th</sup> payroll period in the fiscal year and higher projected expenditures for utilities.

The agency does not request any FY 2016 capital improvement expenditures. Capital improvement expenditures for FY 2016 are done so at the discretion of the Department of Corrections' Central Office.

### **FY 2017 Governor Recommendation**

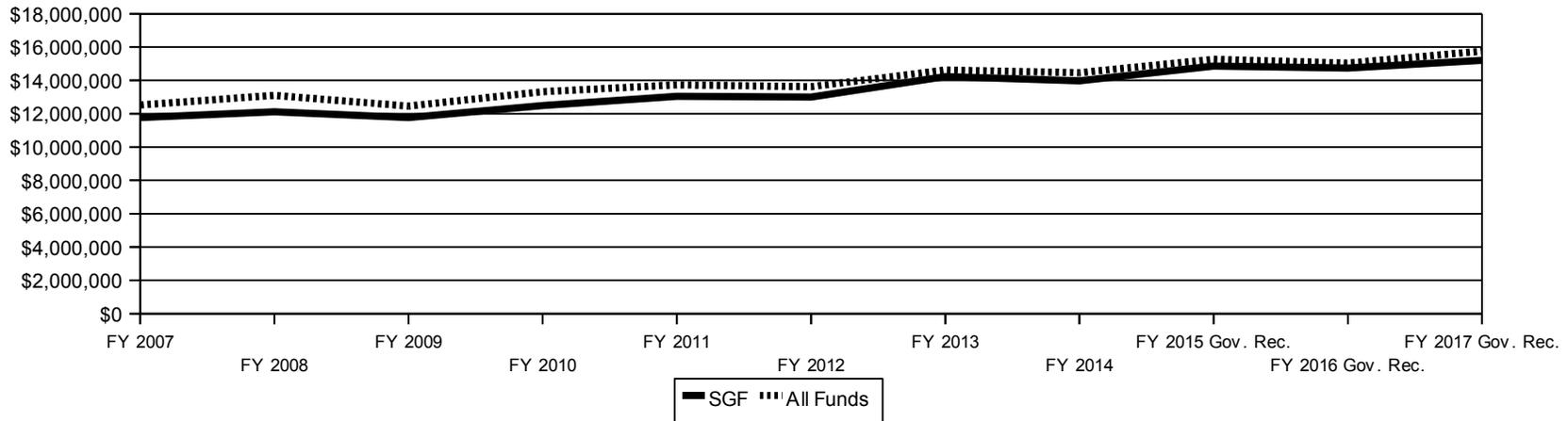
The **Governor** recommends FY 2017 operating expenditures of \$15.8 million, including \$15.2 million from the State General Fund. The recommendation is a decrease of \$1.9 million, or 11.0 percent, including a State General Fund decrease of \$1.9 million, or 11.3 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending any of the agency's enhancement requests and a reduction of \$183,665, including \$179,059 from the State

General Fund, to reduce employer contributions for state employee health insurance. The Governor's recommendation includes 255.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The FTE increase is a technical adjustment for a reallocated position.

The Governor concurs with the agency's capital improvements request.

# BUDGET TRENDS

## OPERATING EXPENDITURES FY 2007 – FY 2017



Fiscal Year	SGF	% Change	All Funds	% Change	FTE
2007	\$ 11,778,316	4.4 %	\$ 12,525,661	3.1 %	248.0
2008	12,114,126	2.9	13,101,649	4.6	246.0
2009	11,777,350	(2.8)	12,462,742	(4.9)	246.0
2010	12,500,236	6.1	13,323,210	6.9	246.0
2011	13,047,736	4.4	13,735,242	3.1	244.0
2012	12,996,917	(0.4)	13,625,553	(0.8)	241.0
2013	14,234,243	9.5	14,624,157	7.3	239.0
2014	13,983,149	(1.8)	14,463,739	(1.1)	241.0
2015 Gov. Rec.	14,864,704	6.3	15,273,351	5.6	255.0
2016 Gov. Rec.	14,739,475	(0.8)	15,043,156	(1.5)	255.0
2017 Gov. Rec.	15,203,411	3.1	15,759,715	4.8	255.0
Eleven-Year Change	\$ 3,425,095	29.1 %	\$ 3,234,054	25.8 %	7.0

**Summary of Operating Budget FY 2014 - FY 2016**

	Actual 2014	Agency Estimate				Governor's Recommendation			
		Estimate FY 2015	Request FY 2016	Dollar Change from FY 15	Percent Change from FY 15	Rec. FY 2015	Rec. FY 2016	Dollar Change from FY 15	Percent Change from FY 15
<b>By Program:</b>									
Administration	\$ 1,028,829	\$ 1,010,790	\$ 1,085,603	\$ 74,813	7.4 %	\$ 1,002,038	\$ 1,031,694	\$ 29,656	3.0 %
Security	8,650,523	9,400,816	10,847,342	1,446,526	15.4	9,316,874	9,143,551	(173,323)	(1.9)
Classification and Programs	2,024,296	2,028,341	2,013,114	(15,227)	(0.8)	2,010,879	1,990,462	(20,417)	(1.0)
Support Services	2,751,129	2,949,794	2,999,332	49,538	1.7	2,934,599	2,877,449	(57,150)	(1.9)
Debt Service	8,962	8,961	0	(8,961)	(100.0)	8,961	0	(8,961)	(100.0)
<b>TOTAL</b>	<b>\$ 14,463,739</b>	<b>\$ 15,398,702</b>	<b>\$ 16,945,391</b>	<b>\$ 1,546,689</b>	<b>10.0 %</b>	<b>\$ 15,273,351</b>	<b>\$ 15,043,156</b>	<b>\$ (230,195)</b>	<b>(1.5)%</b>
<b>By Major Object of Expenditure:</b>									
Salaries and Wages	\$ 12,878,995	\$ 13,746,931	\$ 15,142,334	\$ 1,395,403	10.2 %	\$ 13,621,795	\$ 13,397,624	\$ (224,171)	(1.6)%
Contractual Services	1,005,620	1,041,478	1,051,733	10,255	1.0	1,041,478	1,051,733	10,255	1.0
Commodities	497,806	578,858	571,325	(7,533)	(1.3)	578,643	571,325	(7,318)	(1.3)
Capital Outlay	51,280	0	157,525	157,525	--	0	0	0	--
Debt Service	8,962	8,961	0	(8,961)	(100.0)	8,961	0	(8,961)	(100.0)
Subtotal - Operations	\$ 14,442,663	\$ 15,376,228	\$ 16,922,917	\$ 1,546,689	10.1 %	\$ 15,250,877	\$ 15,020,682	\$ (230,195)	(1.5)%
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	21,076	22,474	22,474	0	0.0	22,474	22,474	0	0.0
<b>TOTAL</b>	<b>\$ 14,463,739</b>	<b>\$ 15,398,702</b>	<b>\$ 16,945,391</b>	<b>\$ 1,546,689</b>	<b>10.0 %</b>	<b>\$ 15,273,351</b>	<b>\$ 15,043,156</b>	<b>\$ (230,195)</b>	<b>(1.5)%</b>
<b>Financing:</b>									
State General Fund	\$ 13,983,149	\$ 14,987,339	\$ 16,637,194	\$ 1,649,855	11.0 %	\$ 14,864,704	\$ 14,739,475	\$ (125,229)	(0.8)%
General Fees Fund	322,062	253,536	151,146	(102,390)	(40.4)	252,195	148,931	(103,264)	(40.9)
Federal Funds	158,528	157,827	157,051	(776)	(0.5)	156,452	154,750	(1,702)	(1.1)
<b>TOTAL</b>	<b>\$ 14,463,739</b>	<b>\$ 15,398,702</b>	<b>\$ 16,945,391</b>	<b>\$ 1,546,689</b>	<b>10.0 %</b>	<b>\$ 15,273,351</b>	<b>\$ 15,043,156</b>	<b>\$ (230,195)</b>	<b>(1.5)%</b>

**Summary of Operating Budget FY 2016 - FY 2017**

	Agency Estimate				Governor's Recommendation			
	Request FY 2016	Request FY 2017	Dollar Change from FY 16	Percent Change from FY 16	Rec. FY 2016	Rec. FY 2017	Dollar Change from FY 16	Percent Change from FY 16
<b>By Program:</b>								
Administration	\$ 1,085,603	\$ 1,138,670	\$ 53,067	4.9 %	\$ 1,031,694	\$ 1,084,487	\$ 52,793	5.1 %
Security	10,847,342	11,444,256	596,914	5.5	9,143,551	9,606,176	462,625	5.1
Classification and Programs	2,013,114	2,111,654	98,540	4.9	1,990,462	2,088,549	98,087	4.9
Support Services	2,999,332	3,005,579	6,247	0.2	2,877,449	2,980,503	103,054	3.6
Debt Service	0	0	0	--	0	0	0	--
<b>TOTAL</b>	<b>\$ 16,945,391</b>	<b>\$ 17,700,159</b>	<b>\$ 754,768</b>	<b>4.5 %</b>	<b>\$ 15,043,156</b>	<b>\$ 15,759,715</b>	<b>\$ 716,559</b>	<b>4.8 %</b>
<b>By Major Object of Expenditure:</b>								
Salaries and Wages	\$ 15,142,334	\$ 15,993,213	\$ 850,879	5.6 %	\$ 13,397,624	\$ 14,092,994	\$ 695,370	5.2 %
Contractual Services	1,051,733	1,072,922	21,189	2.0	1,051,733	1,072,922	21,189	2.0
Commodities	571,325	571,325	0	0.0	571,325	571,325	0	0.0
Capital Outlay	157,525	40,225	(117,300)	(74.5)	0	0	0	--
Debt Service	0	0	0	--	0	0	0	--
Subtotal - Operations	\$ 16,922,917	\$ 17,677,685	\$ 754,768	4.5 %	\$ 15,020,682	\$ 15,737,241	\$ 716,559	4.8 %
Aid to Local Units	0	0	0	--	0	0	0	--
Other Assistance	22,474	22,474	0	0.0	22,474	22,474	0	0.0
<b>TOTAL</b>	<b>\$ 16,945,391</b>	<b>\$ 17,700,159</b>	<b>\$ 754,768</b>	<b>4.5 %</b>	<b>\$ 15,043,156</b>	<b>\$ 15,759,715</b>	<b>\$ 716,559</b>	<b>4.8 %</b>
<b>Financing:</b>								
State General Fund	\$ 16,637,194	\$ 17,139,249	\$ 502,055	3.0 %	\$ 14,739,475	\$ 15,203,411	\$ 463,936	3.1 %
General Fees Fund	151,146	397,012	245,866	162.7	148,931	394,753	245,822	165.1
Federal Funds	157,051	163,898	6,847	4.4	154,750	161,551	6,801	4.4
<b>TOTAL</b>	<b>\$ 16,945,391</b>	<b>\$ 17,700,159</b>	<b>\$ 754,768</b>	<b>4.5 %</b>	<b>\$ 15,043,156</b>	<b>\$ 15,759,715</b>	<b>\$ 716,559</b>	<b>4.8 %</b>

# BUDGET OVERVIEW

## A. FY 2015 – Current Year

### Adjustments to Approved State General Fund Budget

The Legislature approved a State General Fund budget of \$15,065,140 for the Topeka Correctional Facility in FY 2015. Two adjustments have subsequently been made to that amount. These adjustments change the current year approved amount without any legislative action required. For this agency, the following adjustments have been made.

- An increase of \$215, based on the reappropriation of FY 2014 funding which was not spent in FY 2014 and has shifted to FY 2015.

- A reduction of \$122,635, as the result of the Governor’s December 9<sup>th</sup> State General Fund allotment.

These adjustments change the FY 2015 approved State General Fund to \$14,942,720. That amount is reflected in the table below as the currently approved FY 2015 State General Fund amount. The agency’s budget was submitted prior to the December allotment, so the agency’s revised budget estimates do not incorporate the allotment.

	CHANGE FROM APPROVED BUDGET				
	Legislative Approved FY 2015	Agency Estimate FY 2015	Agency Change from Approved	Governor Rec. FY 2015	Governor Change from Approved
State General Fund	\$ 14,942,720	\$ 15,065,355	\$ 122,635	\$ 14,942,720	\$ 0
All Other Funds	412,051	423,754	11,703	421,038	8,987
TOTAL	<u>\$ 15,354,771</u>	<u>\$ 15,489,109</u>	<u>\$ 134,338</u>	<u>\$ 15,363,758</u>	<u>\$ 8,987</u>
FTE Positions	255.0	254.0	(1.0)	255.0	0.0

The **agency** estimates FY 2015 total expenditures of \$15.5 million, including \$15.1 million from the State General Fund. The estimate is an increase of \$134,338, or 0.9 percent, including a

State General Fund increase of \$122,635, or 0.8 percent, above the FY 2015 approved amount. The increase is due to the Governor’s December 2014 Allotment that reduced approved

State General Fund expenditures and capital improvement expenditures for rehabilitation and repair. The estimate includes 254.0 FTE positions, which is 1.0 less position than the FY 2015 approved amount.

The **Governor** recommends FY 2015 total expenditures of \$15.4 million, including \$14.9 million from the State General Fund. The recommendation is a decrease of \$125,351, or 0.8

percent, including a State General Fund decrease of \$122,635, or 0.8 percent, below the agency's FY 2015 estimate. The decrease is attributable to the inclusion of the Governor's December 2014 Allotment and an additional special revenue funds reduction for reduced KPERS employer contributions. The Governor's recommendation includes 255.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The FTE increase is a technical adjustment for a reallocated position.

### Governor's Allotments

The consensus revenue estimating process was completed on November 10, 2014 subsequent to agencies submitting budgets with revised expenditures for the current fiscal year. The results of the new consensus revenue estimates identified a \$278.7 million State General Fund shortfall for FY 2015. This prompted the Governor to address the shortfall with an allotment plan totaling \$280.0 million which reduced

expenditures by \$60.1 million. The allotment plan also included recommendations to transfer funds and adjust Non-State General Fund expenditures an additional \$219.9 million. The adjustments included in the \$219.9 million will require legislative approval to implement. The allotments shown in the table below reflect only those allotments which have already taken place.

GOVERNOR'S ALLOTMENTS			
Allotment	SGF	All Funds	FTE
December Allotment			
Reduce KPERS Employer Contribution Rate	\$ (122,420)	\$ (122,420)	0.0
Lapse Reappropriations	(215)	(215)	0.0
<b>TOTAL</b>	<b>\$ (122,635)</b>	<b>\$ (122,635)</b>	<b>0.0</b>

### Kansas Public Employees Retirement System (KPERS) Reduction:

A reduction of \$122,420, as a result of the Governor's State General Fund allotment reducing the Kansas Public Employer

Regular and School Member employer contribution rate (excluding KPERS Death and Disability) from 11.27 percent to 8.65 percent in FY 2015. This allotment will have no impact on the agency operations but is reflective of a reduction of expenditures. An additional \$2,716 from special revenue funds

is reduced in the Governor's budget recommendation resulting in a total reduction of \$125,136.

**Reappropriations Lapse:**

A reduction of \$215, as a result of the Governor's State General Fund reappropriation allotment. This is a reduction to the total FY 2015 State General Fund reappropriation of \$215 for the agency.

**B. FY 2016 – Budget Year**

<b>FY 2016 OPERATING BUDGET SUMMARY</b>			
	Agency Request	Governor's Recommendation	Difference
<b>Total Request/Recommendation</b>	\$ 16,945,391	\$ 15,043,156	\$ (1,902,235)
<b>FTE Positions</b>	254.0	255.0	1.0
<b><i>Change from FY 2015:</i></b>			
<i>Dollar Change:</i>			
State General Fund	\$ 1,649,855	\$ (125,229)	
All Other Funds	(103,166)	(104,966)	
TOTAL	<u>\$ 1,546,689</u>	<u>\$ (230,195)</u>	
<i>Percent Change:</i>			
State General Fund	11.0 %	(0.8) %	
All Other Funds	(25.1)	(25.7)	
TOTAL	<u>10.0 %</u>	<u>(1.5) %</u>	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2016 operating expenditures of \$16.9 million, including \$16.6 million from the State General Fund. The request is an increase of \$1.5 million, or 10.0 percent, including a State General Fund increase of \$1.6 million, or 11.0 percent, above the FY 2015 estimate. The request contains enhancements totaling \$1.7 million, all from the State General Fund, for eliminated federal funding, mandated staffing needs, fringe benefit shortfalls, vehicle replacements, a new package scanner, and IT equipment replacement. The request includes 254.0 FTE positions, the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$15.2 million, including \$14.9 million from the State General Fund. The request is a decrease of \$175,486, or 1.1 percent, including a State General Fund decrease of \$72,320, or 0.5 percent, below the FY 2015 estimate. The major changes for FY 2016 include the following items.

- **Salaries and Wages.** The agency requests \$15.1 million for salaries and wages. The request is an increase of \$1.4 million, or 10.2 percent, above the FY 2015 estimate. The request includes enhancements totaling \$1.6 million, all from the State General Fund, for eliminated federal funding, mandated staffing needs, and fringe benefit shortfalls. **Absent the enhancements**, the agency requests \$13.6 million. The request is a decrease of \$189,247, or 1.4 percent, below the FY 2015 estimate. The decrease is mainly due to one-time bonuses made in FY 2015 that are not made for FY 2016 and lowered fringe benefit employer contributions because of staff turnover.
- **Contractual Services.** The agency requests \$1.1 million for contractual services. The request

is an increase of \$10,255, or 1.0 percent, above the FY 2015 estimate. The increase is primarily attributable to increased traveling expenditures, higher inmate incentive pay and supplies based on prison population projections, and higher projected expenditures for utilities.

- **Commodities.** The agency requests \$571,325 for commodities. The request is a decrease of \$7,533, or 1.3 percent, below the FY 2015 estimate. The decrease is predominantly due to reduced gasoline expenditures.
- **Capital Outlay.** The agency requests \$157,525 for capital outlay. The request is an enhancement, all from the State General Fund, to replace 25.0 percent of the agency's IT equipment, five vehicles, and a new facility package scanner. **Absent the enhancements**, the agency does not request any capital outlay expenditures.

The **Governor** recommends FY 2016 operating expenditures of \$15.0 million, including \$14.7 million from the State General Fund. The recommendation is a decrease of \$1.9 million, or 11.2 percent, including a State General Fund decrease of \$1.9 million, or 11.4 percent, below the agency's FY 2016 request. The decrease is due to the Governor not recommending any of the agency's enhancement requests and a reduction of \$180,060, including \$175,544 from the State General Fund, to reduce employer contributions for state employee health insurance. The Governor's recommendation includes 255.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The FTE increase is a technical adjustment for a reallocated position.

## Enhancements Detail

Enhancements	FY 2016 ENHANCEMENTS					
	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Federal Funding	\$ 822,341	\$ 822,341	0.0	\$ 0	\$ 0	0.0
Mandated Staffing Needs	641,186	641,186	0.0	0	0	0.0
Fringe Benefit Shortfalls	101,123	101,123	0.0	0	0	0.0
Vehicle Replacements	97,300	97,300	0.0	0	0	0.0
Package Scanner	20,000	20,000	0.0	0	0	0.0
IT Equipment Replacement	40,225	40,225	0.0	0	0	0.0
<b>TOTAL</b>	<b>\$ 1,722,175</b>	<b>\$ 1,722,175</b>	<b>0.0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>0.0</b>

The **agency** requests enhancements totaling \$1.7 million, all from the State General Fund. The enhancement request includes the following items.

- **Federal Funding.** The agency requests \$822,341 for lost federal funding. The Bureau of Prisons canceled its contract with the agency in October 2013 and is no longer placing federal inmates at the facility. The agency has not been able to make up the lost funding.
- **Mandated Staffing Needs.** The agency requests \$641,186 for mandated staffing needs. The Department of Justice states the agency needs 14.0 additional correctional officer positions to be adequately staffed.
- **Fringe Benefit Shortfalls.** The agency requests \$101,123 for fringe benefit shortfalls. The agency

states it cannot fund the KPERS contribution rate increase.

- **Vehicle Replacements.** The agency requests \$97,300 for vehicle replacements. This will allow the agency to replace five aging vehicles.
- **Package Scanner.** The agency requests \$20,000 for a package scanner. The agency states the package scanner in the security access and control building on the maximum security compound needs to be replaced.
- **IT Equipment Replacement.** The agency requests \$40,225 for IT equipment replacement. This would allow the agency to replace 25.0 percent of its out-of-date technology.

The **Governor** does not recommend any of the agency's enhancement requests.

**C. FY 2017 – Budget Year**

<b>FY 2017 OPERATING BUDGET SUMMARY</b>			
	Agency Request	Governor's Recommendation	Difference
<b>Total Request/Recommendation</b>	\$ 17,700,159	\$ 15,759,715	\$ (1,940,444)
<b>FTE Positions</b>	254.0	255.0	1.0
<b>Change from FY 2016:</b>			
<i>Dollar Change:</i>			
State General Fund	\$ 502,055	\$ 463,936	
All Other Funds	252,713	0	
TOTAL	<u>\$ 754,768</u>	<u>\$ 463,936</u>	
<i>Percent Change:</i>			
State General Fund	3.0 %	3.1 %	
All Other Funds	82.0	83.2	
TOTAL	<u>4.5 %</u>	<u>(1.5) %</u>	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2017 operating expenditures of \$17.7 million, including \$17.1 million from the State General Fund. The request is an increase of \$754,768, or 4.5 percent, including a State General Fund increase of \$502,055, or 3.0 percent, above the FY 2016 request. The request includes enhancements totaling \$1.8 million, all from the State General Fund, for lost federal funding, mandated staffing needs, fringe benefit shortfalls, and IT equipment replacement. **Absent the**

**enhancements**, the agency requests \$15.9 million, including \$15.4 million from the State General Fund. The request is an increase of \$720,164, or 4.7 percent, including a State General Fund increase of \$467,451, or 3.1, above the FY 2016 request less enhancements. The increase is predominantly due to a 27<sup>th</sup> payroll period that occurs in that fiscal year and higher projected expenditures for utilities. The major changes for FY 2017 include the following items.

- **Salaries and Wages.** The agency requests \$16.0 million for salaries and wages. The recommendation is an increase of \$850,879, or 5.6 percent, above the agency's FY 2016 request. The increase is due to expenditures associated with a 27<sup>th</sup> payroll period for the fiscal year and enhancements for a federal funding contract shortfall, mandated federal staffing needs, and fringe benefit shortfalls. **Absent the enhancements**, the agency requests \$14.3 million for salaries and wages.
- **Contractual Services.** The agency requests \$1.1 million for contractual services. The request is an increase of \$21,189, or 2.0 percent, above the agency's FY 2016 request. The increase is attributable to higher projected expenditures for utilities.

- **Capital Outlay.** The agency requests \$40,225 for capital outlay. The request is an enhancement for IT equipment replacement. **Absent the enhancement**, the agency does not request any capital outlay expenditures.

The **Governor** recommends FY 2017 operating expenditures of \$15.8 million, including \$15.2 million from the State General Fund. The recommendation is a decrease of \$1.9 million, or 11.0 percent, including a State General Fund decrease of \$1.9 million, or 11.3 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor not recommending any of the agency's enhancement requests and a reduction of \$183,665, including \$179,059 from the State General Fund, to reduce employer contributions for state employee health insurance. The Governor's recommendation includes 255.0 FTE positions, which is 1.0 additional FTE position than the agency's estimate. The FTE increase is a technical adjustment for a reallocated position.

## Enhancements Detail

Enhancements	FY 2017 ENHANCEMENTS					
	Agency Request			Governor's Recommendation		
	SGF	All Funds	FTE	SGF	All Funds	FTE
Federal Funding	\$ 822,341	\$ 822,341	0.0	\$ 0	\$ 0	0.0
Mandated Staffing Needs	641,186	641,186	0.0	0	0	0.0
Fringe Benefit Shortfalls	253,027	253,027	0.0	0	0	0.0
IT Equipment Replacement	40,225	40,225	0.0	0	0	0.0
<b>TOTAL</b>	<b>\$ 1,756,779</b>	<b>\$ 1,756,779</b>	<b>0.0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>0.0</b>

The **agency** requests enhancements totaling \$1.8 million, all from the State General Fund. The enhancement request includes the following items.

- **Federal Funding.** The agency requests \$822,341 for lost federal funding. The Bureau of Prisons canceled its contract with the agency in October 2013 and is no longer placing federal inmates at the facility. The agency has not been able to make up the lost funding.
- **Mandated Staffing Needs.** The agency requests \$641,186 for mandated staffing needs. The Department of Justice states the agency needs

14.0 additional correctional officer positions to be adequately staffed.

- **Fringe Benefit Shortfalls.** The agency requests \$253,027 for fringe benefit shortfalls. The agency states it cannot fund the KPERS contribution and health insurance rate increases.
- **IT Equipment Replacement.** The agency requests \$40,225 for IT equipment replacement. This would allow the agency to replace 25.0 percent of its out-of-date technology.

The **Governor** does not recommend any of the agency's enhancement requests.

## Governor's Recommended Salary and Wage Adjustments

**State Employee Pay Increases.** The 2014 Legislature approved funding of \$11.3 million, including \$4.5 million from the State General Fund, in FY 2015 for a \$250 bonus for all full-time employees except elected officials who were employed on December 6, 2013, and which was paid December 6, 2014. **For this agency, the FY 2015 bonus totals \$64,404, including \$63,144 from the State General Fund, and affects 207 employees.**

**Longevity Bonus Payments.** For FY 2016 and FY 2017, the Governor recommends funding longevity bonus payments for eligible state employees at the statutory rate of \$40 per year of service, with a 10-year minimum (\$400), and a 25-year maximum (\$1,000). Classified employees hired after June 15, 2008 are not eligible for longevity bonus payments. The estimated cost for the recommended FY 2016 payments is \$7.2 million, including \$2.8 million from the State General Fund. For FY 2017, the estimated cost for the payments is \$7.7 million, including \$3.0 million from the State General Fund. **For this agency, FY 2016 longevity payments total \$67,080, including \$65,560 from the State General Fund, and FY 2017 longevity payments total \$72,200, including \$70,576 from the State General Fund.**

**Group Health Insurance.** The Governor recommends Group Health and Hospitalization employer contributions of \$282.8 million, including \$108.2 million from the State General Fund, for FY 2016 and \$289.2 million, including \$110.7 million from the State General Fund, for FY 2017. For FY 2016 and FY 2017, the Governor recommends reducing the employer contributions for group health insurance by 8.5 percent. **For this agency this is a reduction of \$180,060, including \$175,544 from the State General Fund for FY 2016. This is a reduction of \$183,665, including \$179,059 from the State General Fund, for FY 2017.**

**Kansas Public Employees Retirement System (KPERS) Rate Adjustments.** The FY 2015 employer retirement contribution for KPERS regular and school members was scheduled to be 11.27 percent, an increase of 1.0 percent from 10.27 in FY 2014. The Governor reduced the employer contribution rate from 11.27 percent to 8.65 percent for the second half of FY 2015, for an effective contribution rate of 9.96 percent as part of the Governor's allotment plan. For FY 2016, the Governor recommends the retirement rate increase by 2.41 percent from 9.96 percent to 12.37 percent. For FY 2017, the Governor recommends the retirement rate increase by 1.2 percent from 12.37 percent to 13.57 percent. This increase is attributable to the annual statutory increase for financing the unfunded liability of the KPERS fund.

In addition, the employer contribution for the KPERS death and disability insurance rate will be increased to 1.0 percent, from 0.85 percent, for FY 2016 and for FY 2017.

The Governor further proposes offering \$1.5 billion in Pension Obligation Bonds, backed by the State General Fund, to be paid back over 30 years and extending the amortization on the current bonds by ten years to 2043. The proceeds of the bonds would be used to help reduce the future employer contribution rates of the State/School Group. The Kansas Public Employee Retirement System estimates this plan could reduce employer contributions by \$68.43 million in FY 2016 and \$132.85 in FY 2017. The Kansas Development Finance Authority estimates that the bond service would be \$90.3 million in FY 2016 through FY 2017, assuming the Kansas credit ratings are not downgraded.

## Funding Sources

Funding Source	Agency Req. Percent of Total FY 2016	Gov. Rec. Percent of Total FY 2016	Agency Req. Percent of Total FY 2017	Gov. Rec. Percent of Total FY 2017
State General Fund	98.2 %	98.0 %	96.8 %	96.5 %
General Fees Fund	0.9	1.0	2.2	2.5
Federal Funds	0.9	1.0	0.9	1.0
TOTAL	<u>100.0 %</u>	<u>100.0 %</u>	<u>100.0 %</u>	<u>100.0 %</u>

**Note:** Percentages may not add due to rounding.

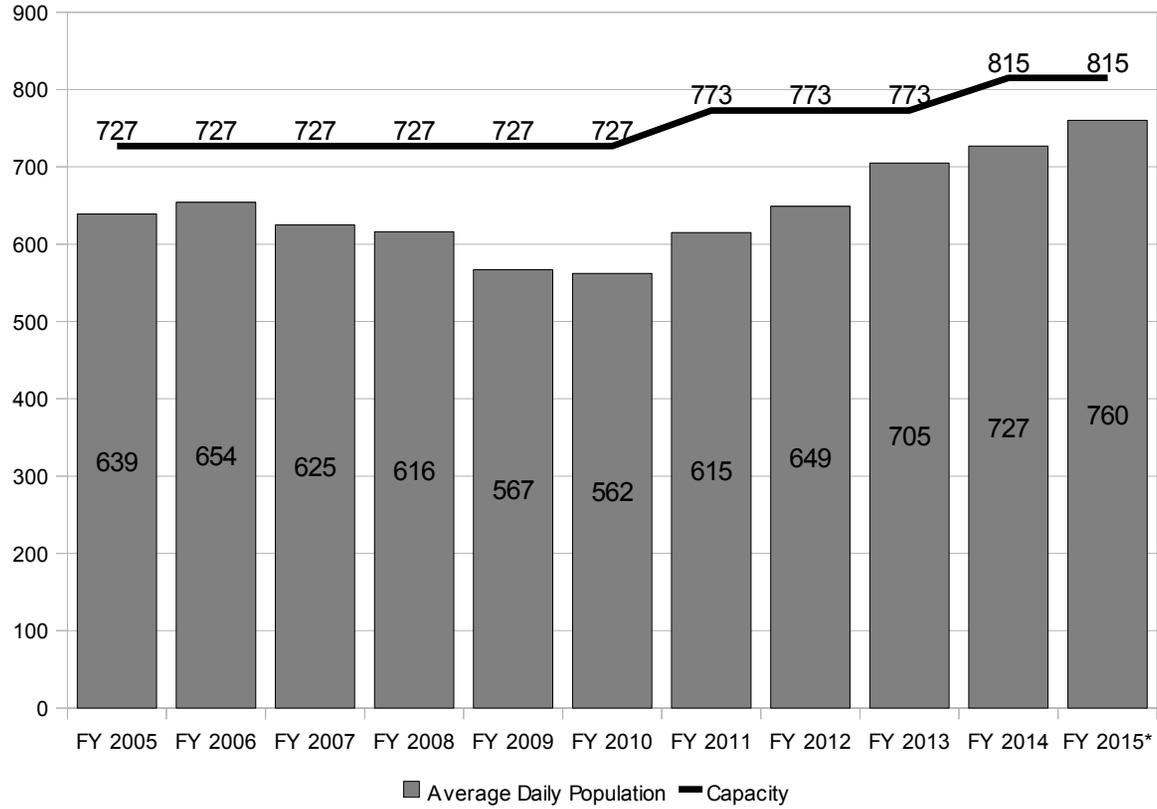
## General Fees Fund

The Topeka Correctional Facility General Fees Fund is funded through payments from the Kansas Department of Transportation and the City of Topeka for services provided by

inmates, such as road maintenance, laundry services, and park maintenance.

Resource Estimate	Actual FY 2014	Agency Estimate FY 2015	Governor Rec. FY 2015	Agency Request FY 2016	Gov. Rec. FY 2016	Agency Request FY 2017	Gov. Rec. FY 2017
Beginning Balance	\$ 181,061	\$ 85,148	\$ 85,148	\$ 99,405	\$ 100,746	\$ 213,404	\$ 216,960
Revenue	235,342	267,793	267,793	265,145	265,145	272,699	272,699
Transfers in	0	0	0	0	0	0	0
Total Funds Available	\$ 416,403	\$ 352,941	\$ 352,941	\$ 364,550	\$ 365,891	\$ 486,103	\$ 489,659
Less: Expenditures	331,255	253,536	252,195	151,146	148,931	397,012	394,753
Transfers Out	0	0	0	0	0	0	0
Off Budget Expenditures	0	0	0	0	0	0	0
Ending Balance	<u>\$ 85,148</u>	<u>\$ 99,405</u>	<u>\$ 100,746</u>	<u>\$ 213,404</u>	<u>\$ 216,960</u>	<u>\$ 89,091</u>	<u>\$ 94,906</u>
Ending Balance as Percent of Expenditures	25.7%	39.2%	39.9%	141.2%	145.7%	22.4%	24.0%
Month Highest Ending Balance	May <u>\$ 181,060</u>	May <u>\$ 115,801</u>	May <u>\$ 115,801</u>	May <u>\$ 135,191</u>	May <u>\$ 137,015</u>	May <u>\$ 290,229</u>	May <u>\$ 295,066</u>
Month Lowest Ending Balance	June <u>\$ 85,099</u>	June <u>\$ 40,020</u>	June <u>\$ 40,020</u>	June <u>\$ 46,720</u>	June <u>\$ 47,351</u>	June <u>\$ 100,300</u>	June <u>\$ 101,971</u>

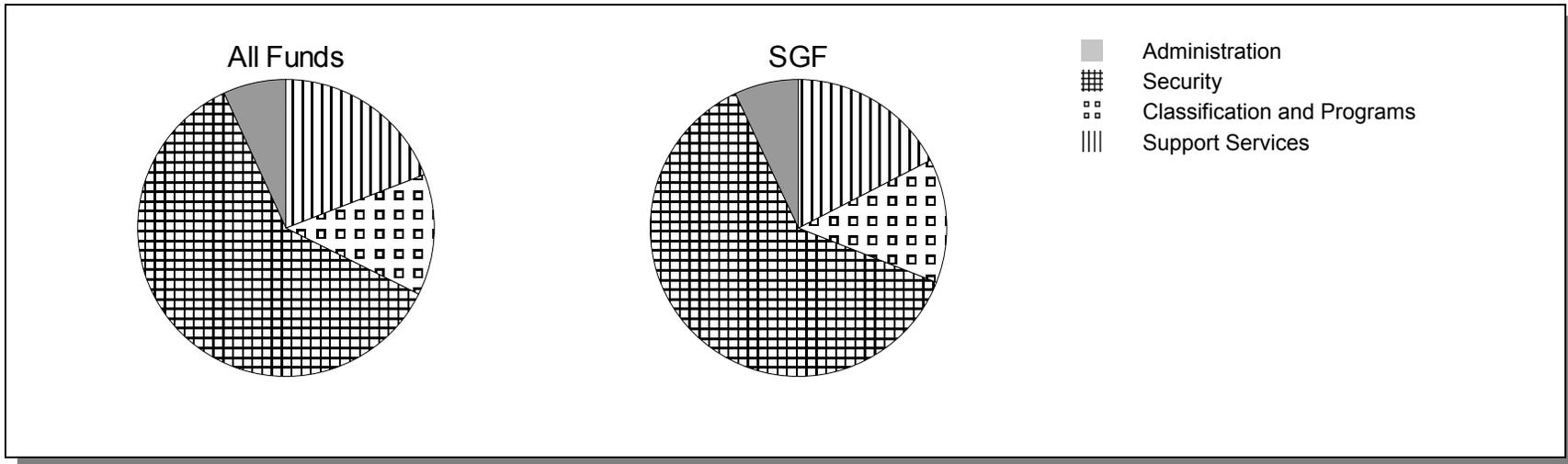
### Capacity and Average Daily Population



\*FY 2015 Average Daily Population as of January 14, 2015

# PROGRAM DETAIL

## EXPENDITURES BY PROGRAM—GOVERNOR’S FY 2016 RECOMMENDATION



Program	Gov. Rec. All Funds FY 2016	Percent of Total	Gov. Rec. SGF FY 2016	Percent of Total
Administration	\$ 1,031,694	6.9 %	\$ 1,031,694	7.0 %
Security	9,143,551	60.8	9,143,551	62.0
Classification and Programs	1,990,462	13.2	1,990,462	13.5
Support Services	2,877,449	19.1	2,573,768	17.5
<b>TOTAL</b>	<b>\$ 15,043,156</b>	<b>100.0 %</b>	<b>\$ 14,739,475</b>	<b>100.0 %</b>

**FTE POSITIONS BY PROGRAM FY 2014 – FY 2017**

Program	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Administration	16.0	16.0	16.0	16.0	16.0	16.0	16.0
Security	165.0	179.0	180.0	179.0	180.0	179.0	180.0
Classification and Programs	33.0	33.0	33.0	33.0	33.0	33.0	33.0
Support Services	27.0	26.0	26.0	26.0	26.0	26.0	26.0
Debt Service	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>TOTAL</b>	<b>241.0</b>	<b>254.0</b>	<b>255.0</b>	<b>254.0</b>	<b>255.0</b>	<b>254.0</b>	<b>255.0</b>

**A. Administration**

The Administration program is responsible for the overall management of operations for the Topeka Correctional Facility. Personnel management, fiscal management and support personnel, including human resources, are provided through the Administration program. The program provides management

direction including supervision, coordination and technical support for program areas. Public information services are performed and the program acts as a liaison with other state agencies, local units of government, and the general public.

**ADMINISTRATION**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 948,172	\$ 912,169	\$ 903,417	\$ 963,498	\$ 949,814	\$ 1,016,565	\$ 1,002,607
Contractual Services	77,787	91,839	91,839	74,062	74,062	74,062	74,062
Commodities	2,415	1,536	1,536	2,572	2,572	2,572	2,572
Capital Outlay	455	0	0	40,225	0	40,225	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 1,028,829	\$ 1,005,544	\$ 996,792	\$ 1,080,357	\$ 1,026,448	\$ 1,133,424	\$ 1,079,241
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	5,246	5,246	5,246	5,246	5,246	5,246
TOTAL	<u>\$ 1,028,829</u>	<u>\$ 1,010,790</u>	<u>\$ 1,002,038</u>	<u>\$ 1,085,603</u>	<u>\$ 1,031,694</u>	<u>\$ 1,138,670</u>	<u>\$ 1,084,487</u>
<b>Financing:</b>							
State General Fund	\$ 1,020,423	\$ 1,010,790	\$ 1,002,038	\$ 1,085,603	\$ 1,031,694	\$ 1,138,670	\$ 1,084,487
All Other Funds	8,406	0	0	0	0	0	0
TOTAL	<u>\$ 1,028,829</u>	<u>\$ 1,010,790</u>	<u>\$ 1,002,038</u>	<u>\$ 1,085,603</u>	<u>\$ 1,031,694</u>	<u>\$ 1,138,670</u>	<u>\$ 1,084,487</u>
FTE Positions	16.0	16.0	16.0	16.0	16.0	16.0	16.0
Non-FTE Uncl. Perm. Pos.	0.0	1.0	1.0	1.0	1.0	1.0	1.0
TOTAL	<u>16.0</u>	<u>17.0</u>	<u>17.0</u>	<u>17.0</u>	<u>17.0</u>	<u>17.0</u>	<u>17.0</u>

The **agency** requests FY 2016 operating expenditures of \$1.1 million, all from the State General Fund. The request is an increase of \$74,813, or 7.4 percent, above the FY 2015 estimate. The increase is due to higher salaries and wages for reclassifying the HR director position, health insurance rate increases, and an enhancement of \$40,225, all from the State General Fund, for IT equipment replacement, partially offset by a reduction in contractual services for Department of Administration notary fees and employee surety bond fees and

building repairs. The request includes 16.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancement**, the agency requests \$1.0 million.

The **Governor** recommends FY 2016 operating expenditures of \$1.0 million, all from the State General Fund. The recommendation is a decrease of \$53,909, or 5.0 percent, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's

enhancement request and a reduction of \$13,684 to reduce employer contributions for state employee health insurance. The recommendation includes 16.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$1.1 million, all from the State General Fund. The request is an increase of \$53,067, or 4.9 percent, above the FY 2016 request. The increase is attributable to a 27<sup>th</sup> payroll period and higher KPERS employer contribution rates. The request contains an enhancement of \$40,225, all from the State General Fund, for IT equipment replacement. The request includes 16.0 FTE

### ***B. Security***

The Security program provides supervision, surveillance, transportation, and control of the inmate population. This includes supervising inmate work crews assigned to authorized vocational, educational, and work programs and projects,

positions, which is the same as the FY 2016 request. **Absent the enhancement**, the agency requests \$1.1 million.

The **Governor** recommends FY 2017 operating expenditures of \$1.1 million, all from the State General Fund. The recommendation is a decrease of \$54,183, or 4.8 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement request and a reduction of \$13,958 to reduce employer contributions for state employee health insurance. The recommendation includes 16.0 FTE positions, the same as the agency's request.

conducting routine facility searches, and conducting random drug and alcohol tests. The Security program maintains the Special Operations Response Team. The Security program contains the facility's largest number of FTE employees.

**SECURITY**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 8,610,948	\$ 9,368,041	\$ 9,284,099	\$ 10,784,400	\$ 9,100,609	\$ 11,401,314	\$ 9,563,234
Contractual Services	3,222	1,135	1,135	3,750	3,750	3,750	3,750
Commodities	34,326	31,640	31,640	39,192	39,192	39,192	39,192
Capital Outlay	2,027	0	0	20,000	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 8,650,523	\$ 9,400,816	\$ 9,316,874	\$ 10,847,342	\$ 9,143,551	\$ 11,444,256	\$ 9,606,176
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 8,650,523</u>	<u>\$ 9,400,816</u>	<u>\$ 9,316,874</u>	<u>\$ 10,847,342</u>	<u>\$ 9,143,551</u>	<u>\$ 11,444,256</u>	<u>\$ 9,606,176</u>
<b>Financing:</b>							
State General Fund	\$ 8,650,294	\$ 9,400,816	\$ 9,316,874	\$ 10,847,342	\$ 9,143,551	\$ 11,444,256	\$ 9,606,176
All Other Funds	229	0	0	0	0	0	0
TOTAL	<u>\$ 8,650,523</u>	<u>\$ 9,400,816</u>	<u>\$ 9,316,874</u>	<u>\$ 10,847,342</u>	<u>\$ 9,143,551</u>	<u>\$ 11,444,256</u>	<u>\$ 9,606,176</u>
FTE Positions	165.0	179.0	180.0	179.0	180.0	179.0	180.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>165.0</u>	<u>179.0</u>	<u>180.0</u>	<u>179.0</u>	<u>180.0</u>	<u>179.0</u>	<u>180.0</u>

The **agency** requests FY 2016 operating expenditures of \$10.8 million, all from the State General Fund. The request is an increase of \$1.4 million, or 15.4 percent, above the FY 2015 estimate. The request contains enhancements totaling \$1.6 million, all from the State General Fund, for eliminated federal funding, mandated staffing needs, fringe benefit shortfalls, and a new package scanner. The request contains 179.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancements**, the agency requests \$9.3 million. The

request is a decrease of \$138,124, or 1.5 percent, below the FY 2015 estimate. The decrease is mainly due to one-time bonuses made in FY 2015 that are not made for FY 2016, partially offset by increases for traveling expenditures and inmate supplies based on prison population projections.

The **Governor** recommends FY 2016 operating expenditures of \$9.1 million, all from the State General Fund. The recommendation is a decrease of \$1.7 million, or 15.7

percent, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and a reduction of \$119,141 to reduce employer contributions for state employee health insurance. The recommendation includes 180.0 FTE positions, which is 1.0 more FTE position than the agency's request. The increase in FTE positions is a technical adjustment to accurately reflect the total number of FTE positions within the agency.

The **agency** requests FY 2017 operating expenditures of \$11.4 million, all from the State General Fund. The request is an increase of \$596,914, or 5.5 percent, above the FY 2016 request. The increase is primarily attributable to expenditures associated with a 27<sup>th</sup> payroll period in the fiscal year and a reduced shrinkage rate for salaries and wages. The request contains enhancements totaling \$1.7 million, all from the State

### ***C. Classification and Programs***

The Classification and Programs program is composed of the following sub-programs: Vocational and Academic Education; Mental Health and Counseling Services; Female Evaluations; Recreation; Library Services; Chaplaincy Service; and Classification and Records. The program reports and

General Fund, for eliminated federal funding, mandated staffing needs, and fringe benefit shortfalls. The request includes 179.0 FTE positions, which is the same as the FY 2016 request. **Absent the enhancements**, the agency requests \$9.7 million.

The **Governor** recommends FY 2017 operating expenditures of \$9.6 million, all from the State General Fund. The recommendation is a decrease of \$1.8 million, or 16.1 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement requests and a reduction of \$121,526 to reduce employer contributions for state employee health insurance. The recommendation includes 180.0 FTE positions, which is 1.0 more FTE position than the agency's request. The increase in FTE positions is a technical adjustment to accurately reflect the total number of FTE positions within the agency.

records all pertinent information regarding the movement and progress of Topeka Correctional Facility inmates. The program monitors, reviews, and records information regarding sentence computation, custody classification, and program participation.

**CLASSIFICATION AND PROGRAMS**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 1,818,484	\$ 1,825,218	\$ 1,807,756	\$ 1,799,482	\$ 1,776,830	\$ 1,898,022	\$ 1,874,917
Contractual Services	189,869	192,293	192,293	198,431	198,431	198,431	198,431
Commodities	8,095	3,835	3,835	8,206	8,206	8,206	8,206
Capital Outlay	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 2,016,448	\$ 2,021,346	\$ 2,003,884	\$ 2,006,119	\$ 1,983,467	\$ 2,104,659	\$ 2,081,554
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	7,848	6,995	6,995	6,995	6,995	6,995	6,995
TOTAL	<u>\$ 2,024,296</u>	<u>\$ 2,028,341</u>	<u>\$ 2,010,879</u>	<u>\$ 2,013,114</u>	<u>\$ 1,990,462</u>	<u>\$ 2,111,654</u>	<u>\$ 2,088,549</u>
<b>Financing:</b>							
State General Fund	\$ 1,994,666	\$ 2,028,341	\$ 2,010,879	\$ 2,013,114	\$ 1,990,462	\$ 2,111,654	\$ 2,088,549
All Other Funds	29,630	0	0	0	0	0	0
TOTAL	<u>\$ 2,024,296</u>	<u>\$ 2,028,341</u>	<u>\$ 2,010,879</u>	<u>\$ 2,013,114</u>	<u>\$ 1,990,462</u>	<u>\$ 2,111,654</u>	<u>\$ 2,088,549</u>
FTE Positions	33.0	33.0	33.0	33.0	33.0	33.0	33.0
Non-FTE Uncl. Perm. Pos.	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TOTAL	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>

The **agency** requests FY 2016 operating expenditures of \$2.0 million, all from the State General Fund. The request is a decrease of \$15,227, or 0.8 percent, below the FY 2015 estimate. The decrease is due to reduced fringe benefit employer contributions because of staff turnover, partially offset by increases in inmate incentive pay based on prison population projections and projected expenditures for office supplies. The request includes 33.0 FTE positions, which is the same as the FY 2015 estimate.

The **Governor** recommends FY 2016 operating expenditures of \$2.0 million, all from the State General Fund. The recommendation is a decrease of \$22,652, or 1.1 percent, below the agency's FY 2016 request. The decrease is attributable to the Governor recommending a reduction of \$22,652 to reduce employer contributions for state employee health insurance. The recommendation includes 33.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$2.1 million, all from the State General Fund. The request is an increase of \$98,540, or 4.9 percent, above the FY 2016 request. The increase is predominantly due to expenditures associated with a 27<sup>th</sup> payroll period in the fiscal year and a higher KPERs employer contributions. The request includes 33.0 FTE positions, which is the same as the FY 2016 request.

#### ***D. Support Services***

The Support Services program consists of the following two operations: Mechanical Services and Supply and Laundry Services. Mechanical Services provide maintenance and repairs

The **Governor** recommends FY 2017 operating expenditures of \$2.1 million, all from the State General Fund. The recommendation is a decrease of \$23,105, or 1.1 percent, below the agency's FY 2017 request. The decrease is attributable to the Governor recommending a reduction of \$23,105 to reduce employer contributions for state employee health insurance. The recommendation includes 33.0 FTE positions, the same as the agency's request.

for all equipment, buildings and grounds. Supply and Laundry Services orders, distributes and provides safe storage for all supplies and cleaning services for the facility.

**SUPPORT SERVICES**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 1,501,391	\$ 1,641,503	\$ 1,626,523	\$ 1,594,954	\$ 1,570,371	\$ 1,677,312	\$ 1,652,236
Contractual Services	734,742	756,211	756,211	775,490	775,490	796,679	796,679
Commodities	452,970	541,847	541,632	521,355	521,355	521,355	521,355
Capital Outlay	48,798	0	0	97,300	0	0	0
Debt Service	0	0	0	0	0	0	0
Subtotal - Operations	\$ 2,737,901	\$ 2,939,561	\$ 2,924,366	\$ 2,989,099	\$ 2,867,216	\$ 2,995,346	\$ 2,970,270
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	13,228	10,233	10,233	10,233	10,233	10,233	10,233
TOTAL	<u>\$ 2,751,129</u>	<u>\$ 2,949,794</u>	<u>\$ 2,934,599</u>	<u>\$ 2,999,332</u>	<u>\$ 2,877,449</u>	<u>\$ 3,005,579</u>	<u>\$ 2,980,503</u>
<b>Financing:</b>							
State General Fund	\$ 2,309,551	\$ 2,538,431	\$ 2,525,952	\$ 2,691,135	\$ 2,573,768	\$ 2,444,669	\$ 2,424,199
All Other Funds	441,578	411,363	408,647	308,197	303,681	560,910	556,304
TOTAL	<u>\$ 2,751,129</u>	<u>\$ 2,949,794</u>	<u>\$ 2,934,599</u>	<u>\$ 2,999,332</u>	<u>\$ 2,877,449</u>	<u>\$ 3,005,579</u>	<u>\$ 2,980,503</u>
FTE Positions	27.0	26.0	26.0	26.0	26.0	26.0	26.0
Non-FTE Uncl. Perm. Pos.	7.0	7.0	7.0	7.0	7.0	7.0	7.0
TOTAL	<u>34.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>

The **agency** requests FY 2016 operating expenditures of \$3.0 million, including \$2.7 million from the State General Fund. The request is an increase of \$49,538, or 1.7 percent, including a State General Fund increase of \$152,704, or 6.0 percent, above the FY 2015 estimate. The request contains an enhancement of \$97,300, all from the State General Fund, to replace five vehicles. The request includes 26.0 FTE positions, which is the same as the FY 2015 estimate. **Absent the enhancement**, the agency requests \$2.9 million, including \$2.6

million from the State General Fund. The request is an overall decrease of \$47,762, or 1.6 percent, below the FY 2015 estimate, partially offset by a State General Fund increase of \$55,404, or 2.2 percent. The overall decrease is due to one-time bonuses made in FY 2015 that are not made for FY 2016 and reduced gasoline expenditures, partially offset by increased projected expenditures for utilities.

The **Governor** recommends FY 2016 operating expenditures of \$2.9 million, including \$2.6 million from the State General Fund. The recommendation is a decrease of \$121,883, or 4.1 percent, including a State General Fund decrease of \$117,367, or 4.4 percent, below the agency's FY 2016 request. The decrease is attributable to the Governor not recommending the agency's enhancement requests and a reduction of \$24,583, including \$20,067 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 26.0 FTE positions, the same as the agency's request.

The **agency** requests FY 2017 operating expenditures of \$3.0 million, including \$2.4 million from the State General Fund. The request is an overall increase of \$6,247, or 0.2 percent, above the FY 2016 request, partially offset by a State General Fund decrease of \$246,466, or 9.2 percent. The overall

### ***E. Debt Service***

Topeka Correctional Facility participates in the Facility Conservation Improvement Program (FCIP) administered by the Energy Program of Kansas Corporation Commission (KCC). The total cost of energy projects at Topeka Correctional Facility is \$869,771. The FCIP exists to help public entities conserve natural resources and reduce state expenditures. Through an Energy Service Company (ESCO) contract by the KCC, an investment grade energy audit was conducted developing an implementation strategy including design, construction, follow-up services such as staff training, as well as measurement and verification of savings. The ESCO agreement is a long-term

increase is attributable to expenditures associated with a 27<sup>th</sup> payroll period in the fiscal year and higher projected expenditures for utilities, partially offset by reduced capital outlay expenditures due to no requests for vehicle replacements for FY 2017.

The **Governor** recommends FY 2017 operating expenditures of \$3.0 million, including \$2.4 million from the State General Fund. The recommendation is a decrease of \$25,076, or 0.8 percent, including a State General Fund decrease of \$20,470, or 0.8 percent, below the agency's FY 2017 request. The decrease is due to the Governor not recommending the agency's enhancement requests and a reduction of \$25,076, including \$20,470 from the State General Fund, to reduce employer contributions for state employee health insurance. The recommendation includes 26.0 FTE positions, the same as the agency's request.

contract that becomes a partnership between the agency and the ESCO. The savings are guaranteed through the Energy Service Contract and if the savings are not achieved, the ESCO makes up the difference. The funding for ESCO services and improvements is made through a Request for Proposal (RFP) that is arranged by the Department of Administration. The financing is customized to meet the cash flow needs associated with the project. The facility makes payments for the duration of the lease. Any savings that exceed the debt service payments are retained by the facility. The first payment occurred in FY 2005.

**DEBT SERVICE**  
**SUMMARY OF EXPENDITURES FY 2014 – 2017**

Item	Actual FY 2014	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
<b>Expenditures:</b>							
Salaries and Wages	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Contractual Services	0	0	0	0	0	0	0
Commodities	0	0	0	0	0	0	0
Capital Outlay	0	0	0	0	0	0	0
Debt Service	<u>8,962</u>	<u>8,961</u>	<u>8,961</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal - Operations	\$ 8,962	\$ 8,961	\$ 8,961	\$ 0	\$ 0	\$ 0	\$ 0
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 8,962</u>	<u>\$ 8,961</u>	<u>\$ 8,961</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
<b>Financing:</b>							
State General Fund	\$ 8,215	\$ 8,961	\$ 8,961	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	<u>747</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$ 8,962</u>	<u>\$ 8,961</u>	<u>\$ 8,961</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
FTE Positions	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Non-FTE Uncl. Perm. Pos.	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>

The **agency** requests no FY 2016 debt service expenditures. The agency paid off its debt service in FY 2015.

The **agency** requests no FY 2017 debt service expenditures. The agency paid off its debt service in FY 2015.

The **Governor** concurs with the agency's request.

The **Governor** concurs with the agency's request.

# CAPITAL IMPROVEMENTS

CAPITAL IMPROVEMENTS						
	Agency Est. FY 2015	Gov. Rec. FY 2015	Agency Req. FY 2016	Gov. Rec. FY 2016	Agency Req. FY 2017	Gov. Rec. FY 2017
Debt Service - Principal	\$ 78,016	\$ 78,016	\$ 0	\$ 0	\$ 0	\$ 0
Rehabilitation and Repair	12,391	12,391	0	0	0	0
TOTAL	<u>\$ 90,407</u>	<u>\$ 90,407</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
<b>Financing:</b>						
State General Fund	\$ 78,016	\$ 78,016	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	12,391	12,391	0	0	0	0
TOTAL	<u>\$ 90,407</u>	<u>\$ 90,407</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

## Current Year Agency Estimate

**FY 2015 – Current Year.** The agency estimates FY 2015 capital improvement expenditures of \$90,407, including \$78,016 from the State General Fund. The request is an increase of

\$12,391, all from special revenue funds, above the FY 2015 approved amount. The increase is for rehabilitation and repair expenditures.

## Current Year Governor Recommendation

The **Governor** concurs with the agency's capital improvements request.

## Budget Year Agency Request

**FY 2016 – Budget Year.** The agency does not request any FY 2016 capital improvement expenditures. Capital

improvement expenditures for FY 2016 are done so at the discretion of the Department of Corrections' Central Office.

**Budget Year Governor Recommendation**

The **Governor** concurs with the agency’s capital improvements request.

**Budget Year Agency Request**

**FY 2017 – Budget Year.** The agency does not request any FY 2017 capital improvement expenditures. Capital improvement expenditures for FY 2017 are done so at the discretion of the Department of Corrections’ Central Office.

**Budget Year Governor Recommendation**

The **Governor** concurs with the agency’s capital improvements request.

PERFORMANCE MEASURES					
Measure	Gov. Rec. for FY 2014	Actual FY 2014	Gov. Rec. FY 2015	Gov. Rec. FY 2016	Gov. Rec. FY 2017
Number of escapes	0	0	0	0	0
Number of inmate assaults on staff	5	4	4	4	4