

KANSAS GUARDIANSHIP PROGRAM

Expenditure	Actual FY 2015	Agency Est. FY 2016	Gov. Rec. FY 2016	Agency Est. FY 2017	Gov. Rec. FY 2017
Operating Expenditures:					
State General Fund	\$ 1,142,052	\$ 1,153,945	\$ 1,149,265	\$ 1,154,095	\$ 1,149,415
Other Funds	0	0	0	0	0
TOTAL	\$ 1,142,052	\$ 1,153,945	\$ 1,149,265	\$ 1,154,095	\$ 1,149,415
Capital Improvements:					
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Funds	0	0	0	0	0
TOTAL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
GRAND TOTAL	\$ 1,142,052	\$ 1,153,945	\$ 1,149,265	\$ 1,154,095	\$ 1,149,415

Percentage Change:

Operating Expenditures					
State General Fund	(1.4) %	1.0 %	0.6 %	0.0 %	0.0 %
All Funds	(1.4)	1.0	0.6	0.0	0.0
FTE Positions	10.0	10.0	10.0	10.0	10.0
Non-FTE Perm.Uncl.Pos.	0.0	0.0	0.0	0.0	0.0
TOTAL	10.0	10.0	10.0	10.0	10.0

AGENCY OVERVIEW

The Kansas Guardianship Program is a quasi-state agency which was established in 1995. Prior to that time, the program had been a part of Kansas Advocacy and Protective Services, Inc. (KAPS). A 1994 federal audit found a conflict of interest with KAPS administering the state's guardianship program. As a result, 1995 SB 342 established the Kansas Guardianship Program as a distinct legal entity whose employees are not considered to be state employees. The entire agency is financed through the State General Fund.

The Kansas Guardianship Program serves adults who, through either physical or mental impairment or disability, are in need of a court-appointed guardian or conservator. The Kansas Guardianship Program serves adults who are without family or financial resources and who are identified by the Department for Children and Families (DCF) or the Kansas Department for Aging and Disability Services (KDADS) as in need of a court appointed guardian or conservator. The Kansas Guardianship Program recruits volunteers who become qualified and trained to serve in that capacity. The State serves as the surety on the bond for volunteer conservators serving in the Kansas Guardianship Program. Program volunteers receive a monthly stipend of \$30 to defray out-of-pocket expenses incurred while providing service to their wards or conservatees.

The program is governed by a seven-member board of directors, six of whom are appointed by the Governor and one by the Chief Justice of the Kansas Supreme Court.

MAJOR ISSUES FROM PRIOR YEARS

The **2006 Legislature** added \$160,080, all from the State General Fund, in FY 2007 to increase the monthly stipends volunteers receive from \$20 to \$30 per volunteer per ward or conservatee. These funds help cover the cost of the volunteer's out-of-pocket expenses incurred while serving as guardians and conservators.

The **2007 Legislature** added \$37,495, all from the State General Fund, in FY 2008 to increase the base salary for agency employees.

The **2008 Legislature** added \$8,500, all from the State General Fund, to re-establish a regional office in Kansas City. The Legislature also added \$5,000, all from the State General Fund, for additional travel expenditures to fund consistent travel to recruit, train and support new and existing volunteers.

The **2009 Legislature** directed the staff of the Guardianship Program to be included as part of the State Employee Health Plan to partially offset budgetary reductions of \$47,448, all from the State General Fund, in FY 2010. In November 2009 the Governor allotted \$34,632 from the agency State General Fund budget for FY 2010.

During the **2010 Session**, SB 372 granted court orders establishing and governing guardianship or conservatorship in other states full faith and credit within Kansas, except when doing so would violate Kansas law.

The **2011 Legislature** added \$44,418, all from the State General Fund, in FY 2012 to restore funding to FY 2011 levels.

The **2015 Legislature** added \$46,493 for both FY 2016 and FY 2017, all from the State General Fund, to restore reductions in operating expenditures.

BUDGET SUMMARY AND KEY POINTS

FY 2016 – Current Year. The **agency** requests a revised estimate of \$1,153,945, all from the State General Fund in FY 2016. There are no changes from the approved amount.

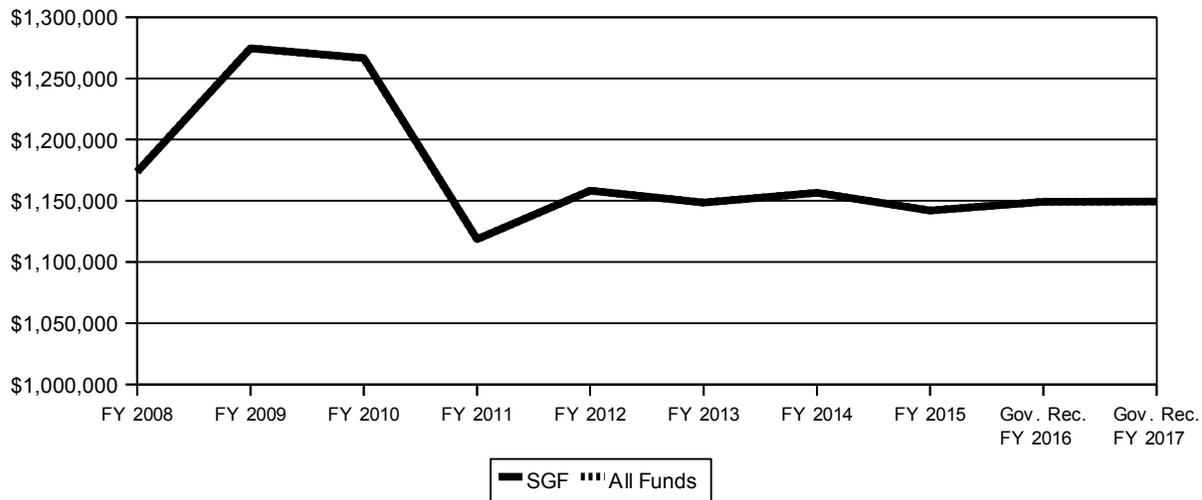
The **Governor** recommends operating expenditures of \$1,149,265, all from the State General Fund. This is a decrease of \$4,680, or 0.4 percent, below the agency's revised estimate. The reduction is recommended from contractual services due to reduced estimates of wards or conservatees based on actual served over the past three years.

FY 2017 – Budget Year. The **agency** requests a revised estimate of \$1,154,095, all from the State General Fund for FY 2017. There are no changes from the approved amount. There is an increase of \$150, or less than 0.1 percent, above the previous year estimate.

The **Governor** recommends operating expenditures of \$1,149,415, all from the State General Fund. This is a decrease of \$4,680, or 0.4 percent, below the agency's revised estimate. The reduction is recommended from contractual services due to reduced estimates of wards or conservatees based on actual served over the past three years.

BUDGET TRENDS

OPERATING EXPENDITURES FY 2008 – FY 2017



OPERATING EXPENDITURES FY 2008 – FY 2017

Fiscal Year	SGF	% Change	All Funds	% Change	FTE
2008	\$ 1,274,692	8.6 %	\$ 1,274,692	8.6 %	12.0
2009	1,266,501	(0.6)	1,266,501	(0.6)	12.0
2010	1,118,640	(11.7)	1,118,640	(11.7)	12.0
2011	1,158,265	3.5	1,158,265	3.5	11.0
2012	1,148,577	(0.8)	1,148,577	(0.8)	11.0
2013	1,156,598	0.7	1,156,598	0.7	10.0
2014	1,158,250	0.1	1,158,250	0.1	10.0
2015	1,142,052	(1.4)	1,142,052	(1.4)	10.0
2016 Gov. Rec.	1,149,265	0.6	1,149,265	0.6	10.0
2017 Gov. Rec.	1,149,415	0.0	1,149,415	0.0	10.0
Ten-Year Change Dollars/Percent	\$ (125,277)	(9.8)%	\$ (125,277)	(9.8)%	(2.0)

Summary of Operating Budget FY 2015 - FY 2017

Kansas Guardianship Program

	Actual 2015	Agency Estimate				Governor's Recommendation			
		Estimate FY 2016	Estimate FY 2017	Dollar Change from FY 16	Percent Change from FY 16	Rec. FY 2016	Rec. FY 2017	Dollar Change from FY 16	Percent Change from FY 16
By Program:									
Administration	\$ 1,142,052	\$ 1,153,945	\$ 1,154,095	\$ 150	0.0 %	\$ 1,149,265	\$ 1,149,415	\$ 150	0.0 %
By Major Object of Expenditure:									
Salaries and Wages	\$ 577,126	\$ 570,272	\$ 584,239	\$ 13,967	2.4 %	\$ 570,272	\$ 584,239	\$ 13,967	2.4 %
Contractual Services	555,519	515,177	563,581	48,404	9.4	570,497	558,901	(11,596)	(2.0)
Commodities	7,781	8,496	6,275	(2,221)	(26.1)	8,496	6,275	(2,221)	(26.1)
Capital Outlay	1,626	0	0	0	--	0	0	0	--
Debt Service	0	0	0	0	--	0	0	0	--
Subtotal - Operations	\$ 1,142,052	\$ 1,093,945	\$ 1,154,095	\$ 60,150	5.5 %	\$ 1,149,265	\$ 1,149,415	\$ 150	0.0 %
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	0	0	0	0	--	0	0	0	--
TOTAL	\$ 1,142,052	\$ 1,093,945	\$ 1,154,095	\$ 60,150	5.5 %	\$ 1,149,265	\$ 1,149,415	\$ 150	0.0 %
Financing:									
State General Fund	\$ 1,142,052	\$ 1,153,945	\$ 1,154,095	\$ 150	0.0 %	\$ 1,149,265	\$ 1,149,415	\$ 150	0.0 %
All Other Funds	0	0	0	0	--	0	0	0	--
TOTAL	\$ 1,142,052	\$ 1,153,945	\$ 1,154,095	\$ 150	0.0 %	\$ 1,149,265	\$ 1,149,415	\$ 150	0.0 %

BUDGET OVERVIEW

A. FY 2016 – Current Year

Adjustments to Approved State General Fund Budget

The 2015 Legislature approved a State General Fund budget of \$1,153,945 for the Kansas Guardianship Program in FY 2016. Two adjustments have subsequently been made to that amount. These adjustments change the current year approved amount without any legislative action required. For this agency, the following adjustments have been made:

- An increase of \$4,445, based on the reappropriation of FY 2015 funding which was not spent in FY 2015 and has shifted to FY 2016; and
- A reduction of \$4,445, on July 30 as a result of the authority given under 2015 Senate Sub. for HB 2135.

These adjustments do not result in a net change to the FY 2016 approved State General Fund. The approved amount is reflected in the table below.

CHANGE FROM APPROVED BUDGET					
	Legislative Approved FY 2016	Agency Estimate FY 2016	Agency Change from Approved	Governor Rec. FY 2016	Governor Change from Approved
State General Fund	\$ 1,153,945	\$ 1,153,945	\$ 0	\$ 1,149,265	\$ (4,680)
All Other Funds	0	0	0	0	0
TOTAL	\$ 1,153,945	\$ 1,153,945	\$ 0	\$ 1,149,265	\$ (4,680)
FTE Positions	10.0	10.0	0.0	10.0	0.0

The **agency** requests a revised estimate of \$1,153,945, all from the State General Fund. There are no changes from the approved amount.

The **Governor** recommends operating expenditures of \$1,149,265, all from the State General Fund. This is a decrease of \$4,680, or 0.4 percent, below the agency's revised estimate. The reduction is recommended from contractual services due to reduced estimates of wards or conservatees based on actual served over the past three years.

Governor's Allotments

The 2015 Legislature, through the enactment of Senate Sub. for HB 2135, authorized the Director of the Division of the Budget to lapse appropriations or transfer funding from special revenue funds to the State General Fund (SGF), up to a total of \$100.0 million, at any time during FY 2016 if the Director determines the unencumbered ending balance of the SGF for FY 2016 will be less than \$100.0 million. This authority does not apply to appropriations for the

Judicial Branch, Legislative Branch agencies, debt service, employer contributions to the Kansas Public Employees Retirement System (KPERs), the Department of Education (except the agency's operating expenditures account), or demand transfers to the school district capital improvements fund. On July 30, 2015, the Director announced various SGF lapses and transfers totaling \$60.7 million of which \$17.7 million require legislative approval.

On November 6, 2015, the Consensus Revenue Estimating Group met to revise the SGF Revenue Estimate for FY 2016. The estimate was decreased by \$159.1 million, for FY 2016. Excluding Governor recommended legislative adjustments, the ending balance for FY 2016 was estimated to require \$135.9 million in adjustments to achieve a zero ending balance. This estimate assumes that human services caseloads are funded at the recommended level and school finance KPERs contributions are reduced to reflect current estimates. As a result, on November 6, 2015 the Director exercised his authority under Senate Sub. for HB 2135 to make an additional \$56.9 million in revenue adjustments. The Governor further made \$52.6 million in expenditure reductions under the Governor's allotment authority. Finally, the Governor recommended the Legislature make an additional \$14.3 million in revenue adjustments.

Between the July and November adjustments, the Governor has reduced expenditures by a total of \$73.2 million, made \$79.4 million in revenue adjustments, and recommended the Legislature enact an additional \$32.0 million through the allotment authority and authority under 2015 Senate Sub. for HB 2135 or the special allotment statute. These adjustments, including the recommended Legislative adjustments, would result in a State General Fund ending balance of approximately \$5.6 million in FY 2016. This estimate excludes monthly SGF receipts subsequent to the November 6, 2015 consensus revenue estimate and any further adjustments made within the FY 2016 Governor's Budget Recommendation. The impact of these adjustments on this agency is described below.

GOVERNOR'S ALLOTMENTS			
<u>Allotment</u>	<u>SGF</u>	<u>All Funds</u>	<u>FTE</u>
July Special Allotment			
Lapse Reappropriations	\$ (4,445)	\$ (4,445)	0.0
TOTAL	\$ (4,445)	\$ (4,445)	0.0

B. FY 2017 – Budget Year

Adjustments to Approved State General Fund Budget

The 2015 Legislature approved a State General Fund budget of \$1,154,095 for the Kansas Guardianship Program in FY 2017. No adjustments have subsequently been made to that amount.

CHANGE FROM APPROVED BUDGET					
	Legislative Approved FY 2017	Agency Estimate FY 2017	Agency Change from Approved	Governor Rec. FY 2017	Governor Change from Approved
State General Fund	\$ 1,154,095	\$ 1,154,095	\$ 0	\$ 1,149,415	\$ (4,680)
All Other Funds	0	0	0	0	0
TOTAL	\$ 1,154,095	\$ 1,154,095	\$ 0	\$ 1,149,415	\$ (4,680)
FTE Positions	10.0	10.0	0.0	10.0	0.0

The **agency** requests a revised estimate of \$1,154,095, all from the State General Fund. There are no changes from the approved amount. There is an increase of \$150, or less than 0.1 percent, above the FY 2016 estimate. Changes from the FY 2016 estimate include an increase in salaries and wages of \$13,967, or 2.4 percent, with offsetting decreases in contractual services and commodities. This shift was made in order for the agency to maintain cost of living increases for employees without requesting additional funds. The increases were approved by the agency's board effective in FY 2016.

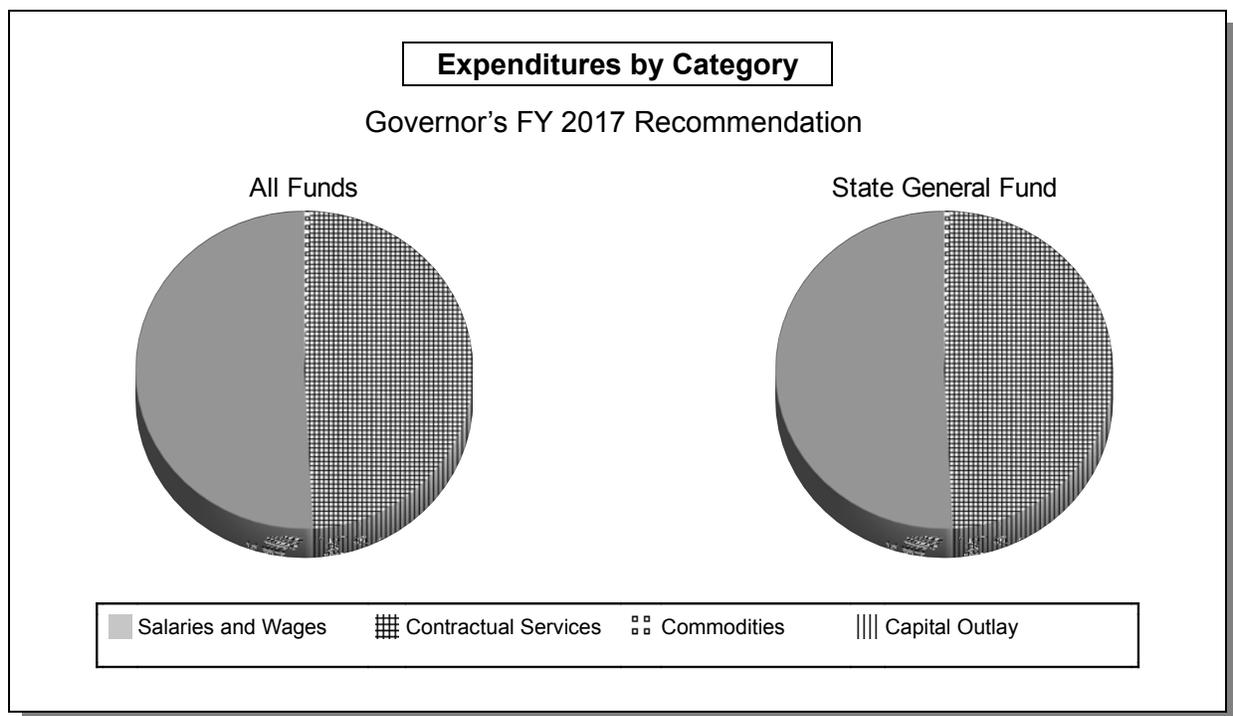
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Funding Sources

Funding Source	Agency Est. Percent of Total FY 2017	Gov. Rec. Percent of Total FY 2017
State General Fund	100.0 %	100.0 %

Note: Details may not add to totals due to rounding.

PROGRAM DETAIL



Category	Gov. Rec. All Funds FY 2017	Percent of Total	Gov. Rec. SGF FY 2017	Percent of Total
Salaries and Wages	\$ 584,239	50.8 %	\$ 584,239	50.8 %
Contractual Services	558,901	48.6	558,901	48.6
Commodities	6,275	0.5	6,275	0.5
Capital Outlay	0	0.0	0	0.0
TOTAL	\$ 1,149,415	100.0 %	\$ 1,149,415	100.0 %

FTE POSITIONS BY PROGRAM FY 2015 – FY 2017

Program	Actual FY 2015	Agency Est. FY 2016	Gov. Rec. FY 2016	Agency Est. FY 2017	Gov. Rec. FY 2017
Administration	10.0	10.0	10.0	10.0	10.0

PERFORMANCE MEASURES

Measure	Gov. Rec. for FY 2015	Actual FY 2015	Gov. Rec. FY 2016	Gov. Rec. FY 2017
Number of wards and conservatees served	1,447	1,448	1,462	1,462
Number of volunteers	782	762	769	769